Dynamic modeling and identification of a slider-crank mechanism

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Abstract

In this paper, Hamilton’s principle, Lagrange multiplier, geometric constraints and partitioning method are employed to derive the dynamic equations of a slider-crank mechanism driven by a servomotor. The formulation is expressed by only one independent variable and considers the effects of mass, external force and motor electric inputs. Comparing the dynamic responses between the experimental results and numerical simulations, the dynamic modeling gives a wonderful interpretation of a slider-crank mechanism. The parameters of many industrial machines are difficult to obtain if these machines cannot be taken apart. In this paper, a new identification method based on the real-coded genetic algorithm (RGA) is presented to identify the parameters of a slider-crank mechanism. The method promotes the calculation efficiency very much, and is calculated by the real-code without the operations of encoding and decoding. The results of numerical simulations and the experiments prove that the identification method is feasible. Finally, the experimental results by the RGA and the recursive least squares (RLS) are also compared.

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1. Introduction

A slider-crank mechanism is widely used in gasoline and diesel engines, and has been studied extensively in the past three decades. The responses of the system found by Viscomi and Ayre [1]
are dependent upon five parameters: the length, mass, damping, external piston force and frequency. The steady-state responses of the flexible connecting rod of a slider-crank mechanism with time-dependent boundary effect were obtained by Fung [2]. A slider-crank mechanism with constantly rotating speed was controlled by Fung et al. [3]. The mathematical model of the coupled mechanism of a slider-crank mechanism was obtained by Lin et al. [4], where the system is actuated by a field-oriented control permanent magnet (PM) synchronous servomotor.

However, the dynamic formulations of a slider-crank mechanism with one degree of freedom have more than one independent variable in the past researches [3,4]. In this study, the dynamic formulation is expressed by only one independent variable of rotation angle. Moreover, its dynamic responses are compared well with the experimental results.

Genetic algorithm was defined by John Holland in 1975 [5]. It is a search process based on natural selection, and is now used as a tool for searching the large, poorly understood spaces that arise in many application areas of science and engineering. Although it has recently found extensive applications, most have low calculation efficiency because the procedure of the GA [6,7] must use the operations of encoding and decoding. In addition, the parameters of many industrial machines are difficult to obtain because these machines cannot be taken apart. It is more natural to represent the genes directly as real numbers. Because the method is calculated by real code, it can shorten the calculating time. Therefore, the RGA promotes the calculation efficiency very much. In order to solve the arduous problem, the real-coded genetic algorithm (RGA) [8–10] is employed to find the optimal identified parameters of a slider-crank mechanism in this study.

This study successfully demonstrates that the dynamic formulation can give a wonderful interpretation of a slider-crank mechanism by comparing it with the dynamic responses of the experimental results. Furthermore, a new identified method using the RGA is proposed, and it is confirmed that the method can perfectly search the parameters of a slider-crank mechanism through the numerical simulations and experiments.
2. Dynamic formulation of a slider-crank mechanism

A slider-crank mechanism is a single-looped mechanism with a very simple construction shown in Fig. 1(a); the experimental equipment of a slider-crank mechanism is shown in Fig. 1(b). It consists of three parts: a rigid disk, which is driven by a servomotor, a connecting rod and a slider.

2.1. Dynamic modeling

2.1.1. Geometric equations

Fig. 1(a) shows the physical model of a slider-crank mechanism, where the mass center and the radius of the rigid disk are denoted as point “O” and length “r”, respectively. The length of the connected rod \( AB \) is denoted by “\( l \)”. The angle \( \theta \) is between \( OA \) and the \( X \)-axis, while the angle \( \phi \) is between the rod \( AB \) and the \( X \)-axis. In the \( OXY \) plane, the geometric positions of gravity centers of the rigid disk, connected rod and slider, respectively, are as follows:

\[
x_{1cg} = 0, \quad y_{1cg} = 0,\]

(1)
\[ x_{2cg} = r \cos \theta + \frac{1}{2} l \cos \phi, \quad y_{2cg} = \frac{1}{2} l \sin \phi, \] (2)
\[ x_{3cg} = r \cos \theta + l \cos \phi, \quad y_{3cg} = 0. \] (3)

The mechanism has a constrained condition as follows:
\[ r \sin \theta = l \sin \phi. \] (4)

The angle \( \phi \) can be found from Eq. (4) as
\[ \phi = \sin^{-1} \left( \frac{r}{l} \sin \theta \right). \] (5)

### 2.1.2. Kinematic analysis

In the kinematic analysis, taking the first and second derivates of the displacement of slider \( B \) with respect to time, the speed and acceleration of slider \( B \) are as follows:
\[ \dot{x}_B = -r \dot{\theta} \sin \theta - l \dot{\phi} \sin \phi, \] (6)
\[ \ddot{x}_B = -r \ddot{\theta} \sin \theta - r \dot{\theta}^2 \cos \theta - l \ddot{\phi} \sin \phi - l \dot{\phi}^2 \cos \phi. \] (7)

Similarly, the angular velocity \( \dot{\phi} \) and acceleration \( \ddot{\phi} \) are obtained as follows:
\[ \dot{\phi} = \frac{r \dot{\theta} \cos \theta}{l \cos \phi}, \] (8)
\[ \ddot{\phi} = \frac{r \ddot{\theta} \cos \phi \cos \theta + r \dot{\theta} \dot{\phi} \cos \theta \sin \phi - r \dot{\theta}^2 \sin \theta \cos \phi}{l \cos^2 \phi}. \] (9)

### 2.1.3. Field-oriented PM synchronous motor drive

A machine model of a PM synchronous motor can be described in a rotor rotating [11] as follows:
\[ v_q = R_s i_q + p \lambda_q + w_s \lambda_d, \] (10)
\[ v_d = R_s i_d + p \lambda_d - w_s \lambda_q, \] (11)

where
\[ \lambda_q = L_q i_q, \] (12)
\[ \lambda_d = L_d i_d + L_{md} I_{fd}. \] (13)

