Dynamics of the Atmosphere and Ocean II

Chapter 6: Instability of Stratified Shear Flows (supplemental)

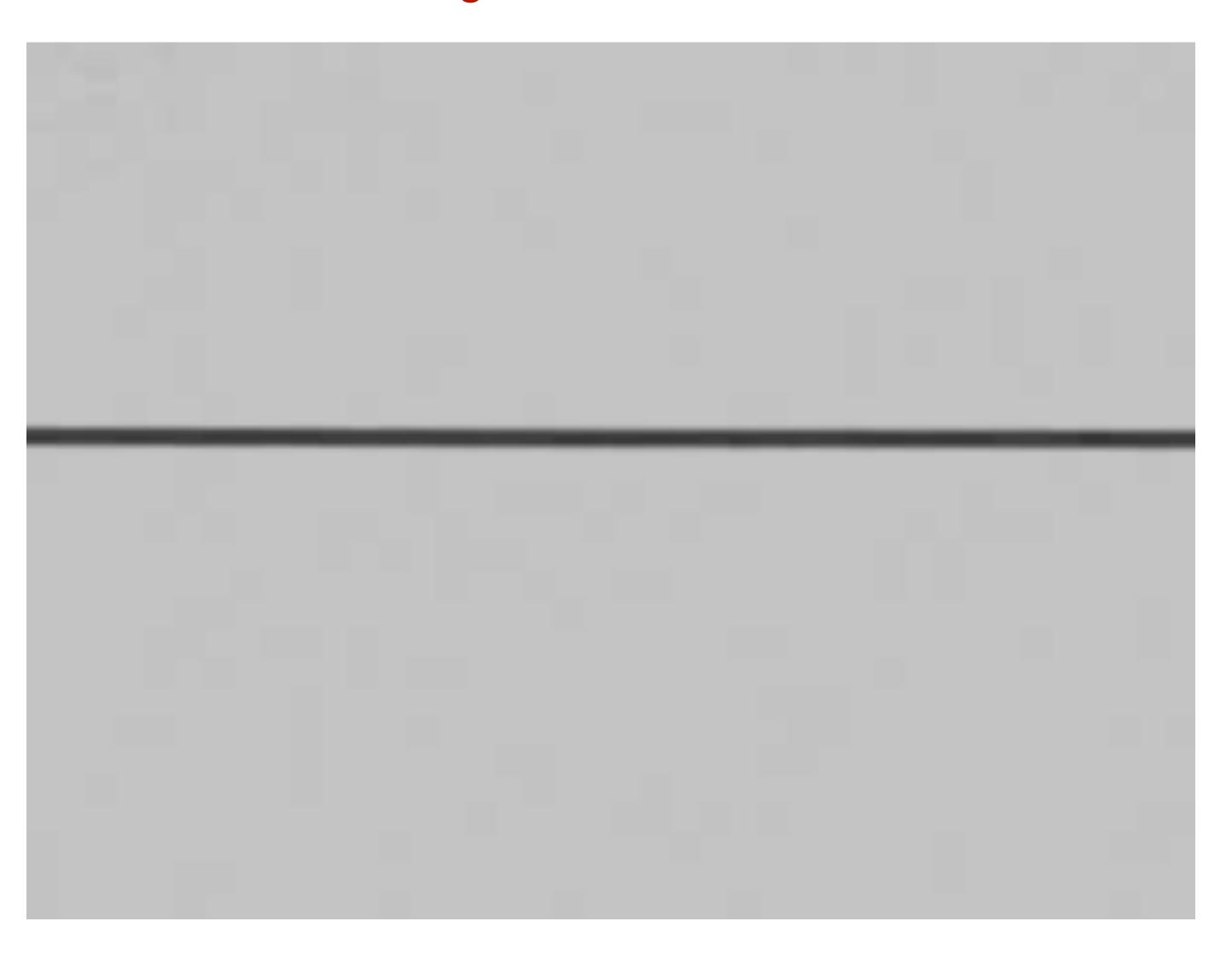
Chapter Overview

- Here we include the effects of background shear in a stratified fluid showing in some circumstances that waves are unstable, growing exponentially in time. Rotation is ignored.
- After deriving the basic equations and interface conditions, we examine:
 - interfacial waves in a stationary unbounded fluid
 - waves in a uniform-density semi-infinite shear flow (Rayleigh waves)
 - instability of waves in a uniform-density and stratified shear layer (Kelvin-Helmholtz instability)
- But first, let's look at examples of unstable flows in general ...

Break up of a stream of water into drops



Beading of water on a thread



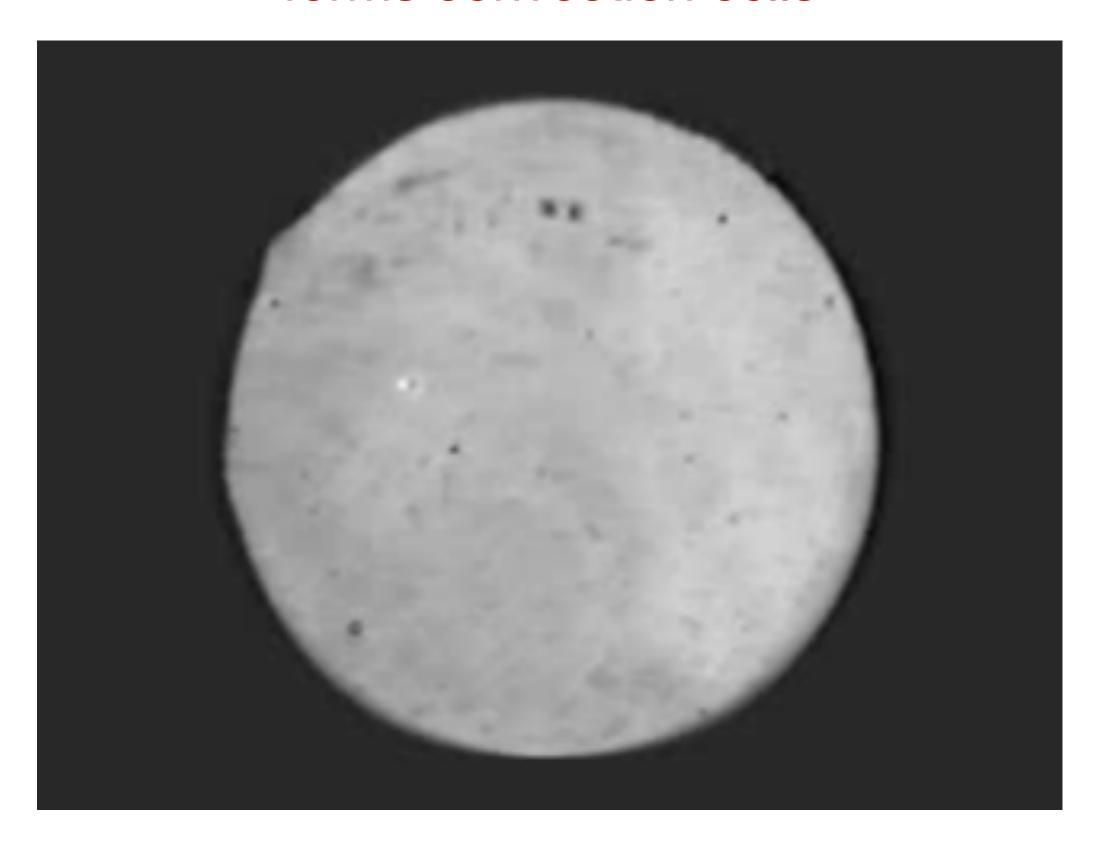
A good glass of wine develops "tears" ("legs")



