

## Chow Groups of Quadrics And The Stabilization Conjecture

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### §0. Introduction

Let  $F$  be an arbitrary field of characteristic different from 2 and  $\varphi$  be a nondegenerate quadratic form of dimension  $n$  over  $F$ . By  $X_\varphi$  we denote the projective quadric corresponding to  $\varphi$  (i.e., the hypersurface in  $\mathbb{P}_F^{n-1}$  defined by the equation  $\varphi = 0$ ). Let  $\text{CH}^*(X_\varphi)$  be the Chow group of a variety  $X$  (i.e., the group of cycles on  $X$  modulo rational equivalence) graded by codimension of cycles. The problem of computing  $\text{CH}^*(X_\varphi)$  raised by Swan [S1], [S2] was found hopeless [KM]. However, there is a conjecture, namely the stabilization conjecture stated below which perhaps can be proved.

Let  $h^p \in \text{CH}^p(X_\varphi)$  be the class of a  $p$ -codimensional linear section (i.e., the inverse image of the class of a  $p$ -codimensional linear subspace in  $\mathbb{P}_F^{n-1}$  with respect of the closed imbedding  $X_\varphi \hookrightarrow \mathbb{P}_F^{n-1}$ ). It is obvious that the element  $h^p$  has infinite order. In its weakest form the conjecture of stabilization is

CONJECTURE 0.1. *For any  $p$ , if  $n$  is sufficiently large, then*

$$\text{CH}^p(X_\varphi) = \mathbb{Z} \cdot h^p.$$

A more exact wording is given just below:

CONJECTURE 0.2. *If  $n > 4p$  for some  $p$ , then  $\text{CH}^p(X_\varphi) = \mathbb{Z} \cdot h^p$ .*

An easy computation of  $\text{CH}^1(X_\varphi)$  [K1] shows Conjecture 0.2 is true for  $p = 1$ .

Let  $T\text{CH}^p(X_\varphi) \subset \text{CH}^p(X_\varphi)$  be the torsion subgroup. It is easy to verify

PROPOSITION 0.3 [K1], [S2]. *The composition*

$$T\text{CH}^p(X_\varphi) \hookrightarrow \text{CH}^p(X_\varphi) \rightarrow \text{CH}^p(X_\varphi)/(\mathbb{Z} \cdot h^p)$$

is an isomorphism for  $p < (\dim X_\varphi)/2 = (n-2)/2$ .

So conjecture 0.2 can be formulated for  $p > 1$  in an equivalent way as follows:

CONJECTURE 0.4. *If  $n > 4p$  for some  $p > 1$ , then  $TCH^p(X_\varphi) = 0$ .*

Recall that  $\langle a_1, \dots, a_n \rangle$  denotes the quadratic form  $a_1 x_1^2 + \dots + a_n x_n^2$ , a form of the kind  $\langle 1, a_1 \rangle \otimes \dots \otimes \langle 1, a_r \rangle$  is called an  $r$ -fold Pfister form [L]. We say two forms  $\varphi_1$  and  $\varphi_2$  over  $F$  are proportional iff  $\varphi_1 \simeq c\varphi_2$  for some  $c \in F^*$ .

For  $p = 2$  Conjecture 0.4 follows from

THEOREM 0.5 [K1]. *If  $\varphi$  is proportional to a subform of an anisotropic 3-fold Pfister form and  $\dim \varphi > 4$ , then*

$$TCH^2(X_\varphi) \simeq \mathbb{Z}/2\mathbb{Z}.$$

Otherwise

$$TCH^2(X_\varphi) = 0.$$

From  $p = 3$  the proof of 0.4 is unknown. There is only the following information on  $TCH^3(X_\varphi)$ :

THEOREM 0.6 [K2]. *For any  $\varphi$  the group  $TCH^3(X_\varphi)$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$  or equal to 0.*

Note that such a statement is not true for  $TCH^p(X_\varphi)$  if  $p \geq 4$ : such a group can be infinite (for every  $p \geq 4$  and a suitable  $F$  and  $\varphi$  over  $F$ ) [KM].

