


Today, we will use Hopf algebras to define invariants of oriented, closed 3-manifolds.

In 2-dim, oriented closed manifolds are one of  $\Sigma_g =$    $g \geq 0$

In 3-dim, problem is much more interesting

## §1 Heegaard diagrams

Every 3-dim closed, orientable 3-dimensional manifold can be described using Heegaard diagrams (uniquely upto some moves).

**Defn** A Heegaard diagram is a triple

$$D = (\Sigma_g, \{u_i\}, \{l_i\})$$

genus  $g$  closed oriented surface

$g$  'upper' circles (oriented)

$g$  'lower' circles (oriented)

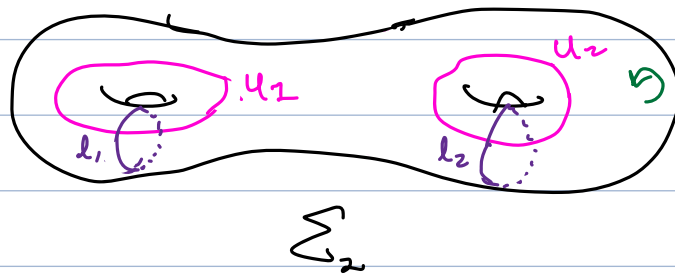
Conditions on circles  $\{u_i\}, \{l_i\}$   $1 \leq i \leq n$  for any  $n \geq 1$

$\rightarrow \{u_i\}, \{l_i\}$  are respectively disjoint

$\rightarrow \{u_i\}$  separates  $\Sigma_g$  into planar regions

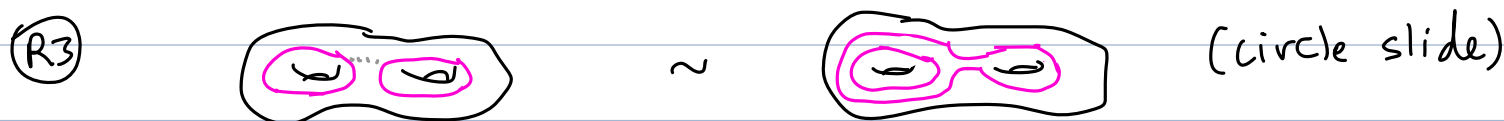
$\rightarrow \{l_i\}$  separates  $\Sigma_g$  into planar regions

Example:



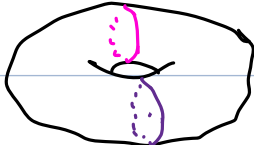
### FACTS

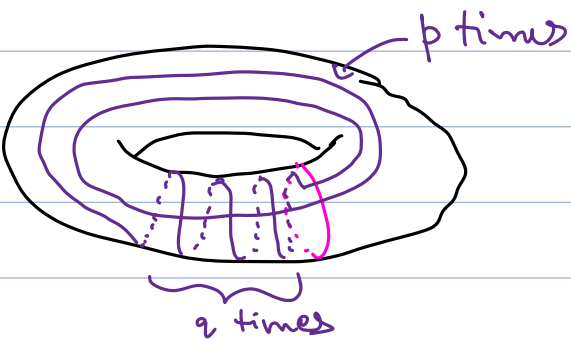
- Given a Heegaard diagram  $D$ , one can glue along the circles to get a closed, oriented 3-manifold  $M(D)$
- Given an oriented, closed 3-manifold  $M$ ,  $\exists$  a Heegaard diagram  $D$  such that  $M(D) \cong M$ .
- There can be multiple Heegaard diagrams  $D$  representing a 3-manifold  $M$ . Two H.D.  $D_1, D_2$  represent the same manifold if they are related by the following 3 relations



$$D_1 \xrightarrow{R_1, R_2, R_3} D_2 \iff M(D_1) \overset{\cong}{\sim} M(D_2)$$

Eg:  $D_{S^3} = \bigcirc \sim \bigcirc$

- $D_{S_2 \times S_1} =$  

- Lens space  $L(p, q)$   $D_{L(p, q)} =$  

Now, we are ready to define invariants of 3-mflds

Step 1: Assign a number  $\theta(D)$  to every Heegaard diagram

Step 2: Ensure that if  $D_1 \sim D_2$  then  $\theta(D_1) \sim \theta(D_2)$

Now for any oriented, closed 3-mfld  $M$ , consider its Heegaard diagram  $D_M$ . Then the assignment  $\psi$

$$\psi: M \longmapsto \theta(D_M)$$

is an invariant of closed, oriented 3-mflds.