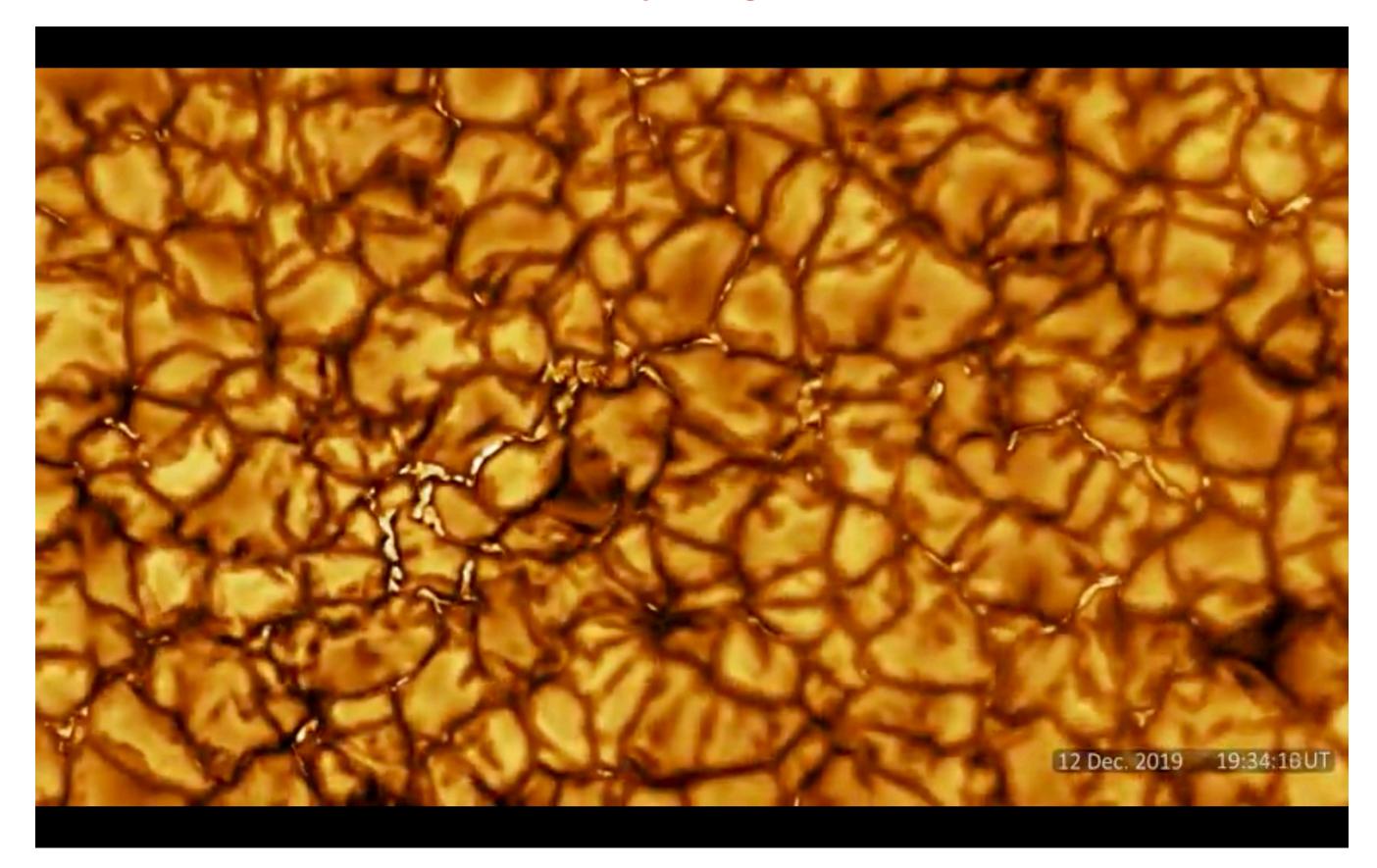
This movie shows their development



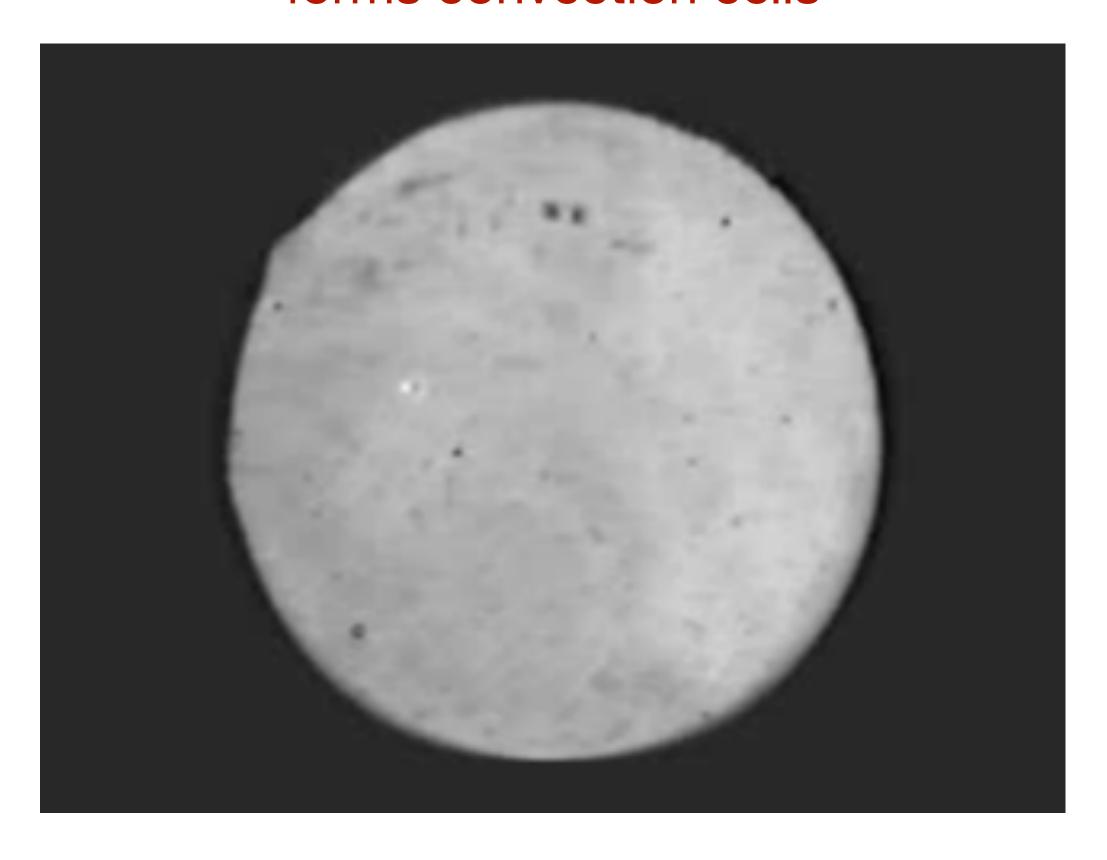
If a fluid is moderately heated from below, it forms convection cells



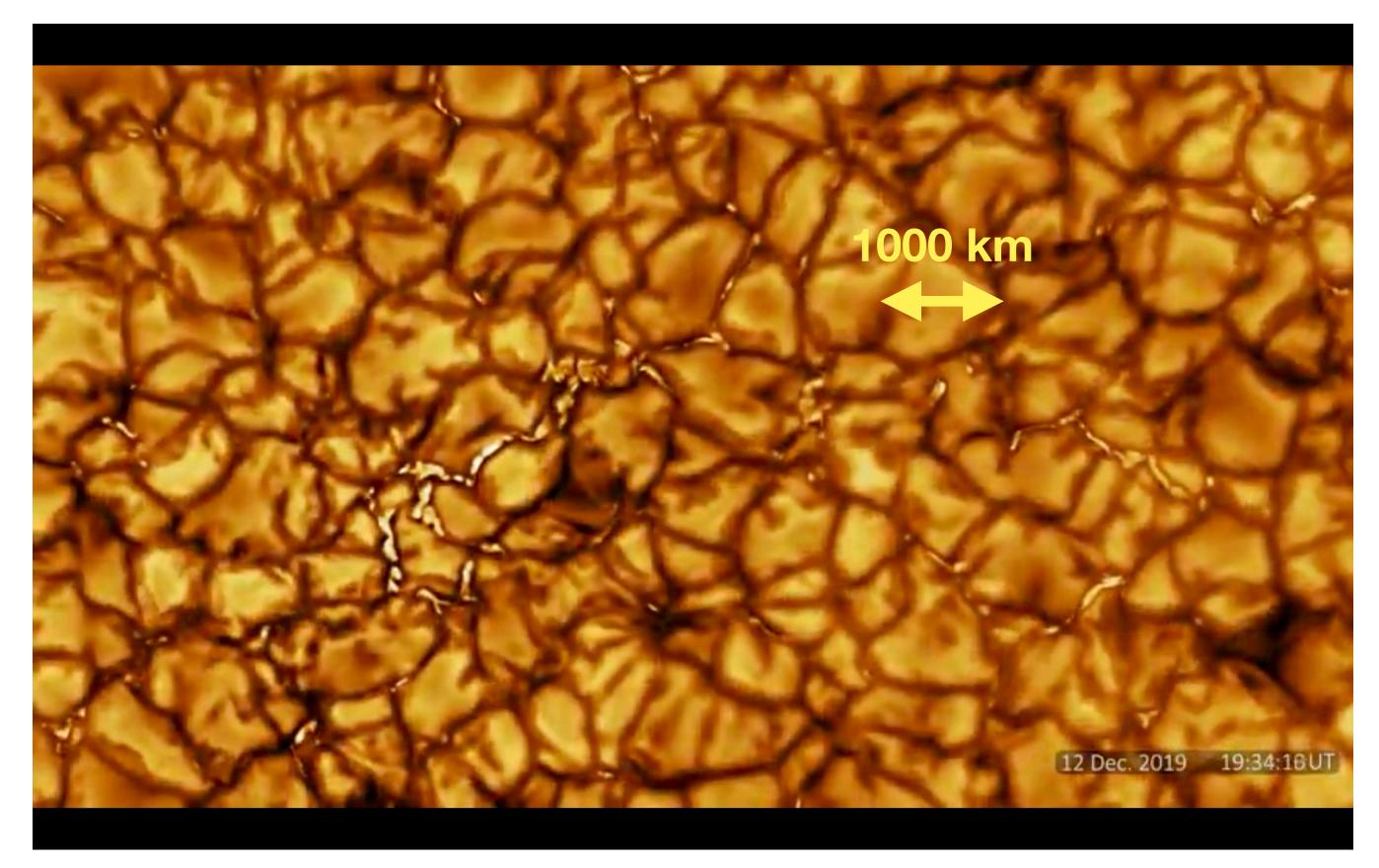
Convection occurs on very large scales



If a fluid is moderately heated from below, it forms convection cells



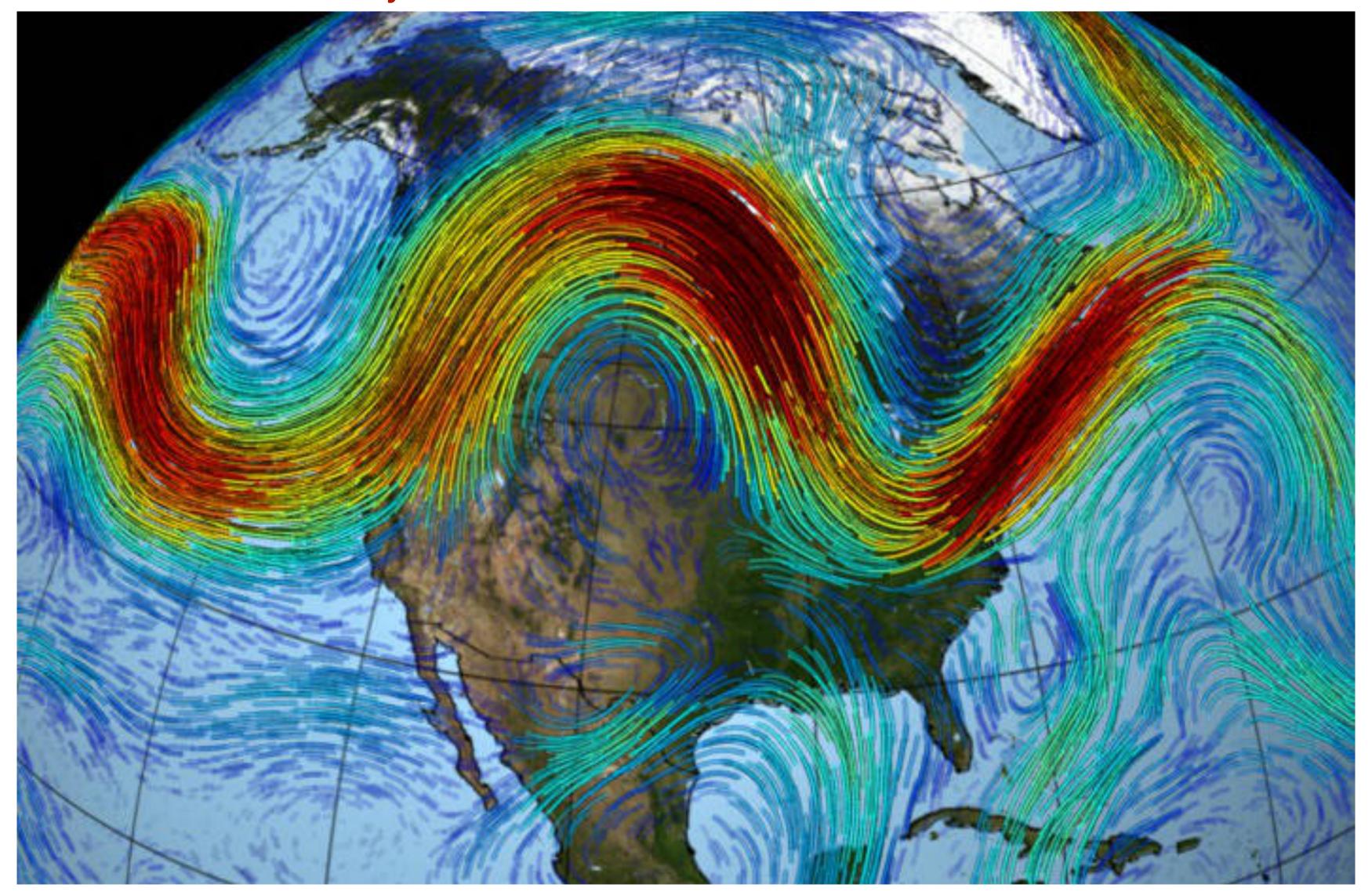
Convection occurs on very large scales ... on the sun