There is another argument supporting 0.4. That is Rost's computation of  $CH^*(X_\varphi)$  for a Pfister form  $\varphi$  [R]. It is natural to expect that Chow groups of such quadrics are the "worst". However Rost's result yields, in particular, the following statement:

THEOREM 0.7. *If  $\varphi$  is an anisotropic  $r$ -fold Pfister form, then*

$$\min\{p : TCH^p(X_\varphi) \neq 0\} = 2^{r-2}.$$

This fact is nice for 0.4 and shows that the number  $4p$  in 0.4 cannot be decreased if  $p$  is a power of 2 because the dimension of a  $r$ -fold Pfister form equals  $2^r = 4 \cdot 2^{r-2}$ .

The aim of this paper is getting proof of Theorem 2.4 which shows that for any  $p$  (not only for a power of 2) the number  $4p$  in 0.4 cannot be decreased.



## §1. The Grothendieck group

Let  $K(X)$  denote the Grothendieck group  $K'_0(X)$  of a variety  $X$ . Consider the filtration by codimension of support (see [Q])

$$K(X) = K(X)^{(0)} \supset K(X)^{(1)} \supset \dots$$

The quotient  $K(X)^{(p/p+1)}$  will be denoted by  $G^p K(X)$  and the torsion in it by  $TG^p K(X)$ .

PROPOSITION 1.1. *The kernel of the natural epimorphism*

$$CH^p(X) \rightarrow G^p K(X)$$

*is contained in  $TCH^p(X)$ .*

PROOF. The Chern classes  $K(X) \rightarrow CH^*(X)$  induce a homomorphism  $G^p K(X) \rightarrow CH^p(X)$  for each  $p$ , and the composition

$$CH^p(X) \rightarrow G^p K(X) \rightarrow CH^p(X)$$

coincides with multiplication by  $(-1)^{p-1} (p-1)!$ .  $\square$

COROLLARY 1.2. *If  $TG^p K(X) \neq 0$  for some  $p$  then  $TCH^p(X) \neq 0$ .*  $\square$

The aim of this section is to formulate the part of the results of [K1] on  $G^p K(X_\varphi)$  which will be used in §2. Recall some notations:  $C_0(\varphi)$  is the even part of the Clifford algebra of  $\varphi$ ,  $I(F)$  is the ideal of even dimensional forms in the Witt ring  $W(F)$  of nondegenerate quadratic forms over  $F$  [L].

PROPOSITION 1.3 [L]. *The algebra  $C_0(\varphi)$  has dimension  $2^{n-1}$  over  $F$  ( $n = \dim \varphi$ ). If  $\varphi \notin I^2(F)$ , then  $C_0(\varphi)$  is a simple algebra; if  $\varphi \in I^2(F)$  (i.e.,  $n \geq 2$  and  $(-1)^{n/2} \det \varphi \in F^{*2}$  [L]), then  $C_0(\varphi)$  is isomorphic to the Cartesian square of a simple algebra.*

DEFINITION 1.4. We define the number  $s(\varphi)$  as follows. In view of 1.3, if  $\varphi \notin I^2(F)$ , then  $C_0(\varphi) \simeq M_{2^s}(D)$  for some division algebra  $D$  and integer nonnegative  $s$ , and if  $\varphi \in I^2(F)$ , then  $C_0(\varphi) \simeq M_{2^s}(D) \times M_{2^s}(D)$  for some  $D$ ,  $s$ . In both cases we put  $s(\varphi) = s$ .

THEOREM 1.5 [K1]. *If  $\varphi$  is anisotropic and  $\varphi \notin I^2(F)$ , then  $TG^p K(X_\varphi)$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$  or equal to 0 for each  $p$ ; moreover*

$$\text{card}\{p : TG^p K(X_\varphi) \neq 0\} = s(\varphi).$$

The description of  $TG^*K(X_\varphi)$  for  $\varphi \in I^2(F)$  is more intricate. Here we shall need the case  $s(\varphi) = 0$  only.