In the above equations, \( v_d \) and \( v_q \) are the \( d \) and \( q \) axis stator voltages, \( i_d \) and \( i_q \) are the \( d \) and \( q \) axis stator currents, \( L_d \) and \( L_q \) are the \( d \) and \( q \) axis inductances, \( \lambda_d \) and \( \lambda_q \) are the \( d \) and \( q \) axis stator flux linkages and \( R_s \) and \( w_s \) are the stator resistance and inverter frequency, respectively. In Eq. (13), \( I_{fd} \) is the equivalent \( d \)-axis magnetizing current and \( L_{md} \) is the \( d \)-axis mutual inductance. The electric torque is
\[ \tau_m = \frac{3}{2} p [L_{md} I_{fd} i_q + (L_d - L_q) i_d i_q] \] (14)
and the equation for the motor dynamics is
\[ \tau_e = \tau_m + B_m \omega_r + J_m \ddot{\omega}_r. \] (15)
In Eq. (14), \( p \) is the number of pole pairs, \( \tau_m \) is the load torque, \( B_m \) is the damping coefficient, \( \omega_r \) is the rotor speed and \( J_m \) is the moment of inertia. The basic principle in controlling a PM synchronous motor drive is based on field orientation. The flux position in the \( d-q \) coordinates can be determined by the shaft-position sensor because the magnetic flux generated from the rotor permanent magnetic is fixed in relation to the rotor shaft position. In Eqs. (13–14), if \( i_d = 0 \), the \( d \)-axis flux linkage \( \lambda_d \) is fixed since \( L_{md} \) and \( I_{fd} \) are constant for a surface-mounted PM synchronous motor, and the electromagnetic torque \( \tau_e \) is then proportional to \( i_q \), which is determined by closed-loop control. The rotor flux is produced in the \( d \)-axis only, and the current vector is generated in the \( q \)-axis for the field-oriented control. As the generated motor torque is linearly proportional to the \( q \)-axis current as the \( d \)-axis rotor flux is constant in Eq. (14), the maximum torque per ampere can be achieved. With the implementation of field-oriented control, the PM synchronous motor drive system can be simplified to a control system block diagram, as shown in Fig. 2, in which

\[
\tau_e = K_t i_q^*, \quad (16)
\]

\[
K_t = \frac{3}{2} P L_{md} I_{fd}, \quad (17)
\]

\[
H_p(s) = \frac{1}{J_m s^2 + B_m}, \quad (18)
\]

where \( i_q^* \) is the torque current command. By substituting Eq. (16) into Eq. (15), the following applied torque can be obtained:

\[
\tau_m = K_t i_q - J_m \dot{\omega}_r - B_m \omega_r, \quad (19)
\]

where \( \tau_m \) is the torque applied in the direction of \( \omega_r \), and the variables \( \omega_r \) and \( \dot{\omega}_r \) are the angular speed and acceleration of the disk, respectively.

2.2. Governing equations

Hamilton’s principle, Lagrange multiplier, geometric constraints and partitioning method are employed to formulate the differential-algebraic equation (DAE) for a slider-crank mechanism. The angles \( \theta \) and \( \phi \) are selected as the generalized coordinates. The complete derivation of the equations of motion is given in Appendix A. By taking account of the control force and constraint
force, the equation in the matrix form can be obtained as

$$M(Q)\ddot{Q} + N(Q, \dot{Q}) + \Phi_Q^T \lambda = Q^d, \quad (20)$$

where $M(Q)$, $N(Q, \dot{Q})$, $\Phi_Q^T \lambda$ and $Q^d$ can be seen in Appendix A.

2.3. Decouple the differential equations

In the dynamic analysis, the partitioning method \cite{3,4} is employed, and the partitioning coordinate vector is selected as

$$Q = [Q_1 \ Q_2 \ \cdots \ Q_3]^T = [p^T \ q^T]^T, \quad (21)$$

where $p = [p_1 \ p_2 \ \cdots \ p_m]^T$ and $q = [q_1 \ q_2 \ \cdots \ q_k]^T$ are the $m$ dependent and $k$ independent coordinates, respectively. The $m$ constraint equations are

$$\Phi(Q) \equiv \Phi(p, q) = 0. \quad (22)$$

The numerical method may be used to solve the set of nonlinear algebraic equations (22). If the $m$ constraint equations are independent, the existence of a solution $p$ for a given $q$ can be asserted by an implicit function theory.

Differentiating Eq. (22) yields the constraint velocity equation as

$$\Phi_Q \dot{Q} = 0, \quad (23)$$

where matrix $\Phi_Q = [\hat{\Phi}^Q/\hat{Q}]$ is the partial derivative of the constraint equation with respect to the coordinate, and is called the Jacobian constraint matrix. Sequentially, Eq. (23) can be rewritten in a partitioned form as

$$\Phi_p \dot{p} = -\Phi_q \dot{q}, \quad (24)$$

where $\Phi_p$ and $\Phi_q$ are two sub-matrices of $\Phi_Q$. Since the $m$ constraint equations are assumed independent, $\Phi_p$ is an $m \times m$ nonsingular matrix. Sequentially, Eq. (21) can be solved directly for $\dot{p}$ as long as $\dot{q}$ is given.

Differentiating the constraint velocity of Eq. (23), the acceleration constraint equation becomes

$$\Phi_Q \ddot{Q} = -(\Phi_Q Q) \dot{Q} \equiv \gamma, \quad (25)$$

where $\ddot{Q} = [\ddot{p}^T \ \ddot{q}^T]^T$ is the vector of acceleration. Similarly, Eq. (25) can also be rewritten in a partitioned form as

$$\Phi_p \ddot{p} = -\Phi_q \ddot{q} - (\Phi_Q \dot{Q}) \dot{Q}. \quad (26)$$

Since $\Phi_p$ is nonsingular, Eq. (26) can be solved for $\ddot{p}$, once $\ddot{q}$ is given. Note that the velocity (24) and acceleration (26) are two sets of linear algebraic equations in $\dot{Q}$ and $\ddot{Q}$, respectively.

