

## Wavelets/Framelets for Computer Graphics

The following is based on book manuscript: B. Han, *Framelets and Wavelets: Algorithms, Analysis and Applications*.

In this project, we only deal with computer generated curves (not surfaces). This is an easier project than the project on wavelets/framelets for signal/image processing.

To introduce a subdivision curve, we need some definitions and notation. By  $l(\mathbb{Z})$  we denote the linear space of all sequences  $v = \{v(k)\}_{k \in \mathbb{Z}} : \mathbb{Z} \rightarrow \mathbb{C}$  of complex numbers on  $\mathbb{Z}$ . One -dimensional discrete input data or signal is often treated as an element in  $l(\mathbb{Z})$ . Similarly, by  $l_0(\mathbb{Z})$  we denote the linear space of all sequences  $u = \{u(k)\}_{k \in \mathbb{Z}} : \mathbb{Z} \rightarrow \mathbb{C}$  on  $\mathbb{Z}$  such that  $\{k \in \mathbb{Z} : u(k) \neq 0\}$  is a finite set. An element in  $l_0(\mathbb{Z})$  is often regarded as a finite-impulse-response (FIR) filter (also called a finitely supported mask in the literature of wavelet analysis). In this book we often use  $u$  for a general filter and  $v$  for a general signal or data. It is often convenient to use the formal Fourier series (or symbol)  $\widehat{v}$  of a sequence  $v = \{v(k)\}_{k \in \mathbb{Z}}$ , which is defined as follows:

$$\widehat{v}(\xi) := \sum_{k \in \mathbb{Z}} v(k) e^{-ik\xi}, \quad \xi \in \mathbb{R}, \quad (1)$$

where  $i$  in this book always denotes the imaginary unit. For  $v \in l_0(\mathbb{Z})$ ,  $\widehat{v}$  is a  $2\pi$ -periodic trigonometric polynomial.

Let  $M$  be a positive integer greater than one. For a filter  $a \in l_0(\mathbb{Z})$  and  $v \in l(\mathbb{Z})$ , the *subdivision operator*  $\mathcal{S}_{M,a} : l(\mathbb{Z}) \rightarrow l(\mathbb{Z})$  is defined to be

$$[\mathcal{S}_{M,a}v](n) := 2 \sum_{k \in \mathbb{Z}} v(k) a(n - Mk), \quad n \in \mathbb{Z}. \quad (2)$$

Given an initial control polygonal shape  $\{v(k)\}_{k \in \mathbb{Z}}$ . We can generate a smooth curve through subdivision schemes. For  $j \in \mathbb{N}$ , define

$$v_j := \mathcal{S}_{M,a}^j v.$$

That is, we apply the subdivision operator  $j$  times (see the other project about how to efficiently implement a subdivision operator). Now we define “a function”  $f_j$  on the lattice  $2^{-j}\mathbb{Z}$  as:

$$f_j(2^{-j}k) := v_j(k), \quad k \in \mathbb{Z}.$$

Then we connect these discrete points one-by-one to create a function  $f_j$ . When  $j \rightarrow \infty$ , then  $f_j \rightarrow f$ , where  $f$  is the smooth subdivision curves. In practice, we only apply the subdivision scheme no more than 10 times.

To efficiently compute values  $\mathcal{S}_{a,M}v$  on the refined reference mesh  $M^{-1}\mathbb{Z}$  from  $v$  on the coarse mesh  $\mathbb{Z}$ , we often rewrite the subdivision operator using coset masks and convolution: For  $\beta, \gamma \in \mathbb{Z}$ ,

$$[\mathcal{S}_{a,M}v](\gamma + M\beta) = |M| \sum_{k \in \mathbb{Z}} v(k) a(\gamma + M\beta - Mk) = |M| [v * a^{[\gamma]}](\beta), \quad (3)$$

