• We want to compute the fraction of light (power) that is reflected and transmitted by a flat interface between two dielectric media with different indices of refraction. We are also interested in possible phase shifts.

• As a first step in achieving this, we investigate the relationship between the incident, reflected and refracted <u>electric</u> fields at the interface. The relationship is summarized by the **Fresnel Equations**.

• Suppose that a monochromatic plane wave is incident on the planar surface separating two isotropic media. Whatever the polarization of the incident wave, we shall resolve its  $\vec{E}$  (and  $\vec{B}$ ) field into components  $\parallel$  and  $\perp$  to the *plane-of-incidence*. The Fresnel Equations treat these components separately.

<u>Note</u>: Some Optics literature use *s* and *p* to refer to  $\vec{\mathbf{E}}_{\perp}$  and  $\vec{\mathbf{E}}_{\parallel}$ , respectively. (In this notation, *s* derives from the German word "senkrecht" which means "perpendicular" and *p* stands for parallel.) In other literature,  $\vec{\mathbf{E}}_{\perp}$  is labelled "transverse electric" or TE, indicating that the electric field is transverse (perpendicular) to the plane-of-incidence. In this notation,  $\vec{\mathbf{E}}_{\parallel}$  is labelled TM for "transverse magnetic".

• Consider the following scenario for the situation where the incident  $\vec{E}$  is <u>perpendicular</u> to the plane-of-incidence (i.e., the page):



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 $(\theta_r=\theta_i)$ 

( $\theta_t$  related to  $\theta_i$  via Snell's Law)



- As usual, the symbol "dot within circle" (as shown in Fig. 1) indicates the vector is coming out of the page. [The symbol "cross within circle" would be used to indicate the vector is going into the page.]

-  $\vec{\mathbf{E}}_r$  (the reflected  $\vec{\mathbf{E}}$ ) and  $\vec{\mathbf{E}}_t$  (the transmitted  $\vec{\mathbf{E}}$ ) are perpendicular to the plane-of-incidence, but in principle could be phase-shifted compared to the incident  $\vec{\mathbf{E}}_i$  (more details later).

• In this scenario where  $\vec{\mathbf{E}}_i$  is  $\perp$  to the plane-of-incidence, i.e., <u>perpendicular</u> polarization, the **amplitude reflection coefficient**  $r_{\perp}$  and the **amplitude transmission coefficient**  $t_{\perp}$  are relevant:

$$r_{\perp} = \left(\frac{E_{0r}}{E_{0i}}\right)_{\perp}$$
 and  $t_{\perp} = \left(\frac{E_{0t}}{E_{0i}}\right)_{\perp}$ 

• Consider the following scenario for the situation where the incident  $\vec{E}$  is *parallel* to the plane-of-incidence (i.e., the page):





 $(\theta_r=\theta_i)$ 

( $\theta_t$  related to  $\theta_i$  via Snell's Law)

Fig.2

-  $\vec{\mathbf{E}}_r$  (the reflected  $\vec{\mathbf{E}}$ ) and  $\vec{\mathbf{E}}_t$  (the transmitted  $\vec{\mathbf{E}}$ ) are entirely parallel to the plane-of-incidence but in principle could be phase-shifted compared to the incident  $\vec{\mathbf{E}}_i$  (more details later).

• In this scenario where  $\vec{\mathbf{E}}_i$  is  $\parallel$  to the plane-of-incidence, i.e., <u>parallel</u> polarization, the **amplitude reflection coefficient**  $r_{\parallel}$  and the **amplitude transmission coefficient**  $t_{\parallel}$  are relevant:

$$r_{\parallel} = \left(rac{E_{0r}}{E_{0i}}
ight)_{\parallel}$$
 and  $t_{\parallel} = \left(rac{E_{0t}}{E_{0i}}
ight)_{\parallel}$ 

## **Fresnel Equations**

for homogeneous, non-magnetic, dielectric media (see the derivation handout)



Augustin-Jean Fresnel (1788-1827)