Eqs. (20) and (25) can be combined into the matrix form as

$$\begin{bmatrix} M & \Phi_Q^T \\ \Phi_Q & 0 \end{bmatrix} \begin{bmatrix} \ddot{Q} \\ \dot{\lambda} \end{bmatrix} = \begin{bmatrix} Q^d - N(Q, \dot{Q}) \\ \gamma \end{bmatrix}. \quad (27)$$

Eq. (27) represents a system of DAE and can be solved using the implicit function method as shown in the following reordering and partitioning processes.
Decomposing $Q$ into $p$ and $q$, the system equations become
\begin{align}
M^{pp} \ddot{p} + M^{pq} \ddot{q} + \Phi_p^T \lambda &= Q^p - N^p, \\
M^{qp} \ddot{p} + M^{qq} \ddot{q} + \Phi_q^T \lambda &= Q^q - N^q,
\end{align}
(28a)
(28b)
\[ \Phi_p \ddot{p} + \Phi_q \ddot{q} = \gamma. \tag{28c} \]
By using Eqs. (28a) and (28c) and eliminating $\lambda$ and $\ddot{p}$ we obtain
\[ \dot{\lambda} = (\Phi_p^T)^{-1} [Q^p - N^p - M^{pp} \ddot{p} - M^{pq} \dot{q}], \tag{29} \]
\[ \ddot{p} = \Phi_p^{-1} [\gamma - \Phi_q \dot{q}]. \tag{30} \]
Eqs. (28b), (29) and (30) can be combined in the matrix form as
\[ \dot{\mathbf{M}(q)} \ddot{q} + \dot{\mathbf{N}}(q, \dot{q}) = \dot{\mathbf{F}}, \tag{31} \]
where
\[ \dot{\mathbf{M}} = M^{qq} - M^{qp} \Phi_q^{-1} \Phi_q - \Phi_q^T (\Phi_p^T)^{-1} [M^{pq} - M^{pp} \Phi_p^{-1} \Phi_q], \tag{32} \]
\[ \dot{\mathbf{N}} = [N^q - \Phi_q^T (\Phi_p^T)^{-1} N^p] + [M^{qp} \Phi_p^{-1} - \Phi_q^T (\Phi_p^T)^{-1} M^{pp} \Phi_p^{-1}] \gamma, \tag{33} \]
\[ \dot{\mathbf{F}} = Q^q - \Phi_q^T (\Phi_p^T)^{-1} Q^p. \tag{34} \]
For a slider-crank mechanism shown in Fig. 1(a), we have
\[ p = [\phi], \quad q = [\theta], \]
\[ \Phi_q = [r \cos \theta], \quad \Phi_p = [-l \cos \phi], \]
\[ M^{pp} = [A], \quad M^{pq} = [E], \quad M^{qp} = [E], \quad M^{qq} = [B], \]
\[ N^p = [K_W], \quad N^q = [P_W], \]
\[ Q^p = [(F_B + F_E) l \sin \phi], \quad Q^q = [(F_B + F_E) r \sin \theta - \tau], \]
where $A, B, E, K_W$ and $P_W$ can be seen in Appendix A.

Eq. (31) is a set of differential equations with only one independent generalized coordinate vector $q = [\theta]$. It is seen that the entries of $\dot{\mathbf{M}}, \dot{\mathbf{N}}$ and $\dot{\mathbf{F}}$ of Eq. (31) have two independent variables $\theta$ and $\phi$. By using Eq. (4) and its time derivative, we could derive the equation with only one independent variable $\theta$ as follows:
\[ \dot{\mathbf{M}}(\theta) \ddot{\theta} + \dot{\mathbf{N}}(\theta, \dot{\theta}) = \dot{\mathbf{F}}(\theta), \tag{35} \]
where
\[
\dot{M} = \left[ (2m_3 + m_2) + \frac{m_3}{c} r \cos \theta \right] \left( \frac{r^3}{c} \cos \theta \sin^2 \theta \right) + (m_2 + m_3) r^2 \sin^2 \theta \\
+ \frac{1}{3} m_2 \left( \frac{l}{c} \right)^2 (r \cos \theta)^2 + \frac{1}{2} m_1 r^2 + J_m,
\]
\[
\dot{N} = \left\{ m_2 r^2 \sin \theta \cos \theta \left[ 1 - \frac{l^2}{3c^2} + \frac{r}{c} \cos \theta + \frac{(lr)^2}{3c^4} \cos^2 \theta + \frac{r^3}{2c^3} \cos \theta \sin^2 \theta \right] \\
- m_2 \frac{r^3}{2c} \sin^3 \theta + m_3 r^2 \sin \theta \cos \theta \left[ 1 - \frac{r^2}{c^2} \sin^2 \theta + \frac{r^2}{c^2} \cos^2 \theta + \frac{2r}{c} \cos \theta \right] \\
+ \frac{r^4 \cos^2 \theta \sin^2 \theta}{c^4} + \frac{r^3}{c^3} \sin^2 \theta \cos \theta \left[ - m_3 \frac{r^3}{c} \sin^3 \theta \right] \dot{\theta}^2 + B_m \dot{\theta} + \frac{1}{2} m_2 r \cos \theta,
\]
\[
\dot{F} = K_i q - (F_B + F_E) r \sin \theta \left( 1 + \frac{r}{c} \cos \theta \right),
\]
\[
c = \sqrt{l^2 - r^2 \sin^2 \theta}.
\]
The system becomes an initial value problem and can be directly integrated by using the fourth-order Runge–Kutta method.

2.4. Alternative dynamic modeling

An alternative dynamic modeling by the Euler–Lagrange equation is shown in Appendix B, and the dynamic equation obtained in terms of only one independent variable \( \theta \) is the same as that of Eq. (35).

3. Identification based on real-coded genetic algorithm

The parameters of a slider-crank mechanism could not be obtained directly. In order to solve the arduous problem, the RGA is employed to find the optimal identified parameters of a slider-crank mechanism. Therefore, the unknown parameters \( m_1, m_2, m_3, r \) and \( l \) could be identified by the input current \( i_q \) and output \( \theta, \dot{\theta} \) and \( \ddot{\theta} \).

3.1. The procedure of the real-coded genetic algorithm

The procedure of the RGA [9] is shown in Fig. 3 and is described as follows.

Step 1: Setting the constraint specification. Before executing the RGA process, some specifications must be decided for the RGA, i.e. population size, maximum generation number, crossover probability, mutation probability, the fitness function, the range of each parameter, etc. Note that the setting specifications must be reasonable, because good initial parameters and specifications dramatically speed up the convergence. In this study, we can assign the searching range of the elements by our knowledge and experience.
Step 2: Determining fitness function. How to define the fitness function is the key point of the genetic algorithm, since the fitness function is a figure of merit, computed by using any domain knowledge. First, Eq. (35) can be rewritten as follows:

\[ E = \hat{M}(\theta) \cdot \ddot{\theta} + \hat{N}(\theta, \dot{\theta}) - \hat{F}(\theta) = 0. \]  

(36)

Then, the fitness function can defined as

\[ F_f(m_1, m_2, m_3, r, l) = \frac{D}{\sum_{i=1}^{n} E_i}, \]  

(37a)

\[ E_i = |\hat{M}_i(\theta_i) \cdot \ddot{\theta}_i + \hat{N}_i(\theta_i, \dot{\theta}_i) - \hat{F}_i(\theta_i)|, \]  

(37b)
where $D$ is a positive constant, $E_i$ are the calculated value and tested value of the $i$th sample point of $E$ in time domain, $n$ is the number of samples, and $\theta_i$, $\bar{\theta}_i$ and $\bar{\theta}_i$ are all tested values.