That is,  $M_1 \cong M_2 \Rightarrow \psi(M_1) = \psi(M_2)$

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§2 Invariants constructed using involutory Hopf algebras

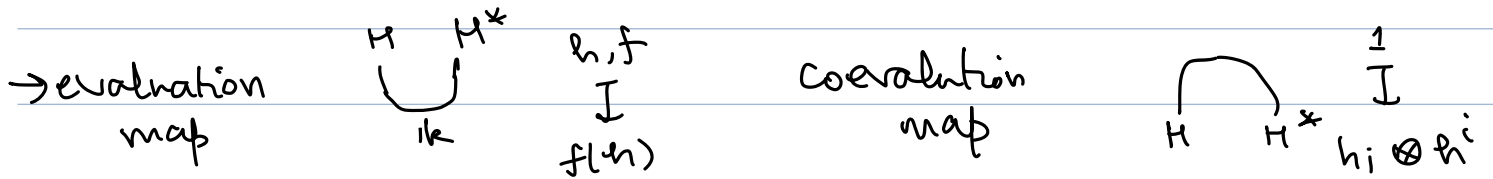
(Involutory Hopf algebras and 3-manifold invariants, Kuperberg)

- Let  $[H]$  be a finite dimensional Hopf algebra satisfying  $S^2 = \text{id}_H$

- Basis =  $\{h_i\}$ , dual basis =  $\{h^i\}$

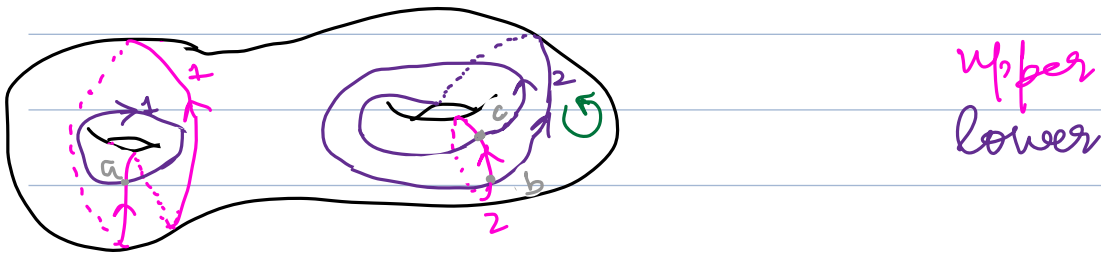
NOTATIONS:

$\rightarrow m = \gamma, u = \rho, \Delta = \mathcal{H}, \varepsilon = \mathcal{L}, S = \emptyset$

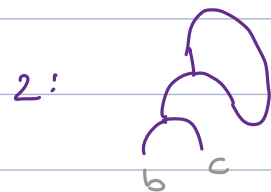
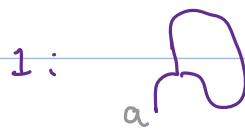


$\rightarrow$

Consider a Heegaard diagram:

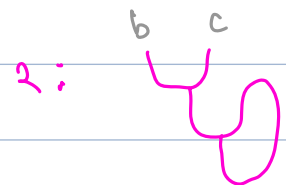
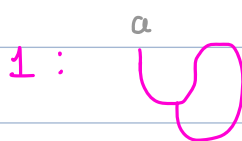
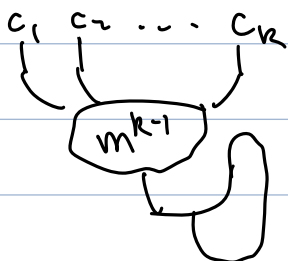


- ① arbitrarily orient all circles
- ② to each lower circle  $l$ , assign the maps



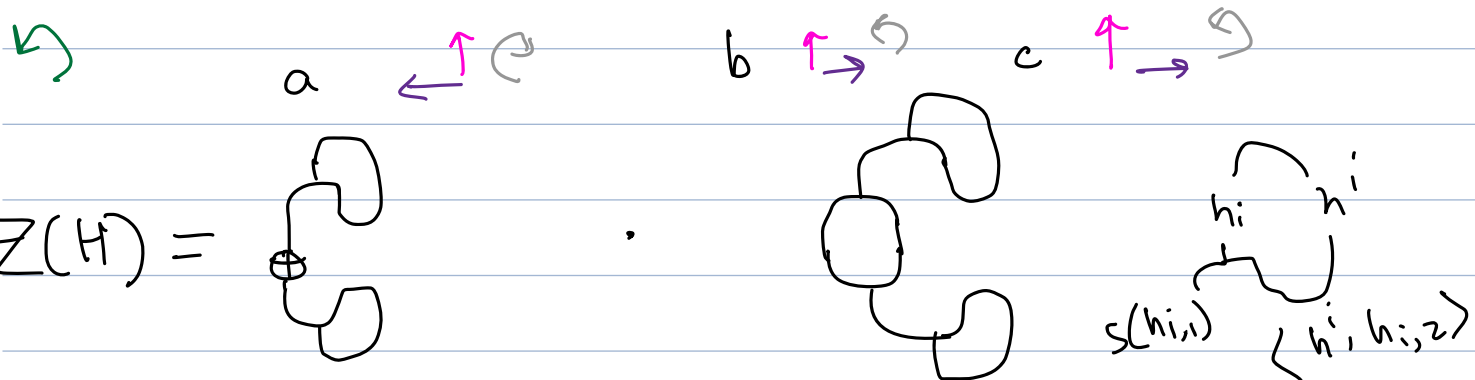
here the indices  $c_1, c_2, \dots, c_n$  correspond to crossings on  $l$  in the order that they are encountered when travelling along  $l$  in positively oriented direction.