Amplitude coefficients	Alternatively, by using Snell's law:
$r_{\perp} \equiv \left(\frac{E_{0r}}{E_{0i}}\right)_{\perp} = \frac{n_i \cos\theta_i - n_t \cos\theta_t}{n_i \cos\theta_i + n_t \cos\theta_t}$	$r_{\perp} = -\frac{\sin(\theta_i - \theta_t)}{\sin(\theta_i + \theta_t)}$
$t_{\perp} \equiv \left(\frac{E_{0t}}{E_{0i}}\right)_{\perp} = \frac{2n_i \cos\theta_i}{n_i \cos\theta_i + n_t \cos\theta_t}$	$t_{\perp} = +\frac{2\mathrm{sin}\theta_t \mathrm{cos}\theta_i}{\mathrm{sin}(\theta_i + \theta_t)}$
$r_{\parallel} \equiv \left(\frac{E_{0r}}{E_{0i}}\right)_{\parallel} = \frac{n_t \cos\theta_i - n_i \cos\theta_t}{n_i \cos\theta_t + n_t \cos\theta_i}$	$r_{\parallel} = + \frac{\tan(\theta_i - \theta_t)}{\tan(\theta_i + \theta_t)}$
$t_{\parallel} \equiv \left(\frac{E_{0t}}{E_{0i}}\right)_{\parallel} = \frac{2n_i \cos\theta_i}{n_i \cos\theta_t + n_t \cos\theta_i}$	$t_{\parallel} = + \frac{2 \sin \theta_t \cos \theta_i}{\sin(\theta_i + \theta_t) \cos(\theta_i - \theta_t)}$
Egn (1)	Egn (2)

<u>Note</u>: In the Optics literature, different sign variations have been labeled as the Fresnel Equations (in particular, for  $r_{\parallel}$ ). To avoid confusion, the equations should be related to the specific field directions from which they were derived. (The above equations are referred to the directions indicated in Fig. 1 and Fig. 2.)

 $\Rightarrow$  It can be shown that:

 $t_{\perp} + (-r_{\perp}) = 1$  always  $t_{\parallel} + r_{\parallel} = 1$  only at normal incidence

# (I) External Reflection $[n_i < n_t]$ ,

transmitted medium is optically denser

Example:

Light going from air ( $n_i = 1.0$ ) to glass ( $n_t = n = 1.5$ ).



Sir David Brewster (1781-1868)

Notes:

 $\Rightarrow$  Although the material is considered to be "transparent", in general, light is actually both transmitted and reflected from the material.

 $\Rightarrow$  At normal incidence, i.e.,  $\theta_i = 0^0$ , and hence  $\theta_t = 0^0$  from Snell's Law Eqn (1) then gives [Eqn (2) might be harder to use]:

$$r_{\parallel} = -r_{\perp} = \frac{n_t - n_i}{n_t + n_i}$$
(3)  
$$t_{\parallel} = t_{\perp} = \frac{2n_i}{n_t + n_i}$$
(4)

(The expressions displayed in the graph can then be obtained by substituting  $n_i = 1$  and  $n_t = n$ ).

 $\Rightarrow$  If the incident ray is at *glancing incidence* to the interface, i.e.,  $\theta_i = 90^0$ , Eqn (2) and some trigonometric identities yield  $r_{\parallel} = r_{\perp} = -1$  and  $t_{\parallel} = t_{\perp} = 0$ . This implies that all the light is reflected.

For example:

$$r_{\perp} = -\frac{\sin(\theta_i - \theta_t)}{\sin(\theta_i + \theta_t)} = -\frac{\sin(90^0 - \theta_t)}{\sin(90^0 + \theta_t)} = -\frac{\cos(\theta_t)}{\cos(\theta_t)} = -1$$
$$r_{\parallel} = +\frac{\tan(\theta_i - \theta_t)}{\tan(\theta_i + \theta_t)} = \frac{\tan(90^0 - \theta_t)}{\tan(90^0 + \theta_t)} = \frac{\cot(\theta_t)}{-\cot(\theta_t)} = -1$$
etc.