**Step 3: Generating the initial population.** According to the constraint, determine the range of each parameter; then the initial real-valued genes in chromosomes are generated by a sequence of real-valued variable by the range we limited randomly.

In this study, there are 5 parameters. The population size is 200. Then, the chromosomes $P_1$ and $P_2$ are expressed as

$$P_1 = (m_{11}, m_{21}, m_{31}, r_1, l_1),$$  \hspace{1cm} (38a)

$$P_2 = (m_{12}, m_{22}, m_{32}, r_2, l_2),$$  \hspace{1cm} (38b)

where $m_{11}$ and $m_{12}$, $m_{21}$ and $m_{22}$, $m_{31}$ and $m_{32}$, $r_1$ and $r_2$, $l_1$ and $l_2$, are the genes of the variables $m_1$, $m_2$, $m_3$, $r$ and $l$, respectively. The crossover (step 6) and mutation (step 7) are carried out between $m_{11}$ and $m_{12}$, $m_{21}$ and $m_{22}$, $m_{31}$ and $m_{32}$, $r_1$ and $r_2$, $l_1$ and $l_2$.

**Step 4: Evaluating fitness value.** The fitness function has already been defined in step 2. The fitness value of each chromosome is obtained by calculating the fitness value according to step 2.

**Step 5: Reproduction.** The reproduction procedure adopts the roulette wheel selection to pick chromosomes into the mating pool. Therefore, the probability of the $j$th chromosome into the mating pool uses the following equation:

$$\text{fit} \_\text{ratio}_j = \frac{\text{fitness} \_\text{value}_j}{\sum_{j=1}^{200} \text{fitness} \_\text{value}_j}. \hspace{1cm} (39)$$

The chromosomes of the mating pool are called parent chromosomes, which are randomly selected by probability. In general, it is easier for the superior chromosomes to enter the mating pool. The reproduction module is a preparation before execution of the crossover procedure.

**Step 6: Crossover.** Crossover recombines the genetic material in two randomly selected parent chromosomes from the mating pool to produce two children (offspring). Here, the arithmetic crossover operator [9] is used, which is defined as follows:

$$x_{01} = (1 - \alpha) \cdot x_{p1} + \alpha \cdot x_{p2},$$  \hspace{1cm} (40a)

$$x_{02} = \alpha \cdot x_{p1} + (1 - \alpha) \cdot x_{p2},$$  \hspace{1cm} (40b)

where $x_{p1}$ and $x_{p2}$ are two genes in parent chromosomes, $x_{01}$ and $x_{02}$ are two children, and $\alpha$ is selected randomly between 0 and 1. The crossover probability is generally given between 0.8 and 1. In this study, the crossover probability is 1.

**Step 7: Mutation.** Mutation is directly applied to the offspring genes. Here, uniform mutation is used, which is defined as follows:

$$x_{\text{new}} = LB + \beta(UB - LB),$$  \hspace{1cm} (41)

where $x_{\text{new}}$ is the gene after mutation, $\beta$ is selected randomly between 0 and 1, $LB$ is the minimum value of the gene’s range and $UB$ is the maximum value of the gene’s range. The mutation procedure is executed by the mutation probability. In general, the mutation probability is often given a low value. In this study, the mutation probability is 0.08.

**Step 8: Evaluating fitness value for offspring chromosomes.** Through the operators of steps 3–7, the new chromosomes can be obtained, which are called the “offspring chromosomes”. Then,
Eq. (37a) is employed to calculate the fitness value for the offspring chromosomes. However, the fitness value of offspring chromosomes may be inferior to that of their parents.

**Step 9:** Constructing the new population. In this step, the objective is to generate a new population (new parent chromosomes), which is composed of superior chromosomes of parent and offspring population. The new population generating process is called “generation” or “selection”.

Finally, the steps 5–9 are separated to search for the optimal solution until the end of the maximum generation. In this study, the maximum generation number is 100.

### 4. Identification based on the RLS

In this section, the RLS method is employed to identify the parameters of a slider-crank mechanism and the results will be compared with those by the RGA.

#### 4.1. Least-squares algorithm

The standard form for a linear least-squares (LS) problem is given as

\[ y = X\alpha + \varepsilon \quad \text{or} \quad y \cong X\alpha, \]  

where \( y \) is a vector of noise-free measurements, \( \varepsilon \) is a vector of measurement noise, the matrix \( X \) contains known variables and parameters and \( \alpha \) is a vector of parameters to be identified. The symbol \( \cong \) in \( y \cong X\alpha \) indicates that the left and right sides of Eq. (42) would be equal if noise was not present. The LS identification solution, \( \hat{\alpha} \), minimizes the sum of the squares of the error, \( y - X\hat{\alpha} \). If the problem at hand can be put into this standard form, by using a batch algorithm, \( \hat{\alpha} \) can be solved directly as

\[ \hat{\alpha} = (X^T X)^{-1} X^T y, \]  

if and only if \( X^T X \) is nonsingular, and Eq. (43) can be rewritten as

\[ \hat{\alpha}(t) = \left( \sum_{i=1}^{t} x(i)x^T(i) \right)^{-1} \left( \sum_{i=1}^{t} x(i)y(i) \right) = p(t) \left( \sum_{i=1}^{t} x(i)y(i) \right). \]  

Manipulating the original equations into the form \( y \cong X\alpha \) such that the standard LS solution can be solved is often the primary challenge, and requires careful, application-dependent decisions regarding approximations.