"Salt fingers" form when as hot and salty water cools over fresh water



A combination of northward cooling, shear and the Earth's rotation leads to instability of the Jet Stream: a "Baroclinic Wave"



[Visualization of winds associated with the northern Jet Stream by NASA]

Shear instability causes a shear flow to wrap into vortices



[Credit: NASA]

Instability causes a shear flow to wrap into vortices



Shear instability causes a shear flow to wrap into vortices



[Laboratory Experiment by G. Worster, U. Cambridge]

Shear instability causes a shear flow to wrap into vortices

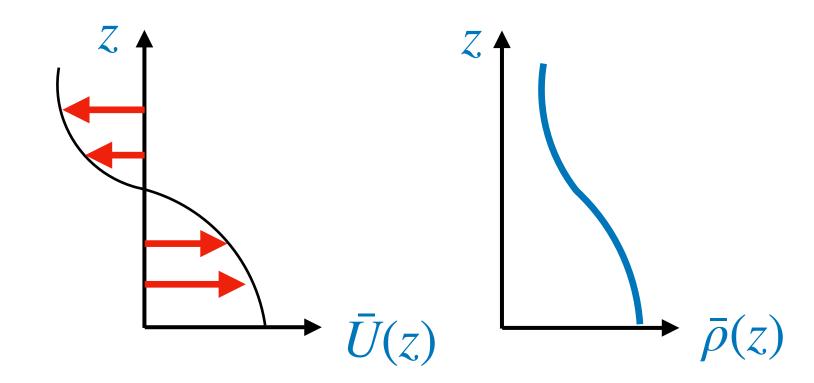


6.1] Equations with Non-uniform Background Flow

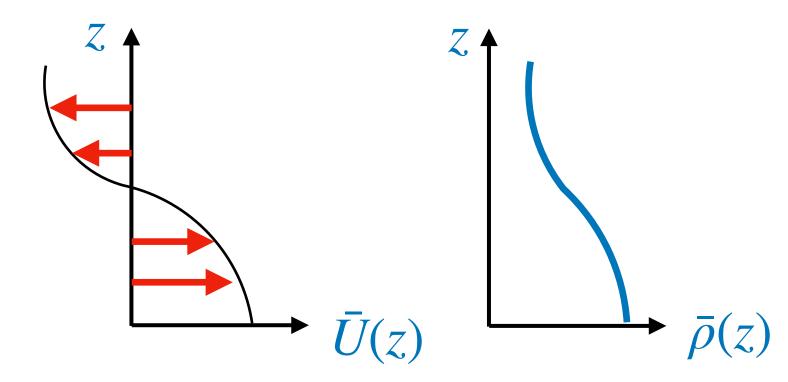
- So far we have considered waves in an otherwise stationary fluid.
- Now suppose there is a background flow whose velocity changes in space.
- Specifically, we will look at background flows oriented only in the x-direction and which varies in the z-direction.

This is called a "parallel flow".

- In the absence of any perturbations:
 - the background flow is $\bar{\mathbf{u}} = \bar{U}(z)\hat{x}$
 - the background density is $\bar{\rho}(z)$



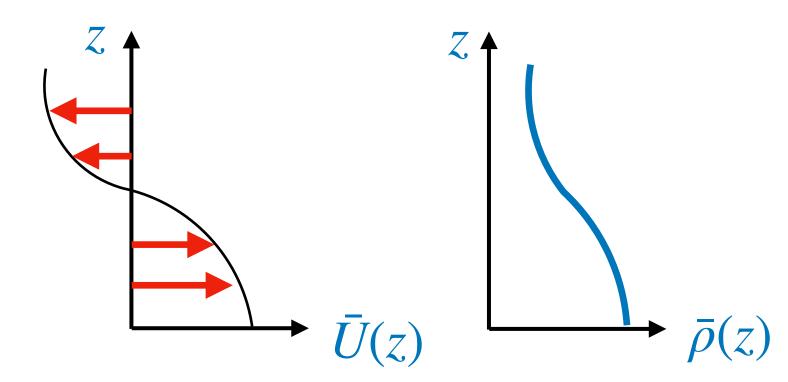
- In the absence of any perturbations:
 - the background flow is $\bar{\mathbf{u}} = \bar{U}(z)\hat{x}$
 - the background density is $\bar{\rho}(z)$



Write equations with 2D perturbations superimposed on the background:

$$\mathbf{u} = (u(x, z, t), w(x, z, t)), \rho(x, z, t) \text{ and } p(x, z, t) \Rightarrow \mathbf{u}_T = (\bar{U} + u, w)$$

- In the absence of any perturbations:
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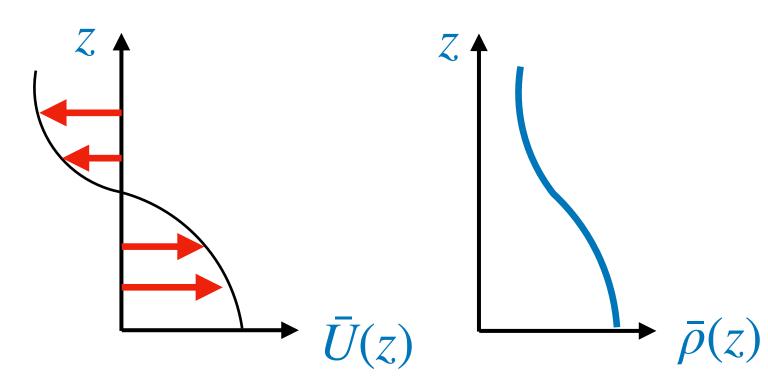
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- Assume small perturbations so that squared perturbation terms can be neglected
 - incompressible: $\nabla \cdot \mathbf{u}_T = 0$

$$\partial_x u + \partial_z w = 0$$

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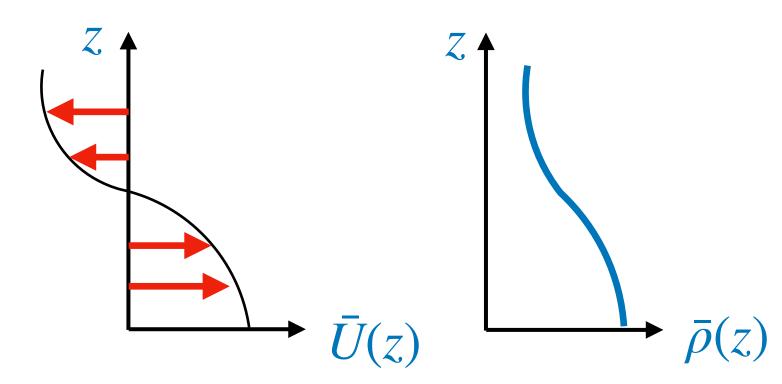
- Assume small perturbations so that squared perturbation terms can be neglected
 - incompressible: $\nabla \cdot \mathbf{u}_T = 0$

$$\partial_x u + \partial_z w = 0$$

-
$$x$$
-momn: $D(\bar{U}+u)/Dt = -(1/\rho_0) \partial_x p$

$$\partial_t u + \bar{U}\partial_x u + w\bar{U}' = -(1/\rho_0) \partial_x p$$

- In the absence of any perturbations:
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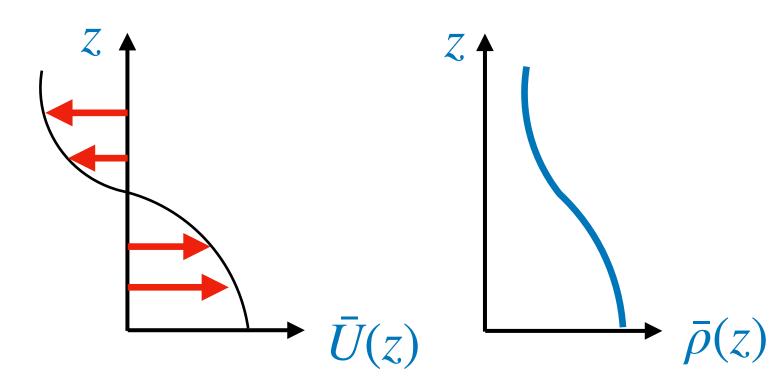
- incompressible:
$$\nabla \cdot \mathbf{u}_T = 0$$

$$\partial_x u + \partial_z w = 0$$

- *x*-momn:
$$D(\bar{U} + u)/Dt = -(1/\rho_0) \partial_x p$$
 $\partial_t u + \bar{U}\partial_x u + w\bar{U}' = -(1/\rho_0) \partial_x p$

- z-momn:
$$Dw/Dt = -(1/\rho_0) \partial_z p - (g/\rho_0) \rho$$
 $\partial_t w + \bar{U}\partial_x w = -(1/\rho_0) \partial_z p - (g/\rho_0) \rho$