where the *coset mask*  $a^{[\gamma]}$  of the mask  $a$  is defined to be

$$a^{[\gamma]}(k) := a(\gamma + Mk), \quad k, \gamma \in \mathbb{Z}. \quad (4)$$

If  $\sum_{k \in \mathbb{Z}} a(k) = 1$ , then  $|\mathbf{M}| \sum_{k \in \mathbb{Z}} a^{[\gamma]}(k) = 1$  for all  $\gamma \in \mathbb{Z}$ . Hence, a subdivision scheme is a local averaging rule. Moreover,

$$[\mathcal{S}_{a, \mathbf{M}v}](\gamma + \mathbf{M}\beta) = |\mathbf{M}| [v * a^{[\gamma]}](\beta) = \langle v(\beta + \cdot), |\mathbf{M}| \overline{a^{[\gamma]}(\cdot)} \rangle, \quad (5)$$

which is attached to the point  $\beta + \mathbf{M}^{-1}\gamma - \mathbf{M}^{-1}c_a$ . Consequently, the filter

$$\{|\mathbf{M}| \overline{a^{[\gamma]}(-k)}\}_{k \in \mathbb{Z}} = \{|\mathbf{M}| \overline{a(\gamma - \mathbf{M}k)}\}_{k \in \mathbb{Z}}, \quad \gamma \in \{0, \dots, \mathbf{M} - 1\}$$

is called the  $\mathbf{M}^{-1}\gamma$ -*stencil* of the mask  $a$  for computing the values  $[\mathcal{S}_{a, \mathbf{M}v}](\gamma + \mathbf{M}\cdot)$  on the cosets in  $\mathbf{M}^{-1}\gamma + \mathbb{Z}$  of the refined mesh  $\mathbf{M}^{-1}\mathbb{Z}$ . It is more convenient to use stencils for subdivision schemes in computer graphics than a filter/mask  $a$ .

To deal with curves in two or three dimensions, we simply apply the subdivision scheme componentwise (that is, entrywise). Quite often we also need  $a$  to have symmetry:

$$a(c - k) = a(k), \quad k \in \mathbb{Z}$$

for some integer  $c$ . That is, we see that  $a$  has  $\{1, -1\}$ -symmetry. For a subdivision scheme, we often use subdivision triplets:  $(a, \mathbf{M}, \{-1, 1\})$ :  $a$  is the mask,  $\mathbf{M}$  is the dilation factor, and  $\{-1, 1\}$  is the symmetry group. For dimension one and a dilation factor  $\mathbf{M}$ , the reference coarse mesh  $\mathbb{Z}$  is refined into a finer mesh  $\frac{1}{\mathbf{M}}\mathbb{Z}$  by inserting new vertices at  $\frac{\gamma}{\mathbf{M}} + \mathbb{Z}$  with  $\gamma = 1, \dots, |\mathbf{M}| - 1$ .

In the following, we provide a few examples of subdivision triplets.

**Example 1**  $(a, 2, \{-1, 1\})$  is a primal subdivision triplet with

$$a = \frac{1}{2} \{w_3, w_2, w_1, \underline{w_0}, w_1, w_2, w_3\}_{[-3, 3]},$$

where

$$w_0 = \frac{3+t}{4}, \quad w_1 = \frac{8+t}{16}, \quad w_2 = \frac{1-t}{8}, \quad w_3 = -\frac{t}{16} \quad \text{with } t \in \mathbb{R}. \quad (6)$$