- ③ to each upper circle assign



④ if at crossing  $c$ , the tangent vectors of the lower and upper circle, in that order, form a positively oriented basis of  $T\mathbb{E}g$  at  $c$ , compose the maps in steps ②, ③.

If not, interpose  $s$  in between before composing



The invariant is

$$\psi(D, H) = Z(H) (\dim H)^{\#}$$

→ Next we need to check that  $\psi(D, H)$  is preserved by the moves  $R_1, R_2, R_3$ .



- When  $H = \mathbb{k}G$ , then

$$\psi(D_M, H) = |\text{Hom}(\pi(M), G)|$$

- With  $\text{char}(\mathbb{k}) = 0$ ,  $H$  is involutory  $\Leftrightarrow H$  is semisimple

There is a non-semisimple generalization of above result as well.

- $\boxed{\Lambda^2}$  = right integral of  $H$   $\Lambda^2 h = \varepsilon(h) \Lambda^2$
  - $\boxed{\lambda^2}$  = right cointegral of  $H$   $\langle \lambda^2, h_1 \rangle h_2 = \langle \lambda^2, h \rangle 1_H$
- these satisfy  $\langle \lambda^2, \Lambda^2 \rangle = 1$

- $\boxed{g}$  = distinguished grouplike elt. of  $H$

$$g := \Lambda_2 \langle \lambda, \Lambda_1 \rangle$$

- $\boxed{\alpha}$  = distinguished character of  $H$

$$\langle \alpha, h \rangle := \langle \lambda, h \Lambda \rangle$$

$$\begin{aligned} \Lambda_1 \langle \alpha, \Lambda_2 \rangle \\ = \Lambda_1 \langle \lambda, \Lambda_2 \Lambda \rangle \end{aligned}$$

- For  $n \in \mathbb{Z}$ , define  $\boxed{\Lambda_{n-\frac{1}{2}}}$ ,  $\boxed{\lambda_{n-\frac{1}{2}}}$

$$\Lambda_{n-\frac{1}{2}} := \Lambda_1 \langle \alpha, \Lambda_2 \Lambda_3 \dots \Lambda_{n+1} \rangle$$

$$\text{e.g. } \Lambda_{-1-\frac{1}{2}} = \langle \alpha, \Lambda_1 \rangle \Lambda_2 = \Lambda$$

(n-1) = \Lambda

$$\langle \lambda_{n-\frac{1}{2}}, h \rangle := \langle \lambda, h g^n \rangle$$

$$\lambda_{-\frac{1}{2}} = \lambda$$

- Set  $\boxed{q} = \langle \alpha, g \rangle$  then  $q$  is a root of unity

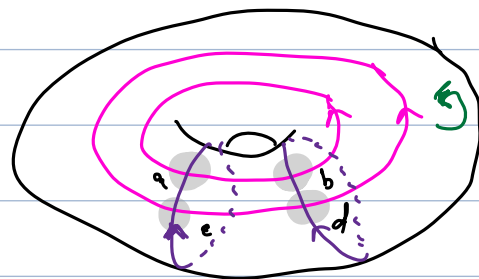
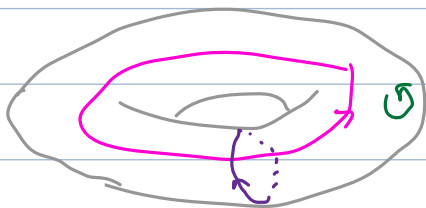
REFERENCE: On two invariants of three manifolds from Hopf algebras. (Chang, Cui)

Notation	My notes	Chang-Cui
	$\Lambda$	$e^R$
	$\lambda$	$\mu^R$
	$g$	$a$
	$\alpha$	$\alpha$

- $\boxed{S}$  antipode of  $H$

- $\boxed{T}$  :  $T(h) := \langle \alpha, S^{-2}(h_1) \rangle S^{-2}(h_2) \langle \alpha^{-1}, S^{-2}(h_3) \rangle$   
 $= \langle \alpha, S^{-2}(h_1) \rangle \bar{S}^2(h_2) \langle \alpha^{-1}, S^{-2}(h_3) \rangle$

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