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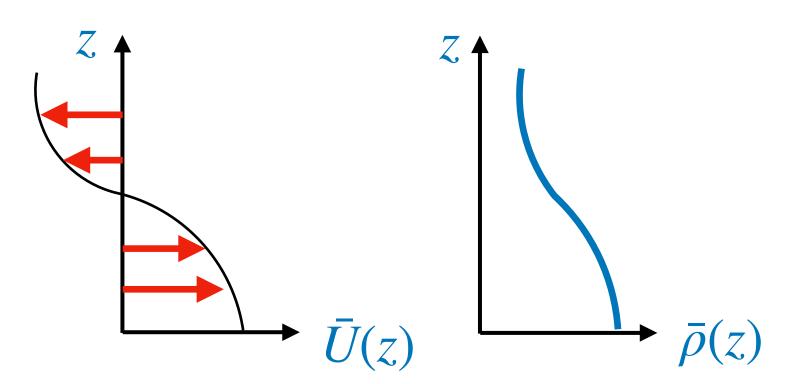
- z-momn:
$$Dw/Dt = -(1/\rho_0) \partial_z p - (g/\rho_0) \rho$$

$$\partial_t w + \bar{U}\partial_x w = -\left(1/\rho_0\right) \partial_z p - \left(g/\rho_0\right) \rho$$

- internal energy:
$$D\rho/Dt = -w\bar{\rho}$$

$$\partial_t \rho + \bar{U} \partial_x \rho = - w \, \bar{\rho}'$$

- In the absence of any perturbations:
 - the background flow is $\mathbf{\bar{u}} = \bar{U}(z)\hat{x}$
 - the background density is $\bar{\rho}(z)$



• Write equations with 2D perturbations superimposed on the background:

$$\mathbf{u} = (u(x, z, t), w(x, z, t)), \rho(x, z, t) \text{ and } p(x, z, t) \implies \mathbf{u}_T = (\bar{U} + u, w)$$

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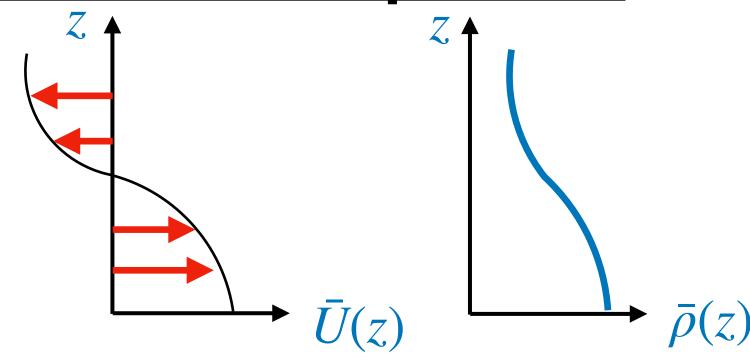
$$\partial_x u + \partial_z w = 0$$

$$\partial_t u + \bar{U}\partial_x u + w\bar{U}' = -(1/\rho_0) \partial_x p$$

$$\partial_t w + \bar{U}\partial_x w = -\left(1/\rho_0\right) \partial_z p - \left(g/\rho_0\right) \rho$$

$$\partial_t \rho + \bar{U} \partial_x \rho = - w \, \bar{\rho}'$$

. Using incompressibility, can write $u = -\frac{\partial \psi}{\partial z}$, $w = \frac{\partial \psi}{\partial x}$

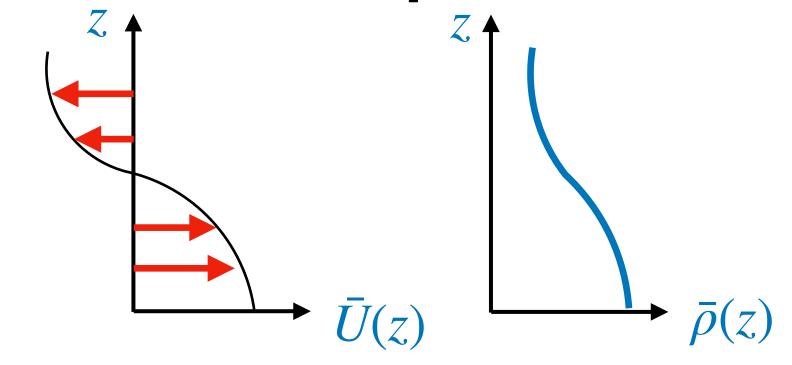


$$\partial_t u + \bar{U}\partial_x u + w\bar{U}' = -(1/\rho_0) \partial_x p$$

$$\partial_t w + \bar{U}\partial_x w = -(1/\rho_0) \partial_z p - (g/\rho_0) \rho$$

$$\partial_t \rho + \bar{U} \partial_x \rho = - w \, \bar{\rho}'$$

$$\Rightarrow \psi = \hat{\psi}(z) e^{i(kx-\omega t)}, \ p = \hat{p}(z) e^{i(kx-\omega t)}, \ \rho = \hat{\rho}(z) e^{i(kx-\omega t)}$$

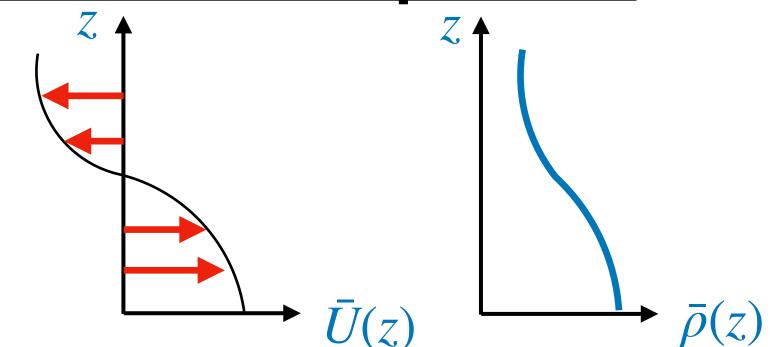


$$\partial_t u + \bar{U}\partial_x u + w\bar{U}' = -(1/\rho_0) \partial_x p$$

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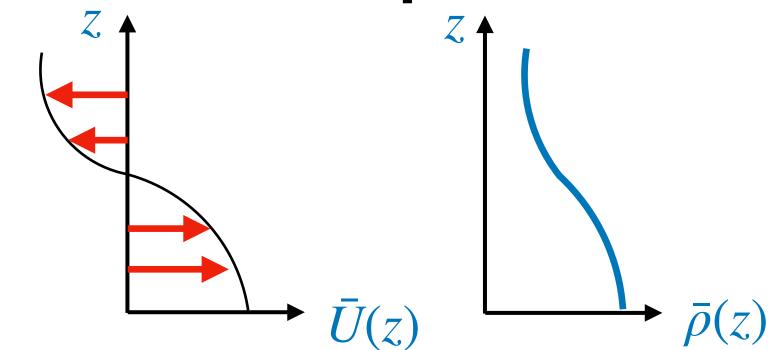


$$\partial_t u + \bar{U}\partial_x u + w\bar{U}' = -\left(\frac{1}{\rho_0}\right) \partial_x p \quad \Rightarrow -\iota\omega\left(-\hat{\psi}'\right) + \bar{U}\left(\iota k\right)\left(-\hat{\psi}'\right) + \iota k\left(\hat{\psi}\right)\bar{U}' = -\left(\frac{1}{\rho_0}\right)\left(\iota k\right)\hat{p}$$

$$\partial_t w + \bar{U}\partial_x w = -(1/\rho_0) \partial_z p - (g/\rho_0) \rho$$

$$\partial_t \rho + \bar{U} \partial_x \rho = - w \, \bar{\rho}'$$

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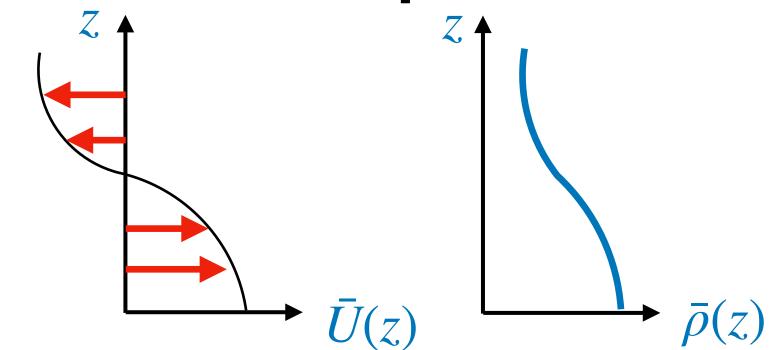
$$\partial_t u + \bar{U}\partial_x u + w\bar{U}' = -(1/\rho_0) \ \partial_x p \quad \Rightarrow -\iota\omega \left(-\hat{\psi}'\right) + \bar{U}(\iota k) \left(-\hat{\psi}'\right) + \iota k \left(\hat{\psi}\right) \bar{U}' = -(1/\rho_0) \left(\iota k\right) \hat{p}$$

$$\Rightarrow (\bar{U} - c) \hat{\psi}' - \bar{U}' \hat{\psi} = (1/\rho_0) \hat{p} \quad \text{with} \quad c \equiv \omega/k$$

$$\partial_t w + \bar{U}\partial_x w = -(1/\rho_0) \partial_z p - (g/\rho_0) \rho$$

$$\partial_t \rho + \bar{U} \partial_x \rho = - w \, \bar{\rho}'$$

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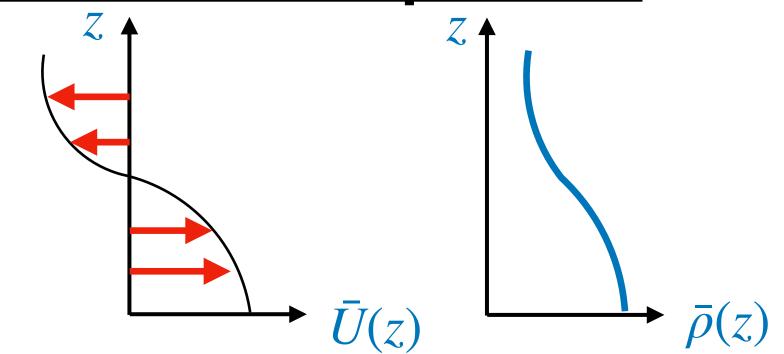


$$\begin{split} \partial_t u + \bar{U} \partial_x u + w \bar{U}' &= -\left(1/\rho_0\right) \, \partial_x p \quad \Rightarrow -\imath \omega \left(-\hat{\psi}'\right) + \bar{U} \left(\imath k\right) \left(-\hat{\psi}'\right) + \imath k \left(\hat{\psi}\right) \, \bar{U}' &= -\left(1/\rho_0\right) \left(\imath k\right) \, \hat{p} \\ &\Rightarrow \left(\bar{U} - c\right) \hat{\psi}' - \bar{U}' \hat{\psi} &= \left(1/\rho_0\right) \hat{p} \quad \text{with} \quad c \equiv \omega/k \end{split}$$

$$\partial_t w + \bar{U}\partial_x w = -\left(\frac{1}{\rho_0}\right) \partial_z p - \left(\frac{g}{\rho_0}\right) \rho \Rightarrow -\iota \omega \left(\iota k \hat{\psi}\right) + \bar{U}(\iota k) \left(\iota k \hat{\psi}\right) = -\left(\frac{1}{\rho_0}\right) \hat{p}' - \left(\frac{g}{\rho_0}\right) \hat{\rho}$$

$$\partial_t \rho + \bar{U} \partial_x \rho = - w \, \bar{\rho}'$$

$$\Rightarrow \psi = \hat{\psi}(z) e^{i(kx - \omega t)}, \ p = \hat{p}(z) e^{i(kx - \omega t)}, \ \rho = \hat{\rho}(z) e^{i(kx - \omega t)}$$