For  $t = -\frac{1}{2}$ , then  $a = a_6^B(\cdot - 3)$  and  $\text{sr}(a, 2) = 6$ ,  $\text{lpm}(a) = 2$  and  $\text{sm}_p(a, 2) = 5 + 1/p$  for all  $1 \leq p \leq \infty$ .  $\text{sr}(a, 2) = 4$  if  $t \neq -1/2$ . Since  $\widehat{a}(\xi) = e^{i3\xi}(1 + e^{-i\xi})^4 \widehat{b}(\xi)$  with  $\widehat{b}(\xi) := -\frac{t}{32} + \frac{1+t}{16}e^{-i\xi} - \frac{t}{32}e^{-i2\xi}$ , by item (5) of Corollary ??, we have  $\text{sm}_\infty(a, 2) = 3 - \log_2(1+t)$  provided  $t > -1/2$ . We only have  $\text{sm}_\infty(a, 2) \geq 3 - \log_2|t|$  for  $t \leq -1/2$ . When  $t = 0$ ,  $a = a_4^B(\cdot - 2)$  is the centered B-spline filter of order 4 with  $\text{sr}(a, 2) = 4$  and  $\text{lpm}(a) = 2$ . When  $t = 1$ ,  $a$  is an interpolatory 2-wavelet filter with  $\text{sr}(a, 2) = 4$  and  $\text{lpm}(a) = 4$ . See Figure 1 for its subdivision stencils.

**Example 2**  $(a, 2, \{-1, 1\})$  is a dual subdivision triplet with

$$a = \frac{1}{2} \{w_2, w_1, \underline{w_0}, w_0, w_1, w_2\}_{[-2, 3]},$$

where

$$w_0 = \frac{12+3t}{16}, \quad w_1 = \frac{8-3t}{32}, \quad w_2 = -\frac{3t}{32} \quad \text{with } t \in \mathbb{R}. \quad (7)$$

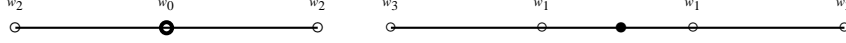


Figure 1: The 0-stencil (left) and the  $\frac{1}{2}$ -stencil (right) of the primal subdivision scheme in Example 1, where  $w_0, \dots, w_3$  are given in (6). It is an interpolatory 2-wavelet filter if  $w_2 = \frac{1-t}{8} = 0$ . Since  $M = 2$ , each line segment (with endpoints  $\circ$ ) in the coarse mesh  $\mathbb{Z}$  is equally split into two line segments with one new vertex ( $\bullet$ ) in the middle.

For  $t = -\frac{2}{3}$ ,  $a = a_5^B(\cdot - 2)$  and  $\text{sr}(a, 2) = 5$ ,  $\text{lpm}(a) = 2$  and  $\text{sm}_p(a, 2) = 4 + 1/p$  for all  $1 \leq p \leq \infty$ . Since  $\widehat{a}(\xi) = e^{i2\xi}(1 + e^{-i\xi})^3 \widehat{b}(\xi)$  with  $\widehat{b}(\xi) := -\frac{3t}{8} + \frac{4+3t}{32}e^{-i\xi} - \frac{3t}{8}e^{-i2\xi}$ , by item (5) of Corollary ??, we have  $\text{sr}(a, 2) = 3$  and  $\text{sm}_\infty(a, 2) = 4 - \log_2(4 + 3t)$  provided  $t > -2/3$ . We only have  $\text{sm}_\infty(a, 2) \geq 1 - \log_2(3|t|)$  for  $t \leq -2/3$ . When  $t = 0$ ,  $a = a_3^B(\cdot - 1)$  is the shifted B-spline filter of order 3 with  $\text{sr}(a, 2) = 3$  and  $\text{lpm}(a) = 2$ . When  $t = 1$ ,  $\text{sr}(a, 2) = 3$  and  $\text{lpm}(a) = 4$ . See Figure 2 for its subdivision stencils.



Figure 2: The 0-stencil (left) and the  $\frac{1}{2}$ -stencil (right) of the dual subdivision scheme in Example 2, where  $w_0, w_1, w_2$  are given in (7). The  $\frac{1}{2}$ -stencil is the same as the 0-stencil. The value  $[\mathcal{S}_{a,2}v](k)$  for  $k \in \mathbb{Z}$  is attached to the center  $\frac{k-1}{2}$  of the line segment  $[k-1, k]$  instead of the vertex  $\frac{k}{2}$ . Since  $M = 2$ , each line segment is equally split into two.