**THEOREM 1.6** [K1]. *For any  $\varphi$ , if  $s(\varphi) = 0$ , then  $TG^*K(X_\varphi) = 0$ .*

We denote the image of  $h^p \in CH^p(X_\varphi)$  in  $G^pK(X_\varphi)$  by the same symbol. The next statement is not complicated and is also true for Chow groups.

**PROPOSITION 1.7** [K1], [S2]. *For any anisotropic  $\varphi$ , the quotient*

$$G^pK(X_\varphi)/TG^pK(X_\varphi)$$

*is generated by the class of  $h^p$  if  $p \neq (\dim X_\varphi)/2$ .*

## §2. Main theorem

**LEMMA 2.1.** *Let  $U$  be affine quadric over the field  $F(t)$  defined by the equation  $\varphi_{F(t)} = t$ , where  $\varphi$  is a quadratic form over  $F$ ,  $t$  is an independent variable. Then  $CH^p U = 0$  for all  $p > 0$ .*

**PROOF.** We consider the morphism  $\mathbb{A}_F^n \rightarrow \mathbb{A}_F^1$  defined by  $\varphi$ , where  $n = \dim \varphi$ . The fiber over the generic point of  $\mathbb{A}_F^1$  is isomorphic to  $U$ , thus we obtain an epimorphism  $CH^p(\mathbb{A}_F^n) \rightarrow CH^p(U)$  [KM]. Now it is sufficient to recall that  $CH^p(\mathbb{A}_F^n) = 0$  for  $p > 0$ .  $\square$

**COROLLARY 2.2.** *If  $F = k(t_1, \dots, t_n)$ ,  $\varphi = \langle t_1, \dots, t_n \rangle$ , where  $k$  is a field and  $t_i$  are independent variables, then  $CH^p(X_\varphi) = \mathbb{Z} \cdot h^p$  for all  $p$ .*

**PROOF.** Let  $U$  be the affine quadric  $\psi = -t_n$  over the field  $F$ , where  $\psi = \langle t_1, \dots, t_{n-1} \rangle$ . There is a closed imbedding  $X_\psi \hookrightarrow X_\varphi$  and  $U \simeq X_\varphi \setminus X_\psi$ , thus we obtain an exact sequence

$$CH^{p-1}(X_\psi) \rightarrow CH^p(X_\varphi) \rightarrow CH^p(U) \rightarrow 0.$$

The last group in the sequence equals 0 in view of 2.1. Thus  $CH^p(X_\varphi)$  is generated by the class of a linear section if so is  $CH^{p-1}(X_\psi)$ , and one can prove the corollary using induction on  $n$ .  $\square$

**PROPOSITION 2.3.** *Let  $\psi$  be an anisotropic quadratic form of dimension  $2p$  over a field  $k$ .  $\psi \in I^2(k)$  and  $s(\psi) = 0$  (for example one can have:  $k = k_0(t_1, \dots, t_{2p-1})$  and  $\psi = \langle t_1, \dots, t_{2p-1}, (-1)^p t_1, \dots, t_{2p-1} \rangle$  where*



$k_0$  is a field,  $t_i$  are independent variables,  $p > 1$ ). If one puts  $F = k(t)$ ,  $\varphi = \langle t \rangle \perp \psi_F$  then  $TCH^p(X_\varphi) \neq 0$ .

PROOF. Abusing notations, we denote  $\psi_F$  by  $\psi$  without a special sign. In view of 2.1, the first homomorphism of the exact sequence

$$CH^{i-1}(X_\psi) \rightarrow CH^i(X_\psi) \rightarrow CH^i(U) \rightarrow 0$$

is surjective. Considering the commutative square

$$\begin{array}{ccc} CH^{i-1}(X_\psi) & \rightarrow & CH^i(X_\psi) \\ \downarrow & & \downarrow \\ G^{i-1}K(X_\psi) & \rightarrow & G^iK(X_\psi) \end{array}$$

we see that the lower arrow is surjective too. Since  $s(\psi) = 0$ , Theorem 1.6 says that  $TG^{i-1}K(X_\psi) = 0$  for all  $i$ . In view of 1.7, the last statement implies that  $G^{i-1}K(X_\psi)$  is generated by  $h^{i-1}$  for  $i-1 \neq (\dim X_\psi)/2 = p-1$ . Thus  $G^iK(X_\psi)$  is generated by  $h^i$  and therefore has no torsion for  $i \neq p$ .