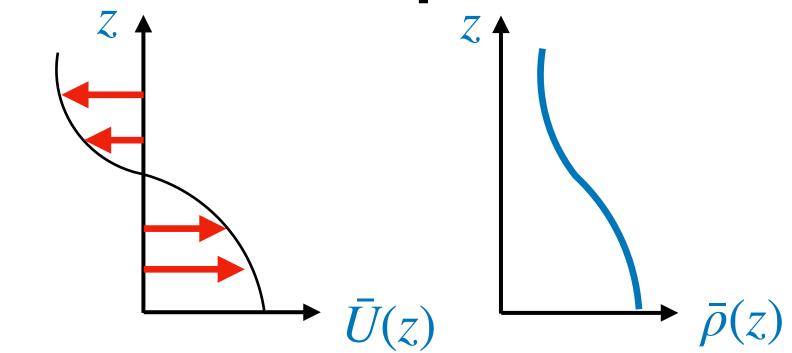
$$\partial_t u + \bar{U}\partial_x u + w\bar{U}' = -(1/\rho_0) \ \partial_x p \quad \Rightarrow -\iota\omega \left(-\hat{\psi}'\right) + \bar{U}(\iota k) \left(-\hat{\psi}'\right) + \iota k \left(\hat{\psi}\right) \bar{U}' = -(1/\rho_0) \left(\iota k\right) \hat{p}$$

$$\Rightarrow (\bar{U} - c) \hat{\psi}' - \bar{U}' \hat{\psi} = (1/\rho_0) \hat{p} \quad \text{with} \quad c \equiv \omega/k$$

$$\begin{split} \partial_t w + \bar{U} \partial_x w &= - \left(1/\rho_0 \right) \, \partial_z p - \left(g/\rho_0 \right) \rho \, \Rightarrow - \imath \omega \left(\imath k \hat{\psi} \right) + \bar{U} \left(\imath k \right) \left(\imath k \hat{\psi} \right) = - \left(1/\rho_0 \right) \hat{p}' - \left(g/\rho_0 \right) \hat{\rho} \\ &\Rightarrow k^2 (\bar{U} - c) \, \hat{\psi} = \left(1/\rho_0 \right) \hat{p}' + \left(g/\rho_0 \right) \hat{\rho} \end{split}$$

$$\partial_t \rho + \bar{U} \partial_x \rho = - w \, \bar{\rho}'$$

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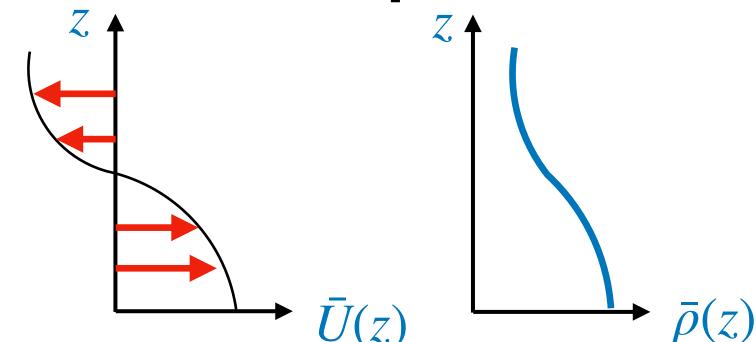


$$\begin{split} \partial_t u + \bar{U} \partial_x u + w \bar{U}' &= -\left(1/\rho_0\right) \, \partial_x p \quad \Rightarrow -\imath \omega \left(-\hat{\psi}'\right) + \bar{U} \left(\imath k\right) \left(-\hat{\psi}'\right) + \imath k \left(\hat{\psi}\right) \, \bar{U}' &= -\left(1/\rho_0\right) \left(\imath k\right) \, \hat{p} \\ &\Rightarrow \left(\bar{U} - c\right) \hat{\psi}' - \bar{U}' \hat{\psi} &= \left(1/\rho_0\right) \hat{p} \quad \text{with} \quad c \equiv \omega/k \end{split}$$

$$\begin{split} \partial_t w + \bar{U} \partial_x w &= - \left(1/\rho_0 \right) \, \partial_z p - \left(g/\rho_0 \right) \rho \, \Rightarrow - \imath \omega \left(\imath k \hat{\psi} \right) + \bar{U} \left(\imath k \right) \left(\imath k \hat{\psi} \right) = - \left(1/\rho_0 \right) \hat{p}' - \left(g/\rho_0 \right) \hat{\rho} \\ &\Rightarrow k^2 (\bar{U} - c) \, \hat{\psi} = \left(1/\rho_0 \right) \hat{p}' + \left(g/\rho_0 \right) \hat{\rho} \\ \partial_t \rho + \bar{U} \partial_x \rho &= - w \; \bar{\rho}' \quad \Rightarrow - \imath \omega \left(\hat{\rho} \right) + \bar{U} \left(\imath k \right) \left(\hat{\rho} \right) = - \left(\imath k \hat{\psi} \right) \bar{\rho}' \\ &\Rightarrow \left(\bar{U} - c \right) \hat{\rho} = - \hat{\psi} \bar{\rho}' \end{split}$$

Assume perturbations are periodic in x and t:

$$\Rightarrow \psi = \hat{\psi}(z) e^{i(kx-\omega t)}, \ p = \hat{p}(z) e^{i(kx-\omega t)}, \ \rho = \hat{\rho}(z) e^{i(kx-\omega t)}$$



$$\begin{split} \partial_t u + \bar{U} \partial_x u + w \bar{U}' &= -\left(1/\rho_0\right) \, \partial_x p \quad \Rightarrow -\imath \omega \left(-\hat{\psi}'\right) + \bar{U} \left(\imath k\right) \left(-\hat{\psi}'\right) + \imath k \left(\hat{\psi}\right) \, \bar{U}' &= -\left(1/\rho_0\right) \left(\imath k\right) \hat{p} \\ &\Rightarrow \left(\bar{U} - c\right) \hat{\psi}' - \bar{U}' \hat{\psi} &= \left(1/\rho_0\right) \hat{p} \quad \text{with} \quad c \equiv \omega/k \end{split}$$

$$\begin{split} \partial_t w + \bar{U} \partial_x w &= - \left(1/\rho_0 \right) \, \partial_z p - \left(g/\rho_0 \right) \rho \, \Rightarrow - \imath \omega \left(\imath k \hat{\psi} \right) + \bar{U} \left(\imath k \right) \left(\imath k \hat{\psi} \right) = - \left(1/\rho_0 \right) \hat{p}' - \left(g/\rho_0 \right) \hat{\rho} \\ &\Rightarrow k^2 (\bar{U} - c) \, \hat{\psi} = \left(1/\rho_0 \right) \hat{p}' + \left(g/\rho_0 \right) \hat{\rho} \\ \partial_t \rho + \bar{U} \partial_x \rho &= - w \; \bar{\rho}' \quad \Rightarrow - \imath \omega \left(\hat{\rho} \right) + \bar{U} \left(\imath k \right) \left(\hat{\rho} \right) = - \left(\imath k \hat{\psi} \right) \bar{\rho}' \\ &\Rightarrow \left(\bar{U} - c \right) \hat{\rho} = - \hat{\psi} \bar{\rho}' \end{split}$$

• Next eliminate \hat{p} and $\hat{\rho}$ from these 3 equations, to get one equation for $\hat{\psi}$ alone.

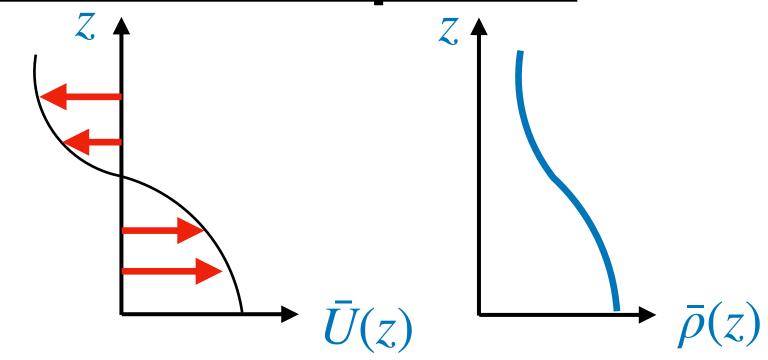
Equations with a Parallel Background Flow: Taylor-Goldstein equation

