

**Patient Interview for Formal Capacity Assessment**

Examiner: \_\_\_\_\_ Date: \_\_\_\_\_

**Understanding (U)** = Adequate factual knowledge base and understanding of options.  
**Appreciation (A)** = Adequate appraisal of outcome and justification of choices (explore values and beliefs)  
**Initiation (I)** = Ability to follow through with choices

**S** = Sufficient  
**B** = Borderline  
**D** = Deficient

Domain	Response to Query	S	B	D
<b>Health care</b>				
<b>Medical conditions:</b> <ul style="list-style-type: none"> <li>• Able to identify medical condition(s) and treatment(s)</li> <li>• Makes and keeps medical appointments</li> <li>• Participates in own care and treatment</li> <li>• Requests assistance, as needed</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Medication Regime:</b> <ul style="list-style-type: none"> <li>• Aware of current medications and reasons for taking them</li> <li>• Aware of potential side effects</li> <li>• Takes medications as prescribed</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			

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Domain	Response to Query	S	B	D
<b>Health care – continued</b>				
<b>Response to Emergencies:</b> <ul style="list-style-type: none"> <li>• Recognizes medical emergency</li> <li>• Uses emergency response system / 911</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Consent to Treatment:</b> <ul style="list-style-type: none"> <li>• Aware of medical problem(s)</li> <li>• Aware of proposed treatment and alternatives</li> <li>• Aware of consequences of accepting or refusing treatment, when explained</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			

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Domain	Response to Query	S	B	D
<b>Residence</b>				
<p><b>Suitability of Residence:</b></p> <ul style="list-style-type: none"> <li>• Describes current living situation</li> <li>• Identifies current needs and assistance / services required</li> <li>• Willing to accept help, if required</li> <li>• Aware of other suitable residential alternatives</li> <li>• Can access community supports independently (e.g. taxi, bus, etc.)</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<p><b>Basic Home Maintenance:</b></p> <ul style="list-style-type: none"> <li>• Keeps living environment clean (e.g. housecleaning, garbage, animals, hoarding, dishes, etc.)</li> <li>• Level of independence</li> <li>• Able to organize / request assistance, as needed</li> </ul>				

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Domain	Response to Query	S	B	D
<b>Residence – continued</b>				
<b>Safety:</b> <ul style="list-style-type: none"> <li>• Understands risk with neighbourhood</li> <li>• Recognizes potential fire hazards (e.g. smoking, stove use, smoke detectors)</li> <li>• Functions outside familiar environment</li> <li>• Aware of environmental risks (icy conditions, traffic)</li> <li>• Wandering</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Personal</b>				
<b>Hygiene:</b> <ul style="list-style-type: none"> <li>• Personal grooming (e.g. teeth, hair, shaving, etc.)</li> <li>• Toileting / peri-care / use of incontinence supplies</li> <li>• Washing / bathing (e.g. transfer, odour, independence, etc.)</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			

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Domain	Response to Query	S	B	D
<b>Personal – continued</b>				
<p><b>Dressing / Clothing:</b></p> <ul style="list-style-type: none"> <li>• Choices re. selection of clothes (e.g. socially and seasonally appropriate, deviation from prior choices, cleanliness, etc.)</li> <li>• Able to dress / undress</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<p><b>Mobility:</b></p> <ul style="list-style-type: none"> <li>• Fall risk (history of falls)</li> <li>• Use of assistive devices</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			

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Domain	Response to Query	S	B	D
<b>Personal – continued</b>				
<b>Meal Preparation:</b> <ul style="list-style-type: none"> <li>• Aware of special dietary needs</li> <li>• Able to arrange for food purchase</li> <li>• Food storage (e.g. expiry dates, accessibility, etc.)</li> <li>• Food preparation (e.g. safe use of appliances, level of independence, etc.)</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Sexuality / Intimacy:</b> <ul style="list-style-type: none"> <li>• Consent</li> <li>• Knowledge of relational concepts</li> <li>• Social norms</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			

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<b>Choice of Associates</b>				
<ul style="list-style-type: none"> <li>• Recognizes when others present a danger and takes precautions</li> <li>• Able to choose companions who do not abuse or exploit the patient</li> <li>• Recognizes frequently seen associates</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Social / Leisure Activities</b>				
<ul style="list-style-type: none"> <li>• Able to choose social activities to suit interests</li> <li>• Level of independence</li> <li>• Engagement</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			

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Domain	Response to Query	S	B	D
<b>Legal Affairs</b>				
<b>General:</b> <ul style="list-style-type: none"> <li>• Aware of legal rights</li> <li>• Able to access counsel (formal and informal)</li> <li>• Understands the implications of signing a legal document</li> <li>• Understands reasons for legal proceedings (e.g. reason for charge, reason for suit, etc.)</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Personal Directive:</b> <ul style="list-style-type: none"> <li>• Understands concept</li> <li>• Knows whether one has been completed</li> <li>• Explains rationale for choosing agent / content</li> <li>• Able to seek assistance to complete Personal Directive, as required</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			



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<b>Employment</b>				
<ul style="list-style-type: none"> <li>• Makes decisions regarding type of work</li> <li>• Able to find and maintain employment suitable to abilities</li> <li>• Able to recognize and avoid workplace hazards</li> <li>• Able to understand wages and benefits</li> <li>• Aware of work alternatives and consequences of not working.</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Educational / Vocational Training</b>				
<ul style="list-style-type: none"> <li>• Education, vocation and / or training is relevant</li> <li>• Able to choose a training program</li> <li>• Initiates or terminates program independently</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			

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<b>Permits / Licences</b>				
<ul style="list-style-type: none"> <li>• Permits / licences are relevant</li> <li>• Able to apply for licences / permits</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Financial</b>				
<p><b>Income:</b></p> <ul style="list-style-type: none"> <li>• Source(s) and amounts</li> <li>• Access</li> <li>• Bank information and signing authority on accounts</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			

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Domain	Response to Query	S	B	D
<b>Financial – continued</b>				
<b>Expenses / Debts:</b> <ul style="list-style-type: none"> <li>• Types and amounts</li> <li>• Method(s) of bill payment</li> <li>• Gifts and donations</li> <li>• Arranges for tax payments, does income tax return</li> <li>• Debts</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Assets:</b> <ul style="list-style-type: none"> <li>• Bank accounts, land and home and other items approximate worth</li> <li>• Manages investments</li> <li>• Plans to acquire or dispose of asset(s)</li> <li>• Monitors account activity</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			

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<b>Financial – continued</b>				
<b>Financial Management:</b> <ul style="list-style-type: none"> <li>• Maintains budget</li> <li>• Accesses money</li> <li>• Handles currency / issues cheques (small and large sums)</li> <li>• Able to ask for assistance, as required</li> <li>• Safeguards financial documents and information</li> <li>• Manages business</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Risks of Exploitation:</b> <ul style="list-style-type: none"> <li>• Purchases from solicitors</li> <li>• Recognizes abuse from caregivers</li> <li>• Employs protective strategies</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			
<b>Enduring Power of Attorney:</b> <ul style="list-style-type: none"> <li>• Understands concepts</li> <li>• Knows whether one has been completed</li> <li>• Explains rationale for choosing agent / content</li> <li>• Able to seek assistance to complete EPoA, as required strategies</li> </ul>	Understanding:			
	Appreciation:			
	Initiation:			