#### 4.2. Recursive LS algorithm

In the study of the LS problem, Bjork [12] demonstrated that if \( X^T X \) is nonsingular, Eq. (43) has the following recursive solutions:

\[ \hat{\alpha}(t + 1) = \hat{\alpha}(t) + K(t + 1)[y(t + 1) - x^T(t + 1)\hat{\alpha}(t)], \]  

\[ K(t + 1) = P(t)x(t + 1)[I + x(t + 1)P(t)x(t + 1)]^{-1}, \]  

\[ P(t + 1) = P(t) - P(t)x(t + 1)[I + x(t + 1)P(t)x(t + 1)]^{-1}x^T(t + 1)P(t), \]  

where the last equality in Eq. (47) follows from the Matrix Inversion Lemma [13].
The recursive Eq. (47) plays a crucial role in the recursion Eqs. (45)–(47), and generally, when $X^TX$ is singular, there exists no recursion similar to Eqs. (45)–(47). Comparing with the batch solution (43) the recursive solutions (45)–(47) offer important advantages. The RLS requires a constant computation time for each parameter update, and therefore it is perfectly suited for online use in real-time applications.

4.3. Derivation of the parameters for the RLS algorithm

The final dynamic equation of a slider-crank mechanism in matrix form is Eq. (35). In this paper, the goal of estimation parameters $m_1, m_2, m_3, r$ and $l$ is required to be written as vector. However, the parameters $r$ and $l$ cannot be expanded as a standard form of Eq. (42). Eq. (35) could only be modified as

$$y = [x_1 \ x_2 \ x_3] \begin{bmatrix} m_1 \\ m_2 \\ m_3 \end{bmatrix} = X\hat{\alpha}.$$  

(48)

The details of the variables $y$, $x_1$, $x_2$ and $x_3$ are written in Appendix C. The $\hat{\alpha}$ is the goal of identifying parameters by the RLS algorithm. By manipulating Eqs. (45–47), the input is the current $i_q^*$ and the outputs are $\theta$, $\dot{\theta}$ and $\ddot{\theta}$.

5. Numerical simulation and experimental results

5.1. Experimental setup

A block diagram of the computer control system for the PM synchronous servomotor drive coupled with a slider-crank mechanism is shown in Fig. 4(a) and the experimental equipment of a slider-crank mechanism of a computer control system is shown in Fig. 4(b). The control algorithm is implemented using a Pentium computer and the control software is LABVIEW. The PM synchronous servomotor is implemented by MITSUBISHI HC-KFS43 series. The specifications are shown as follows: rated output 400 (W), rated torque 1.3 (Nm), rated rotation speed 3000 (rev/min) and rated current 2.3 (A). The servo is implemented by MITSUBISHI MR-J2S-40A1. The control system is Sine-wave PWM control, which is a current control system. In order to measure the angle and angular speed of the disk and the position and velocity of the slider B, the interface of the device is implemented by motion control card PCI-7342. It can measure the angle of the disk and the position of slider B at the same time.

The main parameters of a slider-crank mechanism and servomotor used in the numerical simulations and the experiments are as follows:

$$m_1 = 0.232 \text{ kg}, \quad m_2 = 0.332 \text{ kg}, \quad m_3 = 0.600 \text{ kg}, \quad r = 0.030 \text{ m},$$

$$l = 0.217 \text{ m}, \quad F_B = 0.100 \text{ N}, \quad F_E = 0.000 \text{ N}, \quad i_q = 0.400 \text{ A},$$

$$K_t = 0.5652 \text{ Nm/A}, \quad J_m = 6.700 \times 10^{-5} \text{ Nm s}^2, \quad B_m = 1.430 \times 10^{-2} \text{ Nm s/rad}.$$
5.2. Comparisons of the numerical and experimental results

Eq. (35) is calculated by the Runge–Kutta method with time step $\Delta t = 0.001\,\text{s}$ from 0 to 2 s to obtain the numerical solutions, which are compared with the experimental results of a slider-crank mechanism, and shown in Figs. 5(a), (b) and (c) for the angle $\theta$, the angular speed $\dot{\theta}$ and the angular acceleration $\ddot{\theta}$ of the rigid disk, respectively. The angle $\theta$ and angular speed $\dot{\theta}$ are measured from the encoder directly, and the angular acceleration $\ddot{\theta}$ is numerically calculated from the angular speed $\dot{\theta}$. The displacement, speed and acceleration of a slider are shown in Figs. 5(d),
(e) and (f), respectively. The displacement $x_B$ and speed $\dot{x}_B$ are measured from the linear scale directly, while the acceleration is numerically calculated from the speed. It is seen that the responses $\theta$, $\dot{\theta}$, $x_B$ and $\dot{x}_B$ between the numerical and experimental results nearly match. Therefore, the simulation responses of a slider-crack mechanism are well predicted by the experimental results.

### 5.3. The identification of a slider-crank mechanism

#### 5.3.1. Numerical results

The $\theta_i$, $\dot{\theta}_i$ and $\ddot{\theta}_i$ in Eq. (37b) are calculated by the Runge–Kutta method with time step $\Delta t = 0.001$ s from 0 to 2 s. The parameters $m_1$, $m_2$, $m_3$, $r$ and $l$ are identified by using the RGA method and the identified results are given in Table 1. From Fig. 6, it is seen that the fitness value

![Fig. 5. Comparisons of the numerical and the experimental dynamic responses of a slider-crank mechanism.](image-url)
increases with an increase in the value of the generation number, and the genes \( (m_1, m_2, m_3, r, l) \) of the chromosome almost converge well near the 40th generation. Fig. 7 shows the comparisons of the numerical dynamic responses and the identified dynamic responses of a slider-crank mechanism. They are almost the same.

5.3.2. Experimental results

The \( \theta_i, \dot{\theta}_i, \) and \( \ddot{\theta}_i \) in Eq. (37b) are obtained from experiments with time step \( \Delta t = 0.02 \) s from 0 to 2 s. Similarly, the parameters \( m_1, m_2, m_3, r \) and \( l \) are identified using the method based on RGA, and the identified results are given in Fig. 8 and Table 2. From Fig. 8, it is seen that the fitness value increases with an increase in the value of the generation number; however, the genes \( (m_1, m_2, m_3, r, l) \) of the chromosome almost converge well near the 60th generation.