• Eliminating \hat{p} and $\hat{\rho}$ from

$$(\bar{U} - c)\hat{\psi}' - \bar{U}'\hat{\psi} = (1/\rho_0)\hat{p}$$

$$k^2(\bar{U} - c)\hat{\psi} = (1/\rho_0)\hat{p}' + (g/\rho_0)\hat{\rho}$$

$$(\bar{U} - c)\hat{\rho} = -\hat{\psi}\bar{\rho}'$$



Equations with a Parallel Background Flow: Taylor-Goldstein equation

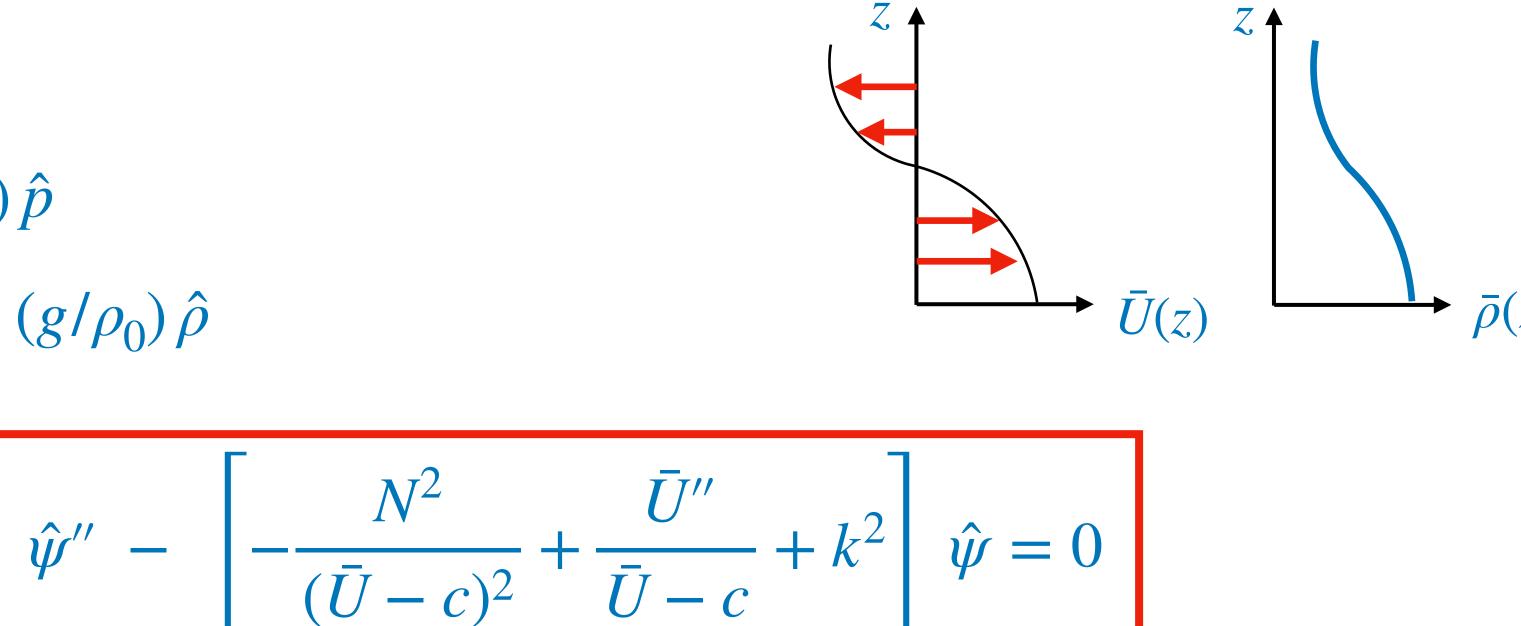
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$$(\bar{U} - c)\hat{\rho} = -\hat{\psi}\bar{\rho}'$$

gives



with
$$c \equiv \omega/k$$
 and $N^2(z) \equiv -\frac{g}{\rho_0} \frac{d\bar{\rho}}{dz}$ (the squared buoyancy frequency)

This is the "<u>Taylor-Goldstein</u>" equation (first derived ~ 1931).

Equations with a Parallel Background Flow: Taylor-Goldstein equation

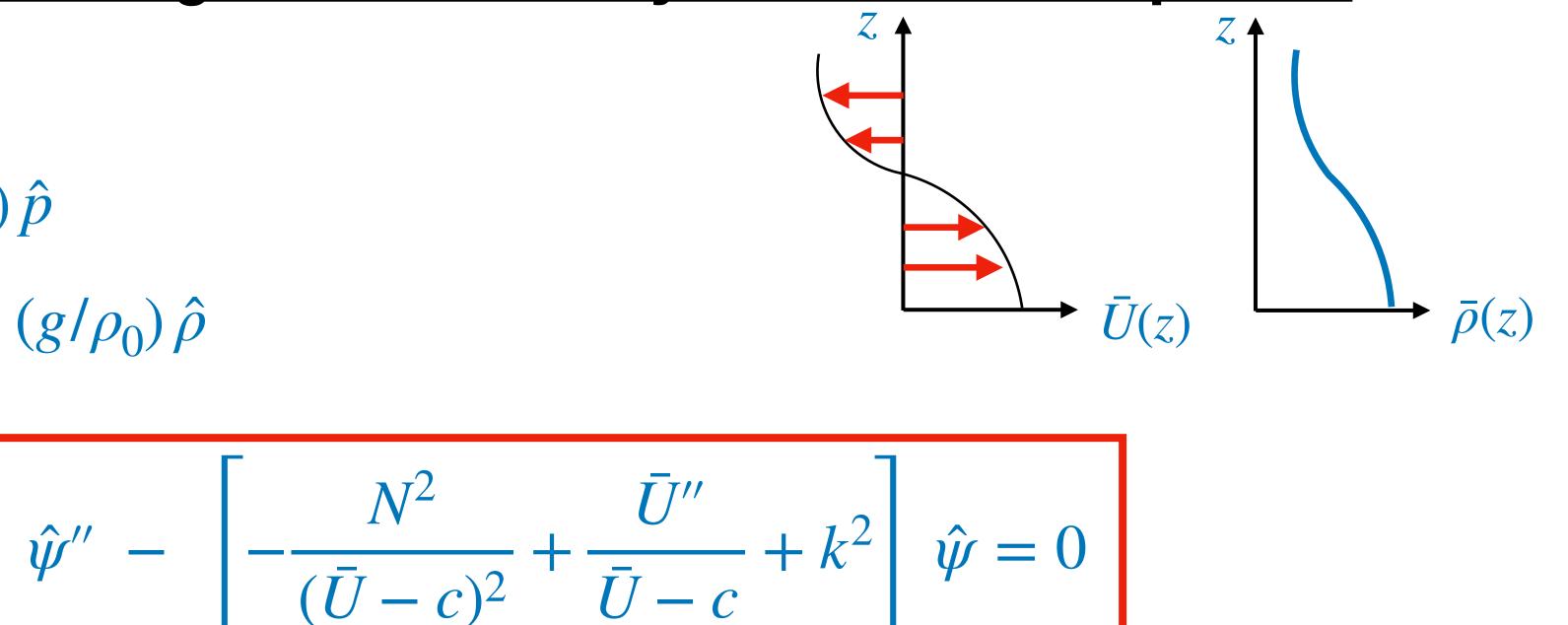
• Eliminating \hat{p} and $\hat{\rho}$ from

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$$(\bar{U} - c)\hat{\rho} = -\hat{\psi}\bar{\rho}'$$

gives



with
$$c \equiv \omega/k$$
 and $N^2(z) \equiv -\frac{g}{\rho_0} \frac{d\bar{\rho}}{dz}$ (the squared buoyancy frequency)

- This is the "<u>Taylor-Goldstein</u>" equation (first derived ~ 1931).
- For given U(z), $N^2(z)$, the equation is a differential eigenvalue problem for each k. Its solution gives the vertical structure of the disturbance and corresponding phase speed c (hence $\omega = ck$).

6.2] Interface Conditions

 We found that horizontally and time-periodic disturbances in stratified shear flows have vertical structure given by the Taylor-Goldstein

equation:

$$\hat{\psi}'' - \left[-\frac{N^2}{(\bar{U} - c)^2} + \frac{\bar{U}''}{\bar{U} - c} + k^2 \right] \hat{\psi} = 0$$

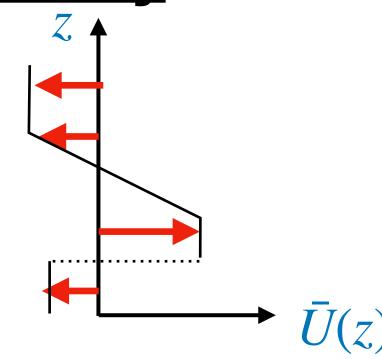
with
$$c \equiv \omega/k$$
 and $N^2(z) \equiv -\frac{g}{\rho_0} \frac{d\bar{\rho}}{dz}$

Generally this eigenvalue problem needs to be solved numerically.

However, analytic solutions can be found if we assume

- the background flow is "piecewise-linear"
- the background density is "piecewise-constant".

$$\hat{\psi}'' - \left[-\frac{N^2}{(\bar{U} - c)^2} + \frac{\bar{U}''}{\bar{U} - c} + k^2 \right] \hat{\psi} = 0$$

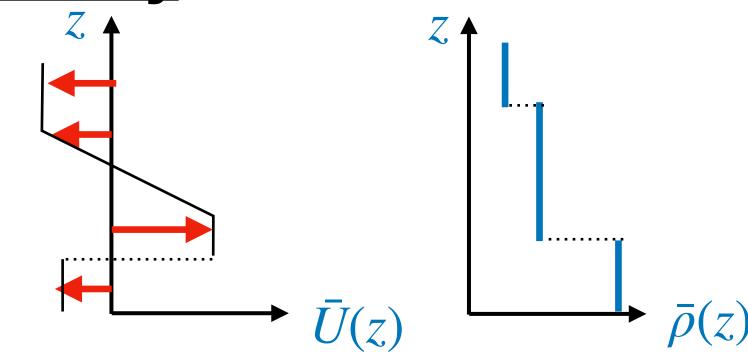


with
$$c \equiv \omega/k$$
 and $N^2(z) \equiv -\frac{g}{\rho_0} \frac{d\bar{\rho}}{dz}$.

• Suppose U(z) is a "piecewise-linear" flow, being composed of segments that are constant or having constant change with height.

Then $\bar{U}'' = 0$ within each segment.

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- Suppose $\bar{\rho}(z)$ is "piecewise-constant, being uniform density in successive layers. Then $N^2(z) = 0$ within each layer.

$$\hat{\psi}'' - \left[-\frac{N^2}{(\bar{U} - c)^2} + \frac{\bar{U}''}{\bar{U} - c} + k^2 \right] \hat{\psi} = 0$$

$$\bar{U}(z)$$

- with $c \equiv \omega/k$ and $N^2(z) \equiv -\frac{g}{\rho_0} \frac{d\bar{\rho}}{dz}$.
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- Suppose $\bar{\rho}(z)$ is "piecewise-constant, being uniform density in successive layers. Then $N^2(z) = 0$ within each layer.
- If both hold, the Taylor-Goldstein equation reduces to $\hat{\psi}'' k^2 \hat{\psi} = 0$

$$\hat{\psi}'' - k^2 \hat{\psi} = 0$$

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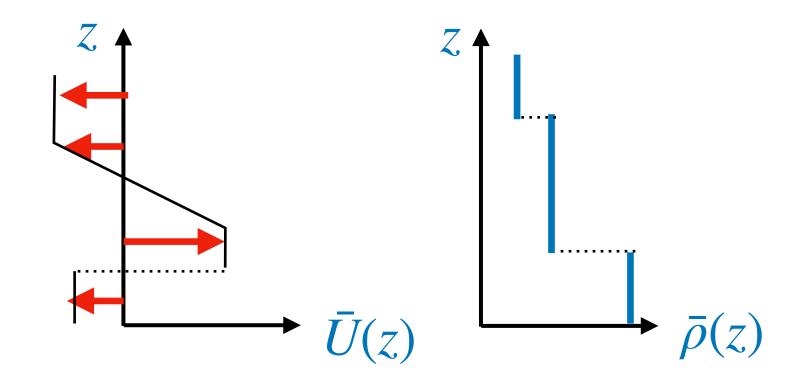
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- Suppose $\bar{\rho}(z)$ is "piecewise-constant, being uniform density in successive layers. Then $N^2(z) = 0$ within each layer.
- If both hold, the Taylor-Goldstein equation reduces to $\hat{\psi}'' k^2 \hat{\psi} = 0$
- This can be solved within each segment/layer. But need interface conditions to match solutions from one layer to the next.