**Example 3**  $(a, 3, \{-1, 1\})$  is a primal subdivision triplet with

$$a = \frac{1}{3}\{w_5, w_4, w_3, w_2, w_1, \underline{w_0}, w_1, w_2, w_3, w_4, w_5\}_{[-5,5]},$$

where

$$\begin{aligned} w_0 &= \frac{7-2t_1-8t_2}{9}, & w_1 &= \frac{6-2t_1-5t_2}{9}, & w_2 &= \frac{3+t_1+t_2}{9}, \\ w_3 &= \frac{1+t_1+4t_2}{9}, & w_4 &= \frac{t_1+3t_2}{9}, & w_5 &= \frac{t_2}{9}, \end{aligned} \quad \text{with } t_1, t_2 \in \mathbb{R}. \quad (8)$$

For  $t_1 = 2/9$  and  $t_2 = 1/9$ ,  $\text{sr}(a, 3) = 5$  and  $\text{sm}_p(a, 3) = 4 + 1/p$  for all  $1 \leq p \leq \infty$  whose 3-refinable function is the B-spline of order 5. Since  $\widehat{a}(\xi) = (e^{i\xi} + 1 + e^{-i\xi})^3 \widehat{b}(\xi)$  with

$$\widehat{b}(\xi) := \frac{t_2}{27}e^{i2\xi} + \frac{t_1}{27}e^{i\xi} + \frac{1-2t_1-2t_2}{27} + \frac{t_1}{27}e^{-i\xi} + \frac{t_2}{27}e^{-i2\xi},$$

by a similar result to item (5) of Corollary ??, we have

$$\text{sm}_\infty(a, 2) \geq 2 - \log_3 \max(|1 - 2t_1 - 2t_2|, |2t_1|, |2t_2|).$$

For  $t_1 = 7/9$  and  $t_2 = -4/9$ ,  $a$  is an interpolatory 3-wavelet filter with  $\text{sr}(a, 3) = 4 = \text{lpm}(a)$  and  $\text{sm}_\infty(a, 3) \geq \log_3 14 - 4 \approx 1.5978$ . For  $t_1 = 5/11$  and  $t_2 = -4/11$ ,  $a$  is an interpolatory 3-wavelet filter with  $\text{sr}(a, 3) = 3 = \text{lpm}(a)$  and  $\text{sm}_\infty(a, 3) \geq 2 + \log_3(11/10) \approx 2.0867$  (Using joint spectral radius, we in fact have  $\text{sm}_2(a, 3) = \log_3 11 \approx 2.18266$ ). See Figure 3 for its subdivision stencils.

We now provide some subdivision curves in Figure 4 using the above subdivision triplets.

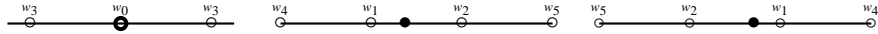


Figure 3: The 0-stencil (left), the  $\frac{1}{3}$ -stencil (middle), and  $\frac{2}{3}$ -stencil of the subdivision scheme in Example 3, where  $w_0, \dots, w_5$  are given in (8). Due to symmetry,  $\frac{2}{3}$ -stencil is the same as the  $\frac{1}{3}$ -stencil. It is an interpolatory 3-wavelet filter if  $w_3 = \frac{1+t_1+4t_2}{9} = 0$ . Since  $M = 3$ , each line segment (with endpoints  $\circ$ ) is equally split into three line segments with two new inserted vertices ( $\bullet$ ) at  $\frac{1}{3} + \mathbb{Z}$  and  $\frac{2}{3} + \mathbb{Z}$ .

