# Filtered Frobenius algebras in monoidal categories

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(joint work with Chelsea Walton)

#### Motivation

Filtered vector spaces

$$F_A(0) \subset F_A(1) \subset \cdots \subset F_A(i) \subset \cdots \subset F_A(n) = A$$

Associated graded vector space gr(A) with

$$\operatorname{gr}(A)_i = F_A(i)/F_A(i-1)$$

#### Example

Cl(V, B) with associated graded  $\Lambda(V)$  $\mathcal{U}(\mathfrak{g}, [,])$  with associated graded  $Sym(\mathfrak{g})$ 

- What properties of the associated graded algebra lift to its filtered deformations?
- Integral domain, Noetherian, prime, etc.

## Definition (Frobenius algebra)

It is a tuple  $(A, m, u, \varepsilon : A \to \mathbb{k})$  such that  $(A, m, u) \in \mathsf{Alg}_{\mathbb{k}}$  and  $\mathsf{ker}(\varepsilon)$  does not contain any non-trivial left ideal.

#### Example

Take a f.d. vector space V with basis  $(e_i)_{i=1}^n$ , then  $\Lambda(V)$  is Frobenius with  $\varepsilon(a)$  =coefficient of  $e_1 \wedge \cdots \wedge e_n$  in a.

#### Connection to TQFTs

 $\mathsf{CommFrobAlg}(\mathsf{Vec}_{\Bbbk}) \leftrightarrow 2d\text{-}\mathsf{TQFT}(\mathsf{Vec}_{\Bbbk})$ 

## Theorem (Bongale 1967)

Let A be a finite-dimensional, connected, filtered k-algebra. If the associated graded algebra of A is Frobenius, then so is A.

### Definition (Monoidal category)

It is a tuple  $(\mathcal{C}, \otimes, \mathbb{1}, \alpha, l, r)$  where  $\mathcal{C}$  is a category,  $\otimes : \mathcal{C} \times \mathcal{C} \to \mathcal{C}$  is a bifunctor,  $\mathbb{1} \in \mathsf{Obj}(\mathcal{C})$  ('unit' object) and  $\alpha, l, r$  are natural isomorphisms satisfying coherence (pentagon and triangle) axioms.

#### Example

$$(\mathsf{Vec}_{\Bbbk}, \otimes_{\Bbbk}, \Bbbk), (\mathsf{Set}, \times, \{a\})$$

Why study Frobenius algebras in monoidal categories?

- Conformal Field Theory (work of Fuchs, Runkel, Schweigert, etc.)
- Computer Science (work of Abramsky, Coecke, Vicary, etc.)
- Classification of subfactors (work of Müger, Jones, Snyder etc.)
- TQFTs

GOAL: Generalize Bongale's result to abelian monoidal categories.

### Outline

- Associated graded constructions
- Probenius algebras
- Main Result
- Further directions

# Filtered and Graded categories

Previous works that have prompted this framework include Ardizzoni-Menini 2012, Galatius-Kupers-Randal-Williams 2018, Haugseng-Miller 2016.

- $\mathbb{N}_0$ : set of natural numbers including 0.
- $\underline{\mathbb{N}_0}$ : category with objects  $\mathbb{N}_0$  and with only identity morphisms  $\mathrm{id}_i$  for all  $i \in \mathbb{N}_0$ .
- $N_0$ : category with objects  $N_0$  and with morphisms  $i \to j$  only for  $i,j \in N_0$  with  $i \le j$ .
- ullet From here on  ${\mathcal C}$  will always be an abelian category.

### Definition $((\mathbb{N}_{0})\text{-})\text{Fil}(\mathcal{C})$

- A filtration on  $X \in \text{Ob}(\mathcal{C})$  is a functor  $F_X \in \text{Fun}(\underbrace{\mathbb{N}_0}, \mathcal{C})$  such that  $\text{colim}_i(F_X(i)) \cong X$ .
- A filtered object is a pair  $(X, F_X)$  such that  $X \in Ob(\mathcal{C})$  and  $F_X$  is a filtration on X.
- A filtered morphism  $(f, F_f)$ :  $(X, F_X)$  and  $(Y, F_Y)$  is a tuple  $(f, F_f)$  such that  $f: X \to Y$  is a morphism in  $\mathcal C$  and  $F_f = \{F_f(i): F_X(i) \to F_Y(i)\}_{i \in \mathbb N_0}$  is a natural transformation such that  $\operatorname{colim}_i(F_f(i)) = f$ .
- Filtered objects in  $\mathcal C$  and their morphisms form a category, denoted by Fil(C).