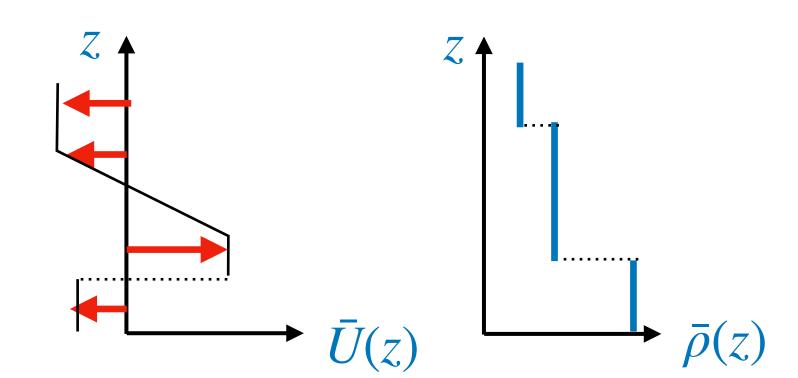
- For every change in $\bar{U}(z)$ or in $\bar{\rho}(z)$ we need 2 interface conditions.
- For simplicity, suppose the interface is at z = 0.

Interface conditions:

1) Fluid at the interface stays there:



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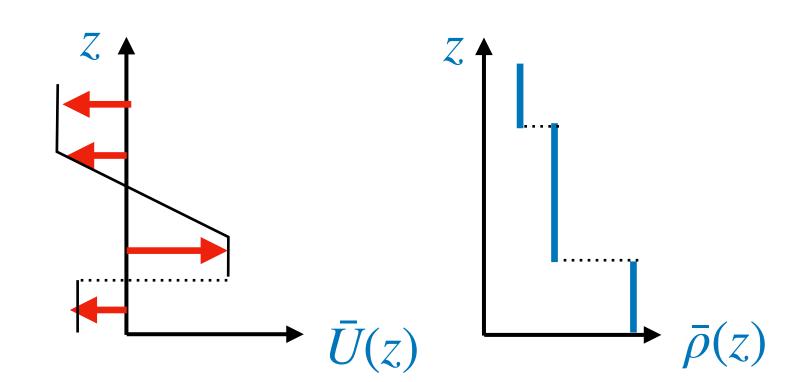


Interface conditions:

1) Fluid at the interface stays there: $w|_{z=0^+} = w|_{z=0^-} = \frac{D\eta}{Dt} \simeq \frac{\partial\eta}{\partial t} + \bar{U}\frac{\partial\eta}{\partial x}$

$$\eta = Ae^{i(kx - \omega t)}$$

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$\bar{U}(z)$

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$$\eta = Ae^{\iota(kx - \omega t)} \Rightarrow \iota k\hat{\psi}|_{z=0^{\pm}} = \iota \omega A + \iota k\bar{U}A \Rightarrow A =$$
Procedure is continuous excessing the interface:

$$\left[\frac{\hat{\psi}}{\bar{U} - c} \right] \bigg|_{z=0^{+}} = \left[\frac{\hat{\psi}}{\bar{U} - c} \right] \bigg|_{z=0^{-}}$$

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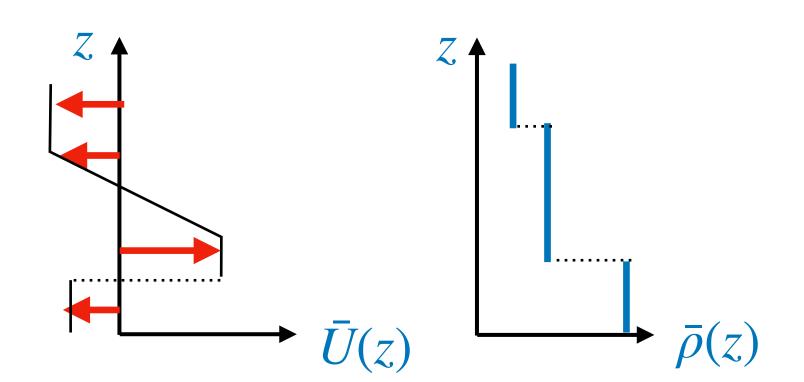
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$$\bar{\rho} \frac{D(\bar{U} + u)}{Dt} = -\frac{\partial p}{\partial x}$$

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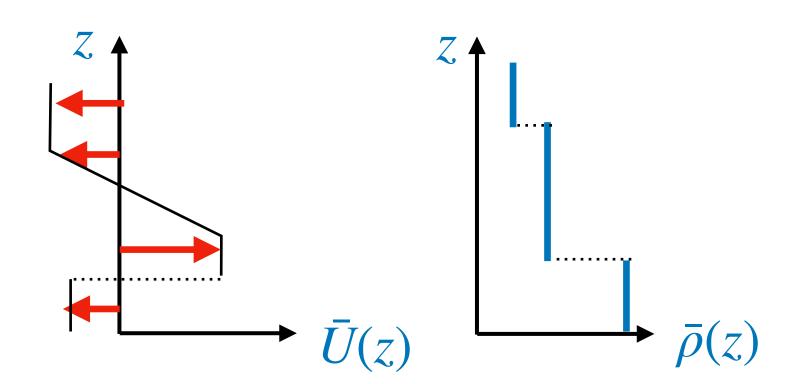
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Interface conditions:

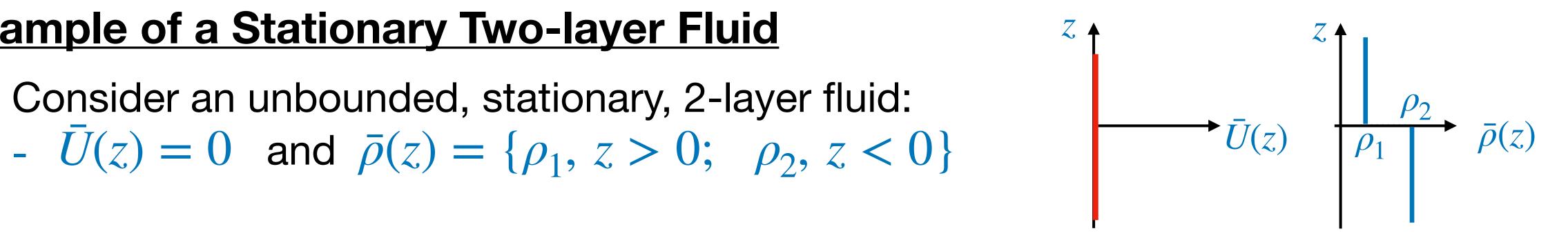
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$$\Rightarrow \hat{p}|_{z=\eta} = \left| \bar{\rho} \left[(\bar{U} - c) \hat{\psi}' - \bar{U}' \hat{\psi} - \frac{g}{\bar{U} - c} \hat{\psi} \right] \right|_{z=0^{+}} = \bar{\rho} \left[(\bar{U} - c) \hat{\psi}' - \bar{U}' \hat{\psi} - \frac{g}{\bar{U} - c} \hat{\psi} \right] \right|_{z=0^{-}}$$

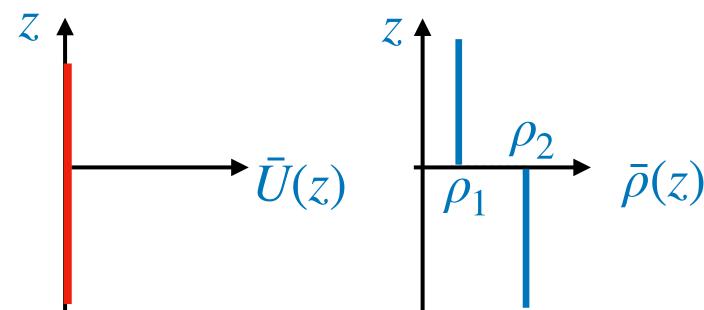
- Consider an unbounded, stationary, 2-layer fluid:



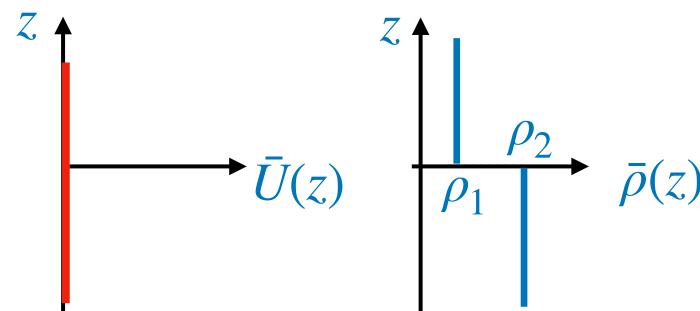
• Consider an unbounded, stationary, 2-layer fluid:

-
$$\bar{U}(z) = 0$$
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• Denote the streamfunction in the upper and lower layer respectively by $\psi_1(x,z,t) = \hat{\psi}_1(z) e^{\imath(kx-\omega t)}$ and $\psi_2(x,z,t) = \hat{\psi}_2(z) e^{\imath(kx-\omega t)}$