In order to improve the defect, the damping effect is added to the dynamic equation (35). Following the similar process, Eq. (42) is obtained as follows:

\[
\hat{M}(\theta)\ddot{\theta} + \hat{N}(\theta, \dot{\theta}) + C_d \cdot \dot{\theta} = \hat{F}(\theta).
\] (49)

The fitness function can be defined as follows:

\[
F_f(m_1, m_2, m_3, r, l, C_d) = \frac{D}{\sum_{i=1}^{n} E_i^2},
\] (50a)

where

\[
E_i = |\hat{M}_i(\theta_i) \cdot \ddot{\theta}_i + \hat{N}_i(\theta_i, \dot{\theta}_i) + C_d \cdot \dot{\theta}_i - \hat{F}_i(\theta_i)|.
\] (50b)

The parameters \( m_1, m_2, m_3, r, l \) and \( C_d \) are identified again. The identified results are also given in Fig. 8 and Table 2 for comparison with those without damping effect. The genes \( (m_1, m_2, m_3, r, l, C_d) \) of the chromosome also converge near the 60th generation. In these two cases, the constant values of \( D \) in Eqs. (37a) and (50a) are chosen such that the value of the fitness function is 1. It is seen that the identified parameters are very close for the system with and without damping.

Figs. 9(a) and (b) show the comparisons of the experimental results with the identified dynamic responses of a slider-crank mechanism with and without damping. It is found that the identified dynamic responses match the experimental results well if the damping effect is considered.

<table>
<thead>
<tr>
<th>Table 1</th>
<th>The identified parameters of the numerical simulations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parameter</td>
<td>( m_1 ) (kg)</td>
</tr>
<tr>
<td>Feasible domain</td>
<td>0.000–1.000</td>
</tr>
<tr>
<td>The actual value</td>
<td>0.232</td>
</tr>
<tr>
<td>The identified value</td>
<td>0.234</td>
</tr>
<tr>
<td>Parameter</td>
<td>( r ) (m)</td>
</tr>
<tr>
<td>Feasible domain</td>
<td>0.000–0.100</td>
</tr>
<tr>
<td>The actual value</td>
<td>0.030</td>
</tr>
<tr>
<td>The identified value</td>
<td>0.030</td>
</tr>
</tbody>
</table>
that although the identified parameters may be different from the true system as seen in Table 2, the identified dynamic responses agree well with the experimental results. Therefore, the identified parameters can be called the equivalent parameters and they are feasible.

5.3.3. Comparison between the RGA and RLS

In this section, the identified dynamic responses by the RGA and RLS will be compared with the experimental results. The LS standard form of Eq. (42) for a slider-crank mechanism with damping can be modified as follows:

\[
y = \begin{bmatrix} x_1 & x_2 & x_3 & x_4 \end{bmatrix} \begin{bmatrix} m_1 \\ m_2 \\ m_3 \\ C_d \end{bmatrix} = \mathbf{X} \hat{\mathbf{\alpha}},
\]

where \( y, x_1, x_2, x_3 \) and \( x_4 \) are given in Appendix C.
With an input current $i_q = 0.4$ A, the disk variations $\theta$, $\dot{\theta}$ and $\ddot{\theta}$ are experimentally obtained with time step $\Delta t = 0.02$ s from 0 to 4 s. It is noted that only the angle $\theta$ and angular speed $\dot{\theta}$ of the disk can be experimentally measured by the encoder; its angular acceleration $\ddot{\theta}$ is numerically calculated from the angular speed. Sequentially, the unknown parameters of a slider-crank mechanism are identified by substituting them into Eqs. (45)–(47) and using the RLS algorithm. Finally, the experimentally identified parameters are obtained as follows: $m_1 = 0.114$ kg, $m_2 = 0.11$ kg, $m_3 = 0.818$ kg and $C_d = 1.17 \times 10^{-3}$ N s/rad. By using these experimentally identified parameters in the RGA and RLS, we obtain the dynamic responses of a slider-crank mechanism by numerical computations of Eq. (49). The angle and its angular
speed of the disk by the RGA and RLS are compared with the experimental results in Figs. 10(a) and (b), respectively. Observing the compared results, it is found that the responses by the RGA are closer to the experimental results than those by the RLS. However, the computational times performed by the same personal computer are about 3 s by the RLS online and 50 s by the RGA off-line for the identified parameters being converged stably.

In conclusion, it is seen that the dynamic responses \( y \) and \( \dot{y} \) by the RGA are in good agreement with experimental results. In other words, the dynamic responses of a slider-crank mechanism are predicted well and its parameters are identified accurately by the RGA.
6. Conclusions

The dynamic formulations of a slider-crank mechanism driven by a field-oriented PM synchronous motor drive have been successfully formulated with only one independent variable. The dynamic formulation can give a good interpretation of a slider-crank mechanism by comparing the numerical simulations with experimental results. Furthermore, a new identified method using the real-coded genetic algorithm is employed to search the parameters of a slider-crank mechanism. The responses are compared with those by the RLS and the experimental results. It is found that the responses by the RGA are closer to the experimental results than those by the RLS, but the time needed for off-line computation by the RGA is longer than that needed by the RLS online.

Acknowledgements

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Appendix A. Dynamic formulation

The holonomic constraint equation of a slider-crank mechanism from Eq. (4) is obtained as

$$\Phi(Q) = r \sin \theta - l \sin \phi = 0,$$

(A.1)

where \( Q = [\phi \ \theta]^T \) is the vector of generalized coordinates.

The kinetic energies of the disk with mass \( m_1 \), the connected rod with mass \( m_2 \) and the slider with mass \( m_3 \) are, respectively,

$$T_1 = \frac{1}{2} I_1 \dot{\theta}^2 = \frac{1}{2} (\frac{1}{2} m_1 r^2) \dot{\theta}^2 = \frac{1}{4} m_1 r^2 \dot{\theta}^2,$$

(A.2)
Then, the total kinetic energy of a slider-crank mechanism can be obtained as
\[ T = T_1 + T_2 + T_3. \] (A.5)
The gravitational potential energies $V_1$, $V_2$ and $V_3$ for the disk, connected rod and slider are, respectively,

\[ V_1 = 0, \quad (A.6) \]

\[ V_2 = m_2 g y_{2cg} = \frac{1}{2} m_2 g l \sin \phi, \quad (A.7) \]
\[ V_3 = 0, \quad (A.8) \]

where \( g \) is the gravitational acceleration. The total potential energy of a slider-crank mechanism can be obtained as

\[ V = V_1 + V_2 + V_3. \quad (A.9) \]