In a similar manner, we can define the category  $Gr(\mathcal{C})$  of graded objects by replacing  $\mathbb{N}_{\mathbb{Q}}$  by  $\underline{\mathbb{N}_{\mathbb{Q}}}$  in the definition of  $Fil(\mathcal{C})$ .

#### Monoidal structure

Let  $\mathcal C$  be a monoidal category with  $\otimes$  biexact.

#### $\otimes$ structure on Fil( $\mathcal{C}$ )

For  $(X, F_X), (Y, F_Y) \in \mathsf{Ob}(\mathsf{Fil}(\mathcal{C}))$ , define

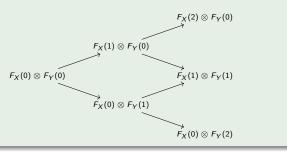
$$(X, F_X) \otimes (Y, F_Y) = (X \otimes Y, F_{X \otimes Y}),$$

where  $F_{X \otimes Y}(k) := \operatorname{colim}_{i+j \leq k} F_X(i) \otimes F_Y(j)$ .

The unit object is  $(\mathbb{1}, F_{\mathbb{1}})$  with the associated filtration  $F_{\mathbb{1}}: \underline{\mathbb{N}}_0 \to \mathcal{C}$  given by  $F_{\mathbb{1}}(i) = \mathbb{1}$  for all  $i \in \mathbb{N}_0$ .

#### Example

 $F_{X \otimes Y}(2)$  is the colimit of the following diagram in C.



In a similar manner, we define a monoidal structure on the category Gr(C).

## Associated graded functor gr

### Definition (gr)

- For  $(X, F_X) \in Fil(\mathcal{C})$ , let  $\overline{F_X(i)} = \operatorname{coker}(F_X(i) \to F_X(i-1))$ . Define  $\operatorname{gr}(X, F_X) = \coprod_{i \in \mathbb{N}_0} \overline{F_A(i)}$ .
- Given  $f:(X,F_X)\to (Y,F_Y)$  in  $Fil(\mathcal{C})$ , define

$$\operatorname{gr}(f):\operatorname{gr}(X,F_X)\to\operatorname{gr}(Y,F_Y)$$

with components coming from the universal property of cokernels:

$$F_{X}(i-1) \xrightarrow{\iota_{i-1}^{X}} F_{X}(i) \xrightarrow{\pi_{i}^{X}} \overline{F_{X}(i)} \longrightarrow 0$$

$$F_{f}(i-1) \downarrow \qquad \qquad \downarrow gr(f)_{i}$$

$$F_{Y}(i-1) \xrightarrow{\iota_{i-1}^{Y}} F_{Y}(i) \xrightarrow{\pi_{i}^{Y}} \overline{F_{Y}(i)} \longrightarrow 0$$

### gr is monoidal

### Theorem 1 (Walton-Y. 2021)

Let  $\mathcal C$  be an abelian monoidal category with  $\otimes$  biexact, then  $\operatorname{\sf gr}:\operatorname{\sf Fil}(\mathcal C)\to\operatorname{\sf Gr}(\mathcal C)$ 

is a monoidal functor.

Thus, we can define associated graded algebras (modules, ideals) in a monoidal category.

# Characterizations of Frobenius algebras in $(\mathcal{C}, \otimes)$

### Theorem (folklore)

Let C be a monoidal category and (A, m, u) be an algebra in C. Then the following are equivalent definitions of a Frobenius algebra:

• There exist morphisms  $\Delta: A \to A \otimes A$  and  $\varepsilon: A \to \mathbb{1}$  in  $\mathcal{C}$  such that  $(A, \Delta, \varepsilon)$  is a coalgebra in  $\mathcal{C}$  and

$$(id_A \otimes m)(\Delta \otimes id_A) = \Delta m = (m \otimes id_A)(id_A \otimes \Delta).$$