On the other hand,  $\varphi$  is anisotropic and  $s(\varphi) = s(\psi) + 1 = 1$ . Consequently Theorem 1.5 implies  $TG^iK(X_\varphi) \neq 0$  for some  $i$ , and because of 1.2 the proof is over.  $\square$

THEOREM 2.4. For any  $p > 1$ , there exist a  $4p$ -dimensional quadratic form  $\varphi$  (over a suitable field  $F$ ) such that  $TCH^p(X_\varphi) \neq 0$ .

CONSTRUCTION. Let  $k$  be an arbitrary field and  $t_0, t_1, \dots, t_{2p-1}$  be independent variables where  $p > 1$ . We put  $F = k(t_0, t_1, \dots, t_{2p-1})$ ,  $\rho = \langle t_0, t_1, \dots, t_{2p-1} \rangle$  and finally  $\varphi = \rho \otimes \langle 1, (-1)^p \det \rho \rangle$ . We claim that

$$TCH^p(X_\varphi) \neq 0.$$

PROOF. A reduced notation  $d\rho$  for the term  $(-1)^p \det \rho$  will be used. It is sufficient to show that  $CH^p(U) \neq 0$ , where  $U$  is the affine quadric defined over  $F$  by the equation  $\rho \perp \langle d\rho \rangle \otimes \langle t_1, \dots, t_{2p-1} \rangle = -(d\rho)t_0$ . Projecting on the coordinates which are terms of the second summand in the left part of the equation, we obtain a flat morphism  $U \rightarrow \mathbb{A}_F^{2p-1}$ . Because  $CH^p(U) \rightarrow CH^p(\tilde{U})$ , where  $\tilde{U}$  is the fiber over the generic point, is epimorphic, it suffices to prove that  $CH^p(\tilde{U}) \neq 0$ .

The variety  $\tilde{U}$  is the affine quadric over  $E = F(x_1, \dots, x_{2p-1})$  defined by the equation  $\rho_E = -(d\rho)\sigma$ , where  $\sigma = t_0 + t_1x_1^2 + \dots + t_{2p-1}x_{2p-1}^2$ .

Denote by  $\tilde{X}$  the projective closure of  $\tilde{U}$  and set  $\tilde{Y} = \tilde{X} \setminus \tilde{U}$ . The projective quadric  $\tilde{Y}$  is determined by the form  $\rho_E$  and  $\text{CH}^{p-1}(\tilde{Y}) = \mathbb{Z} \cdot h^{p-1}$  in view of 2.2. We have a sequence consisting of isomorphisms and an equality:

$$\text{CH}^p(\tilde{U}) \simeq \text{CH}^p(\tilde{X}) / \text{Im } \text{CH}^{p-1}(\tilde{Y}) = \text{CH}^p(\tilde{X}) / (\mathbb{Z} \cdot h^p) \simeq T \text{CH}^p(\tilde{X}).$$

We shall prove that the last group is nontrivial.

The projective quadric  $\tilde{X}$  corresponds to the form  $\rho_E \perp \langle (d\rho)\sigma \rangle$ . Since  $\rho_E$  represents  $\sigma$ , one can find elements  $f_1, \dots, f_{2p-1} \in E^*$  such that  $\rho_E \simeq \langle \sigma, f_1, \dots, f_{2p-1} \rangle$  [L]. Comparison of determinants shows that

$$\rho_E \perp \langle (d\rho)\sigma \rangle \simeq \langle \sigma, f_1, \dots, f_{2p-1}, (-1)^p f_1 \dots f_{2p-1} \rangle.$$

In view of Proposition 2.5 stated below, the elements  $\sigma, f_1, \dots, f_{2p-1}$  are algebraically independent over  $l = k(x_1, \dots, x_{2p-1})$  and generate  $E$  over  $l$  if the choice of  $f_i$  was suitable. So  $\tilde{X}$  answers the conditions of 2.3 and therefore  $T \text{CH}^p(\tilde{X}) \neq 0$ .  $\square$