The virtual works \( \delta W^A \) done by the external disturbance force \( F_E \) and the friction force \( F_B \) with the virtual displacement \( \delta x \) of the slider, and the applied torque \( \tau \) with the virtual angle \( \delta \theta \) are summed as

\[
\delta W^A = \tau \delta \theta + (F_E + F_B) \delta x
= \tau \delta \theta + (F_E + F_B)(-r \sin \theta \delta \theta - l \sin \phi \delta \phi)
= - \delta \mathbf{Q}^T \mathbf{Q}^A, \quad (A.10)
\]

where

\[
F_B = -\mu m_B g \text{sgn}(\dot{x}_B), \quad (A.11a)
\]

\[
\text{sgn}(\dot{x}_B) = \begin{cases} 
1 & \text{if } \dot{x}_B > 0, \\
0 & \text{if } \dot{x}_B = 0, \\
-1 & \text{if } \dot{x}_B < 0,
\end{cases} \quad (A.11b)
\]

\[
\mathbf{Q}^A = \begin{bmatrix} (F_E + F_B)l \sin \phi \\ (F_B + F_E)r \sin \theta - \tau \end{bmatrix} \quad (A.12)
\]

and \( \mu \) is the friction coefficient.

The virtual work \( \delta W^C \) done by the generalized constrained reaction force \( \mathbf{Q}^C \) is

\[ \delta W^C = \delta \mathbf{Q}^T \mathbf{Q}^C, \quad (A.13) \]

where

\[
\mathbf{Q}^C = \Phi \dot{\lambda},
\]

\[
\Phi \dot{Q} = \begin{bmatrix} \overset{\partial \Phi(Q)}{\partial \dot{Q}} \end{bmatrix} = [-l \cos \phi \ r \cos \theta]
\]

and \( \lambda \) is the Lagrange multiplier.

The Lagrange function \( L \) can be written as

\[
L = T - V
= \frac{1}{2} m_1 r^2 \dot{\theta}^2 + \frac{1}{6} m_2 l^2 \dot{\theta}^2 + \frac{1}{2} m_2 r^2 \dot{\phi}^2 \sin^2 \theta + \frac{1}{2} m_2 r l \dot{\phi} \sin \theta \sin \phi
+ \frac{1}{2} m_3 l^2 \dot{\phi}^2 \sin^2 \theta + m_3 r l \dot{\phi} \sin \theta \sin \phi + \frac{1}{2} m_3 l^2 \phi^2 \sin^2 \phi - \frac{1}{2} m_2 g l \sin \phi. \quad (A.14)
\]

Applying Hamilton's principle

\[
0 = \int_{t_1}^{t_2} [\delta L + \delta W^A + \delta W^C] \, dt = \int_{t_1}^{t_2} \delta \mathbf{Q}^T \left[ \frac{\partial L}{\partial \dot{Q}} - \frac{d}{dt} \frac{\partial L}{\partial Q} - \mathbf{Q}^A + \mathbf{Q}^C \right] \, dt + \frac{\partial L}{\partial \dot{Q}} \bigg|_{t_1}^{t_2}. \quad (A.15)
\]
We can obtain the Euler–Lagrange equation as follows:

\[ M(Q)\dot{Q} + N(Q, \dot{Q}) + \Phi_Q = Q^J, \] (A.16)

where

\[ M = \begin{bmatrix} A & E \\ E & B \end{bmatrix}, \quad N = \begin{bmatrix} K_W \\ P_W \end{bmatrix} \]

and

\[
A = \frac{1}{2} m_2 l^2 + m_3 l^2 \sin^2 \phi, \\
B = \frac{1}{2} m_1 r^2 + (m_2 + m_3) r^2 \sin^2 \theta, \\
E = \left( \frac{1}{2} m_2 + m_3 \right) rl \sin \theta \sin \phi, \\
K_W = m_3 l^2 \dot{\phi}^2 \sin \phi \cos \phi + \left( \frac{1}{2} m_2 + m_3 \right) rl \dot{\theta}^2 \cos \theta \sin \phi + \frac{1}{2} m_2 gl \cos \phi, \\
P_W = \left( \frac{1}{2} m_2 + m_B \right) rl \dot{\phi}^2 \sin \theta \cos \phi + (m_2 + m_B) r^2 \dot{\theta}^2 \sin \theta \cos \theta.
\]

Appendix B. Alternative dynamic modeling of a slider-crank mechanism

In order to show that Eq. (35) is correct, the Euler–Lagrange equation will be applied in the following form:

\[
\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{\theta}} \right) - \frac{\partial L}{\partial \theta} = Q^J. \] (B.1)

First, applying the relation of \( \theta \) and \( \phi \) in Eq. (4), the kinetic energies \( T_1, T_2 \) and \( T_3 \) of Eqs. (A.2, A.3, A.4), respectively, rewritten in terms of \( \theta \) and \( \dot{\theta} \) are

\[ T_1 = \frac{1}{2} I_1 \dot{\theta}^2 = \frac{1}{2} m_1 r^2 \dot{\theta}^2, \] (B.2)

\[ T_2 = \frac{1}{2} I_2 \dot{\phi}^2 + \frac{1}{2} m_2 \dot{x}_{2cg}^2 + \frac{1}{2} m_2 \dot{y}_{2cg}^2 \\
= m_2 \dot{\theta}^2 \left[ \frac{1}{6} \left( \frac{r \cos \theta}{c} \right)^2 + \frac{1}{2} \left( r \sin \theta \right)^2 + \frac{1}{2} \left( \frac{r^3 \sin^2 \theta \cos \theta}{c} \right) \right], \] (B.3)

\[ T_3 = \frac{1}{2} m_3 \dot{x}_3^2 = m_3 \dot{\theta}^2 \left[ \frac{1}{2} (r \sin \theta)^2 + \frac{r^3 \sin^2 \theta \cos \theta}{c} + \frac{1}{2} \frac{r^4 \sin^2 \theta \cos^2 \theta}{c^2} \right], \] (B.4)

\[ c = \sqrt{l^2 - r^2 \sin^2 \theta}. \]
The gravitational potential energy $V_2$ of the connected rod is rewritten as

$$V_2 = m_2 g y_{2eq} = \frac{1}{2} m_2 g r \sin \theta. \tag{B.5}$$

The Lagrange function $L$ is obtained as follows:

$$L = T - V = \frac{1}{4} m_1 r^2 \dot{\theta}^2 + m_2 \dot{\theta}^2 \left[ \frac{(lr)^2 \cos^2 \theta}{c^2} + \frac{1}{2} (r \sin \theta)^2 + \frac{1}{2} r^3 \sin^2 \theta \cos \theta \right]$$

$$+ m_b \dot{\theta}^2 \left[ \frac{1}{2} (r \sin \theta)^2 + \frac{r^3 \sin^2 \theta \cos \theta}{c} + \frac{1}{2} \frac{r^4 \sin^2 \theta \cos^2 \theta}{c^2} \right] - \frac{1}{2} m_2 g r \sin \theta. \tag{B.6}$$