• There exist morphisms  $p:A\otimes A\to \mathbb{1}$  and  $q:\mathbb{1}\to A\otimes A$  in  $\mathcal C$  such that

$$p(m \otimes id_A) = p(id_A \otimes m),$$
  
 $(p \otimes id_A)(id_A \otimes q) = id_A = (id_A \otimes p)(q \otimes id_A).$ 

#### Another characterization

### Theorem (Fuchs-Stigner 2008)

Consider an algebra (A, m, u) in a rigid monoidal category C. The following are equivalent:

- A is a Frobenius algebra.
- There exists an isomorphism  $\Phi_I:A\to {}^*\!A$  of left A-modules in  $\mathcal C$ , with left A-action maps  $\lambda_A=m$  and

$$\lambda_{*\!A} = (\mathsf{id}_{*\!A} \otimes \mathsf{ev}_A')(\mathsf{id}_{*\!A} \otimes m \otimes \mathsf{id}_{*\!A})(\mathsf{coev}_A' \otimes \mathsf{id}_{A \otimes *\!A}).$$

• There exists an isomorphism  $\Phi_r: A \to A^*$  of right A-modules in C, with right A-action maps  $\rho_A = m$  and

$$\rho_{A^*} = (\mathsf{ev}_A \otimes \mathsf{id}_{A^*})(\mathsf{id}_{A^*} \otimes m \otimes \mathsf{id}_{A^*})(\mathsf{id}_{A^* \otimes A} \otimes \mathsf{coev}_A).$$

## A new equivalent characterization

#### Definition

Consider an algebra (A, m, u) in monoidal category  $\mathcal{C}$ . A weak left ideal is a tuple  $(I, \phi_I : I \to A, \lambda_I : A \otimes I \to I)$  satisfying:

$$\lambda_I (m \otimes id_I) = \lambda (id_A \otimes \lambda)$$
 ,  $\lambda_I (u \otimes id_I) = id_I$ ,  $\phi_I \lambda_I = m (id_A \otimes \phi_I)$ .

### Theorem 2 (Walton-Y. 2021)

Let  $\mathcal C$  be an abelian, rigid, monoidal category. An algebra (A,m,u) in  $\mathcal C$  is Frobenius if and only if there exists a morphism  $\nu:A\to\mathbb I$  in  $\mathcal C$  so that, if a left or a right weak ideal  $(I,\phi_I)$  of A factors through  $\ker(\nu)$ , then  $\phi_I$  is a zero morphism in  $\mathcal C$ .

#### Main result

### Theorem 3 (Walton-Y. 2021)

Let  $\mathcal{C}$  be an abelian rigid monoidal category, and let A be a connected filtered algebra in  $\mathcal{C}$  with finite monic filtration. If the associated graded algebra gr(A) is a Frobenius algebra in  $\mathcal{C}$ , then so is A.

*Proof sketch*: Since A has finite filtration  $\exists n \in \mathbb{N}$  such  $F_A(n) = F_A(n+k)$  for all k > 0. Then  $A = F_A(n)$ .

- A connected  $\Rightarrow$  gr(A) connected  $\Rightarrow$  gr(A)<sub>n</sub> =  $\overline{F_A(n)} = 1$ .
- Consider  $\nu: A \to F_A(n) = 1$ . By Theorem 2, it suffices to show that no ideal of A factors through  $\ker(\nu) = F_A(n-1)$ .
- Suppose not, get an I. By Theorem 1, gr(I) is a weak left ideal of gr(A) that factors through the kernel of a Frobenius form on it.
- Obtain contradiction by Theorem 2.

#### Theorem (Bongale 1967)

Let A be a finite-dimensional, connected, filtered k-algebra. If the associated graded algebra of A is Frobenius, then so is A.

### Theorem 3 (Walton-Y. 2021)

Let  $\mathcal C$  be an abelian, rigid monoidal category , and let A be a connected filtered algebra in  $\mathcal C$  with finite monic filtration. If the associated graded algebra  $\operatorname{gr}(A)$  is a Frobenius algebra in  $\mathcal C$ , then so is A.

#### Further directions

- **①** Can Theorem 3 be obtained via the means of a Frobenius monoidal functor  $Gr(\mathcal{C}) \to Fil(\mathcal{C})$ ?
- Can we get rid of the connectedness assumption?
- **3** Let H be a filtered bialgebra in a braided tensor category  $\mathcal C$  such that its associated graded algebra is a Hopf algebras. When is then H a Hopf algebra?

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