The last step is to state and prove

**PROPOSITION 2.5.** *Suppose that  $l$  is a field,  $t_0, t_1, \dots, t_n$  are independent variables,  $E = l(t_0, t_1, \dots, t_n)$ ,  $\sigma = t_0 x_0^2 + t_1 x_1^2 + \dots + t_n x_n^2$  for some  $x_i \in l^*$ . There exist  $f_1, \dots, f_n \in E^*$  such that:*

- (1)  $\langle t_0, t_1, \dots, t_n \rangle \simeq \langle \sigma, f_1, \dots, f_n \rangle$ ,
- (2)  $l(\sigma, f_1, \dots, f_n) = E$

(in particular  $\sigma, f_1, \dots, f_n$  are algebraically independent over  $l$  because the number of these elements coincides with  $\text{deg tr } E/l$ ).

**PROOF.** Replacing  $t_i$  by  $t_i x_i^2$  reduces the proof to the case  $x_i = 1$  for all  $i$ .

Let  $e_0, e_1, \dots, e_n$  be the orthogonal basis of the form  $\langle t_0, t_1, \dots, t_n \rangle$  for which  $(e_i, e_i) = t_i$ . Note that  $\sigma$  is equal to the square of  $e = e_0 + e_1 + \dots + e_n$ . To prove the proposition, it is sufficient to find a diagonal form  $\langle f_1, \dots, f_n \rangle$  on the orthogonal complement  $e^\perp$  satisfying condition 2. The family of vectors  $e'_i = e_0/t_0 - e_i/t_i$ ,  $i = 1, \dots, n$ , is a basis of  $e^\perp$ . We claim the orthogonalization of this basis will give (after a small correction) what is required.

To prove this, let us consider the Gramm matrix of  $\{e'_i\}$  and compute its principal minors. Since  $(e'_i, e'_i) = 1/t_0 + 1/t_i$ ,  $(e'_i, e'_j) = 1/t_0$  for  $i \neq j$ ,



the  $i$ -th principal minor  $M_i$  equals  $(1/t_0^i)$  multiplied by the determinant

$$\left| \begin{pmatrix} (1+g_1) & 1 & 1 & \dots & 1 \\ 1 & (1+g_2) & 1 & \dots & 1 \\ 1 & 1 & (1+g_3) & \dots & 1 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & 1 & \dots & (1+g_i) \end{pmatrix} \right|$$

where  $g_i = t_0/t_i$ . This determinant equals

$$g_1 g_2 \dots g_i (1 + 1/g_1 + 1/g_2 + \dots + 1/g_i),$$

therefore  $M_i = (t_0 + t_1 + \dots + t_i)/(t_0 t_1 \dots t_i)$ . Consequently, orthogonalizing  $\{e'_i\}$ , we obtain a basis whose elements have squares of the form

$$M_i/M_{i-1} = 1/(t_0 + t_1 + \dots + t_{i-1}) + 1/t_i.$$

For  $i = 1, \dots, n$ , we put  $f_i = t_i^2 \cdot M_i/M_{i-1}$ .

The last problem remaining is to show that  $t_0, t_1, \dots, t_n \in E'$ , where  $E' = l(\sigma, f_1, \dots, f_n)$ . We prove the statements  $\sigma_i, t_i \in E'$ , where  $\sigma_i = t_0 + t_1 + \dots + t_i$ , by using inverse induction on  $i$  and the equality

$$t_i = \sigma_i f_i / (\sigma_i + f_i) \quad (*)$$

We have  $\sigma_n = \sigma \in E'$ , and  $(*)$  shows  $t_n \in E'$ . If  $\sigma_{i+1}, t_{i+1} \in E'$ , then  $\sigma_i = \sigma_{i+1} - t_{i+1} \in E'$  and therefore  $t_i \in E'$  in view of  $(*)$ . Finally  $t_0 = \sigma_0 = \sigma_1 - t_1 \in E'$ .  $\square$

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