The virtual works $\delta W^A$ of Eq. (A.10) are rewritten as

$$\delta W^A = \tau \delta \theta + (F_E + F_B) \delta x = \left[ \tau - (F_B + F_E) \left( 1 + \frac{1}{c} r \cos \theta \right) r \sin \theta \right] \delta \theta. \tag{B.7}$$

Substituting Eqs. (B.6) and (B.7) into the Euler–Lagrange Eq. (B.1), we have

$$\left\{ \left[ (2m_3 + m_2) + \frac{m_3}{c} r \cos \theta \right] \left( \frac{r^3}{c} \cos \theta \sin^2 \theta \right) + (m_2 + m_3) r^2 \sin^2 \theta \right.$$

$$+ \frac{1}{3} m_2 \left( \frac{l}{c} \right)^2 (r \cos \theta)^2 + \frac{1}{2} m_1 r^2 + J_m \right\} \ddot{\theta} + \left\{ m_2 r^2 \sin \theta \cos \theta \left[ 1 - \frac{l^2}{3c^2} + \frac{r}{c} \cos \theta \right.$$

$$+ \frac{(lr)^2}{3c^4} \cos^2 \theta + \frac{r^3}{2c^3} \cos \theta \sin^2 \theta \right] - m_2 \frac{r^3}{2c} \sin^3 \theta + m_3 r^2 \sin \theta \cos \theta \left[ 1 - \frac{r^2}{c^2} \sin^2 \theta \right.$$

$$+ \frac{r^2}{c^2} \cos^2 \theta + \frac{2r}{c} \cos \theta + \frac{r^4 \cos^2 \theta \sin^2 \theta}{c^4} + \frac{r^3}{c^2} \sin^2 \theta \cos \theta \right] - m_3 \frac{r^3}{c} \sin^3 \theta \right\} \dot{\theta}^2$$

$$+ B_n \dot{\theta} + \frac{1}{2} m_2 g r \cos \theta = K_i i_q - (F_B + F_E) r \sin \theta \left( 1 + \frac{r}{c} \cos \theta \right), \tag{B.8}$$

which is the same as Eq. (35), and has only one independent variable $\theta$.

**Appendix C. The RLS standard form of a slider-crank mechanism**

Eq. (49) for a slider-crank mechanism with damping can be expressed in the LS standard form as follows:

$$y = [x_1 \ x_2 \ x_3 \ x_4]^T \begin{bmatrix} m_1 \\ m_2 \\ m_3 \\ C_d \end{bmatrix}, \tag{C.1}$$
where

\[
y = K_i i_q - (F_B + F_E)r \sin \theta \left( 1 + \frac{r \cos \theta}{\sqrt{l^2 - r^2 \sin^2 \theta}} \right) - B_m \dot{\theta} - J_m \ddot{\theta},
\]

(C.2)

\[
x_1 = \frac{1}{2} r^2 \dot{\theta},
\]

(C.3)

\[
x_2 = \frac{1}{2} gr \cos \theta + \ddot{\theta} r^2 \cos \theta \sin \theta + \dot{\theta} r^2 \sin^2 \theta + \frac{\ddot{\theta}^2 r^4 \cos^3 \theta \sin \theta}{3(l^2 - r^2 \sin^2 \theta)}
+ \frac{\ddot{\theta} r^5 \cos^2 \theta \sin^3 \theta}{2(l^2 - r^2 \sin^2 \theta)^{3/2}} + \frac{\ddot{\theta} l^2 r^2 \cos^2 \theta}{3(l^2 - r^2 \sin^2 \theta)} - \frac{\ddot{\theta}^2 l^2 r^2 \cos \theta \sin \theta}{3(l^2 - r^2 \sin^2 \theta)}
+ \frac{\ddot{\theta}^2 r^3 \cos^2 \theta \sin \theta}{\sqrt{(l^2 - r^2 \sin^2 \theta)}} + \frac{\ddot{\theta} t^3 \cos \theta \sin^2 \theta}{\sqrt{(l^2 - r^2 \sin^2 \theta)}} - \frac{\ddot{\theta}^2 r^3 \sin^3 \theta}{2 \sqrt{(l^2 - r^2 \sin^2 \theta)}},
\]

(C.4)

\[
x_3 = \ddot{\theta} r^2 \cos \theta \sin \theta + \dot{\theta} r^2 \sin^2 \theta + \frac{\ddot{\theta}^2 r^6 \cos^3 \theta \sin^3 \theta}{(l^2 - r^2 \sin^2 \theta)^2}
+ \frac{\ddot{\theta} r^5 \cos^2 \theta \sin^3 \theta}{(l^2 - r^2 \sin^2 \theta)^{3/2}} + \frac{\ddot{\theta}^2 r^4 \cos^3 \theta \sin \theta}{l^2 - r^2 \sin^2 \theta} + \frac{\ddot{\theta} r^4 \cos^2 \theta \sin^2 \theta}{l^2 - r^2 \sin^2 \theta}
- \frac{\ddot{\theta}^2 r^4 \cos \theta \sin^3 \theta}{l^2 - r^2 \sin^2 \theta} + \frac{2 \ddot{\theta}^2 r^3 \cos^2 \theta \sin \theta}{\sqrt{(l^2 - r^2 \sin^2 \theta)}} + \frac{2 \ddot{\theta} r^3 \cos \theta \sin \theta}{\sqrt{(l^2 - r^2 \sin^2 \theta)}}
- \frac{\ddot{\theta}^2 r^3 \sin^3 \theta}{\sqrt{(l^2 - r^2 \sin^2 \theta)}},
\]

(C.5)

\[
x_4 = \dot{\theta}.
\]

(C.6)

References