⇒ Notice that the Fresnel amplitude coefficients can take on both positive and negative values. This implies the reflected light can undergo phase shifts (more later...).

## Polarization Angle (Brewster's Angle)

 $\Rightarrow$  At the so-called **polarization angle** or **Brewster's angle**  $\theta_p$  the value  $r_{\parallel} = 0$ . This means that light that is polarized parallel to the plane of incidence (*p*-polarized light) is <u>not</u> reflected. Note that if *unpolarized* light is incident on the interface, the reflected light will be fully polarized  $\perp$  to the plane-of-incidence.



Note that in Eqn (2),  $r_{\parallel} = 0$  occurs when the denominator is  $\tan 90^0 = \infty$ . Hence,

$$\theta_p + \theta_t = 90^0 \tag{5}$$

i.e., the reflected and transmitted rays are perpendicular.

Physically, the  $\|$ -polarized (*p*-polarized) light cannot reflect because the reflected  $\vec{k}_r$  and the transmitted  $\vec{k}_t$  are perpendicular. A reflection would require the microscopic dipoles at the surface of the second material (with  $n_t$ ) to radiate along their axes, which they cannot do.

## Polarization Angle (Brewster's Angle) con't

From Snell's Law:

$$n_{i}\sin\theta_{p} = n_{t}\sin\theta_{t}$$
$$= n_{t}\sin(90^{0} - \theta_{p})$$
$$= n_{t}\cos\theta_{p}$$

$$\tan\theta_p = \frac{n_t}{n_i} \tag{6}$$

e.g. 
$$n_i = 1.00; n_t = 1.50$$
  
 $\tan \theta_p = \frac{n_t}{n_i} = \frac{1.50}{1.00} \implies \theta_p = 56.3^0$ 

(II) Internal Reflection  $[n_i > n_t]$ , incident medium is optically denser <u>Example</u>:

Light going from glass ( $n_i = n = 1.5$ ) to air ( $n_t = 1.0$ ).



#### Additional Notes:

 $\Rightarrow$  New behavior: Both  $r_{\parallel}$  and  $r_{\perp}$  reach +1 at the **critical angle**  $\theta_{c}$ . As we shall discuss later, if the incident angle is larger than  $\theta_{c}$ , the light ray is fully reflected, i.e., the regime of **total internal reflection (TIR)**. (In this regime, the Fresnel coefficients are complex.) The numerical value of  $\theta_{c}$  can be found using Snell's Law with the refracted angle set to 90<sup>0</sup> (it is equal to 41.8<sup>0</sup> in the figure above).

#### Internal Reflection (con't)

re: Critical angle  $\theta_C$  (con't)

$$n_t = 1.00 \qquad \qquad \theta_t = 90^0$$
$$n_i = 1.50 \qquad \qquad \theta_c$$

$$n_i \sin \theta_C = n_t \sin 90^0$$
$$1.50 \sin \theta_C = 1.00 \sin 90^0$$
$$\theta_C = 41.8^0$$

 $\Rightarrow$  Here, the polarization angle (Brewster's angle) is  $\theta_p = 33.7^0$ .

i.e., from 
$$\tan \theta_p = \frac{n_t}{n_i} = \frac{1.00}{1.50}$$

<u>Note</u>: Recall that the polarization (Brewster's) angle for external reflection, i.e., from air to glass, was  $\theta'_p = 56.3^0$ . Hence,  $\theta_p + \theta'_p = 90^0$ . This is a general result: i.e., polarization (Brewster's) angles for internal and external reflection at a given interface are complementary.

 $\Rightarrow$  Note that the transmission coefficients  $t_{\parallel} > 1$  and  $t_{\perp} > 1$ , implying that the amplitudes of the transmitted electric fields are larger than that of the incident electric field...!

(At  $\theta_C$ , the values of  $t_{\parallel}$  and  $t_{\perp}$  are finite; here  $t_{\parallel} = 3$  and  $t_{\perp} = 2$ .)