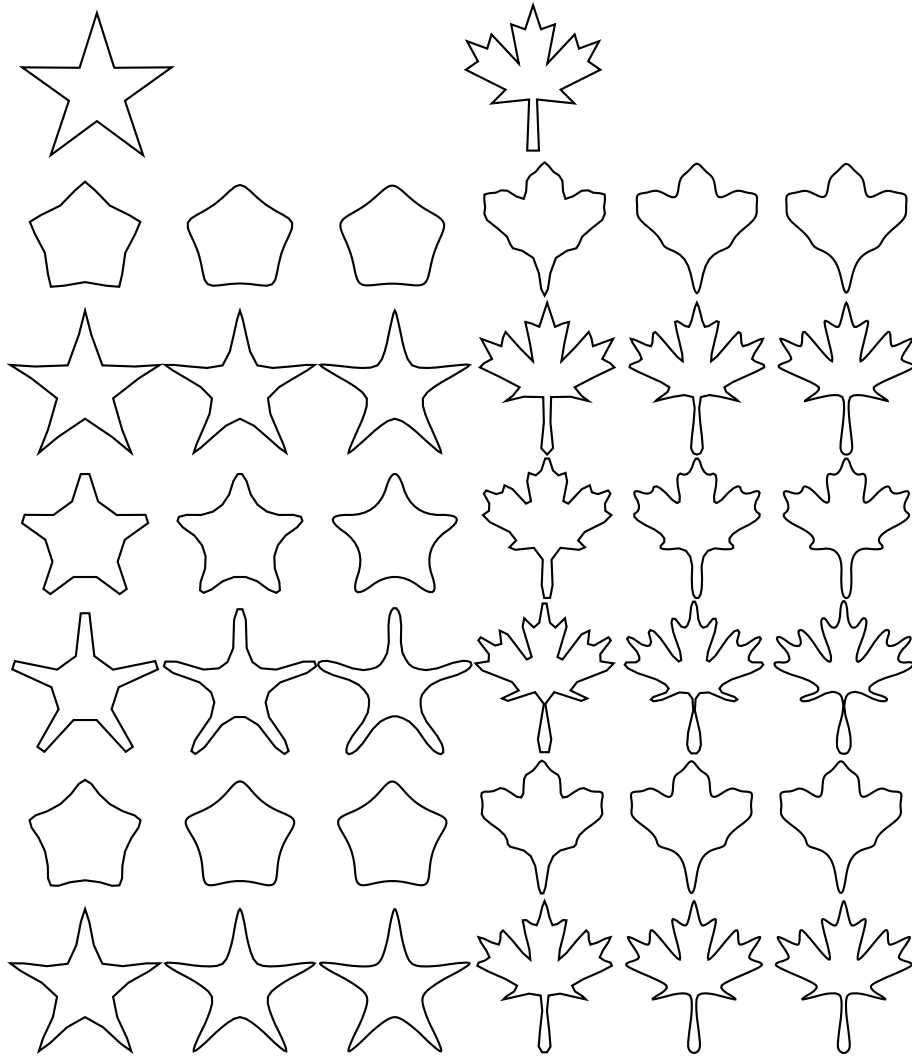


Figure 4: Subdivision curves at levels 1, 2, 3 with the initial control polygons at the first row. The subdivision triplet  $(a, 2, \{-1, 1\})$  in Example 1 is used with  $t = -\frac{1}{2}$  ( $a_4^B(\cdot - 2)$ ) for the 2nd row and with  $t = 1$  (interpolatory) for the 3rd row.  $(a, 2, \{-1, 1\})$  in Example 2 is used with  $t = 0$  ( $a_3^B(\cdot - 1)$ , the corner cutting scheme) for the 4th row and with  $t = 1$  and  $\text{lpm}(a) = 4$  for the 5th row.  $(a, 3, \{-1, 1\})$  is used with  $t_1 = \frac{2}{9}, t_2 = \frac{1}{9}$  for the 6th row and with  $t_1 = \frac{5}{11}, t_2 = -\frac{4}{11}$  (interpolatory,  $\text{sm}_\infty(a, 3) = \log_3 11$ ) for the 7th row.