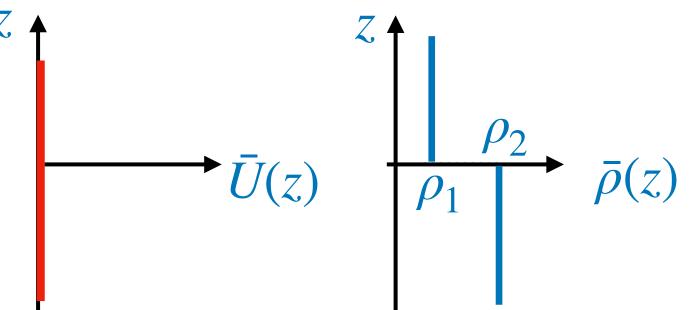


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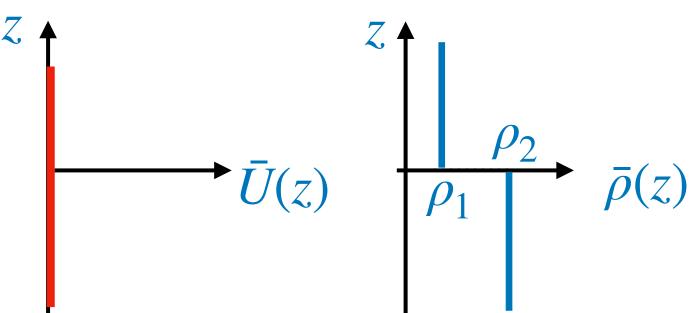
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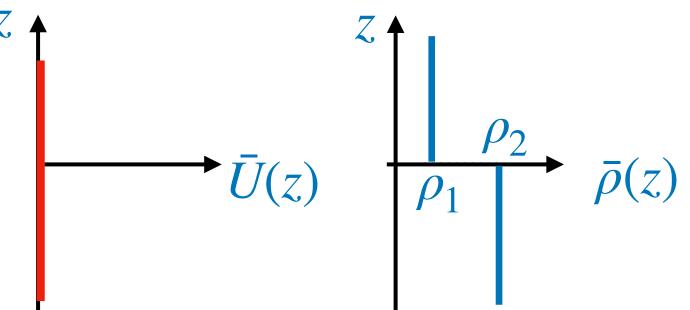
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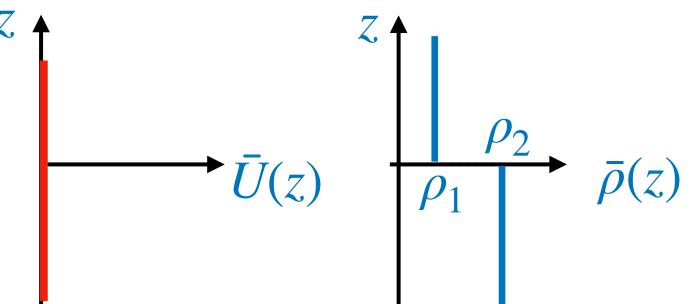
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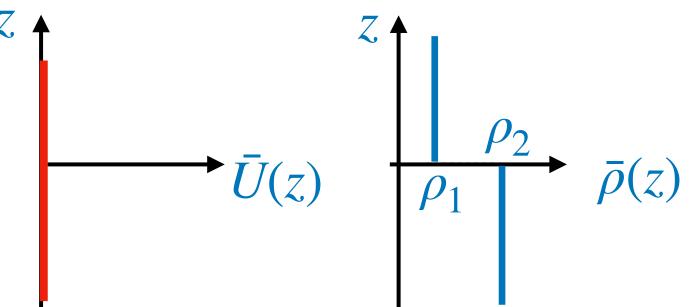
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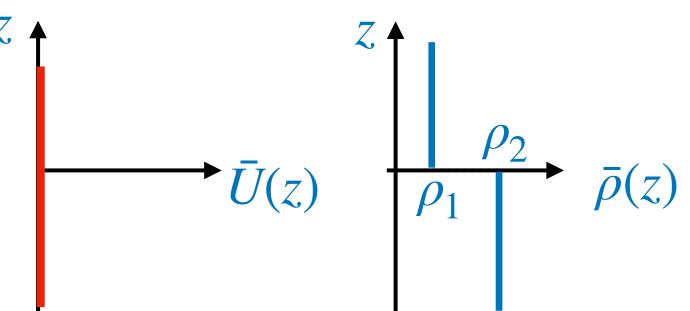
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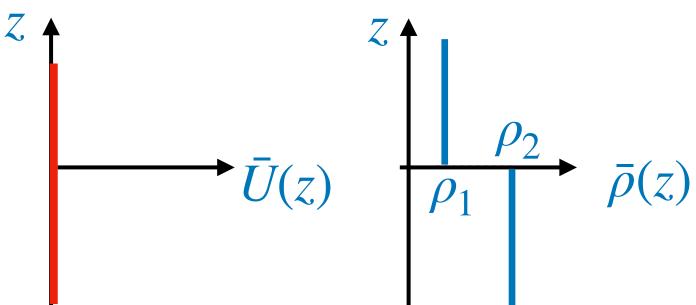
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$$\Rightarrow \rho_1[c^2k+g] = \rho_2[-c^2k+g]$$
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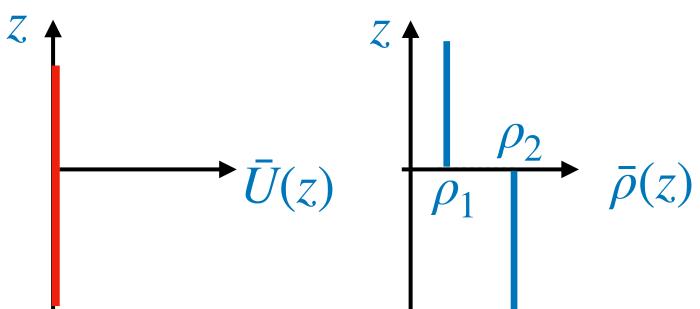
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- $\begin{array}{c|c}
 \hline
 z \\
 \hline
 \hline
 \hline
 U(z)
 \end{array}$ $\begin{array}{c|c}
 \hline
 \rho_2 \\
 \hline
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$$\Rightarrow \quad \rho_1\left[(-c)(-k\mathcal{A}_1)-0-\frac{g}{-c}\mathcal{A}_1\right]=\rho_2\left[(-c)(k\mathcal{A}_2)-0-\frac{g}{-c}\mathcal{A}_2\right] \\ \Rightarrow \quad \rho_1[c^2k+g]\mathcal{A}_1=\rho_2[-c^2k+g]\mathcal{A}_2$$

$$\Rightarrow \rho_1[c^2k+g] = \rho_2[-c^2k+g] \Rightarrow c^2 = \frac{g}{k}\frac{\rho_2-\rho_1}{\rho_2+\rho_1}$$
using
$$\mathcal{A}_1 = \mathcal{A}_2$$

- Consider an unbounded, stationary, 2-layer fluid:
 - $\bar{U}(z) = 0$ and $\bar{\rho}(z) = \{\rho_1, z > 0; \rho_2, z < 0\}$



- Denote the streamfunction in the upper and lower layer respectively by $\psi_1(x,z,t) = \hat{\psi}_1(z) e^{\imath(kx-\omega t)}$ and $\psi_2(x,z,t) = \hat{\psi}_2(z) e^{\imath(kx-\omega t)}$
- The vertical structure functions satisfy $\hat{\psi}'' k^2 \hat{\psi} = 0$
- For bounded solutions, must have (assuming k>0): $\hat{\psi}_1=\mathcal{A}_1e^{-kz}$ $\hat{\psi}_2=\mathcal{A}_2e^{kz}$
- Interface Condition 1): $\left[\frac{\hat{\psi}}{\bar{U} c} \right] = \left[\frac{\hat{\psi}}{\bar{U} c} \right] \Rightarrow \frac{\mathcal{A}_1}{-c} = \frac{\mathcal{A}_2}{-c} \Rightarrow \mathcal{A}_1 = \mathcal{A}_2$
- Interface Condition 2): $\bar{\rho} \left[(\bar{U} c)\hat{\psi}' \bar{U}'\hat{\psi} \frac{g}{\bar{U} c}\hat{\psi} \right] \bigg|_{z=0^+} = \bar{\rho} \left[(\bar{U} c)\hat{\psi}' \bar{U}'\hat{\psi} \frac{g}{\bar{U} c}\hat{\psi} \right] \bigg|_{z=0^-}$

$$\Rightarrow \quad \rho_1\left[(-c)(-k\mathscr{A}_1)-0-\frac{g}{-c}\mathscr{A}_1\right]=\rho_2\left[(-c)(k\mathscr{A}_2)-0-\frac{g}{-c}\mathscr{A}_2\right] \\ \Rightarrow \quad \rho_1[c^2k+g]\mathscr{A}_1=\rho_2[-c^2k+g]\mathscr{A}_2$$

$$\Rightarrow \rho_1[c^2k + g] = \rho_2[-c^2k + g] \Rightarrow c^2 = \frac{g}{k}\frac{\rho_2 - \rho_1}{\rho_2 + \rho_1} \Rightarrow \omega^2 = gk\frac{\rho_2 - \rho_1}{\rho_2 + \rho_1}$$
using
$$\omega = \omega$$

This is the dispersion relation found before.

• For piecewise-linear flows, $\bar{U}(z)$, and piecewise-constant density, $\bar{\rho}(z)$, we found that the vertical structure $\hat{\psi}(z)$ of the streamfunction $\psi(x,z,t) = \hat{\psi} e^{\iota(kx-\omega t)}$ satisfies

$$\hat{\psi}'' - k^2 \hat{\psi} = 0$$

subject to interface conditions

$$\left[\frac{\hat{\psi}}{\bar{U} - c} \right] \bigg|_{z=0^{+}} = \left[\frac{\hat{\psi}}{\bar{U} - c} \right] \bigg|_{z=0^{-}}$$

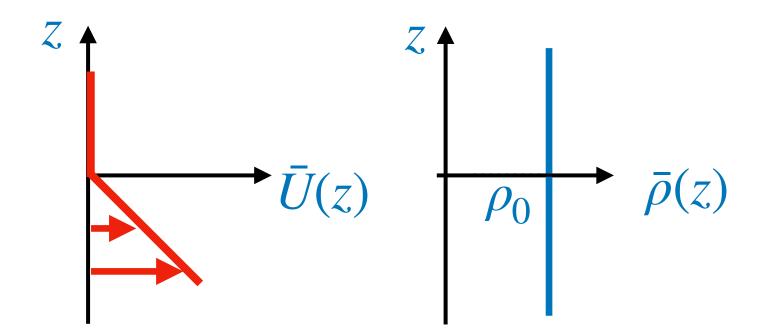
$$\bar{\rho} \left[(\bar{U} - c) \hat{\psi}' - \bar{U}' \hat{\psi} - \frac{g}{\bar{U} - c} \hat{\psi} \right] \bigg|_{z=0^{+}} = \bar{\rho} \left[(\bar{U} - c) \hat{\psi}' - \bar{U}' \hat{\psi} - \frac{g}{\bar{U} - c} \hat{\psi} \right] \bigg|_{z=0^{-}}$$

with $c \equiv \omega/k$

- Consider an unbounded, uniform-density fluid:
 - $\bar{\rho}(z) = \rho_0$

in which \overline{U} is a "kinked-shear" flow:

$$- \bar{U}(z) = \{0, z > 0; -s_0 z, z < 0\}$$

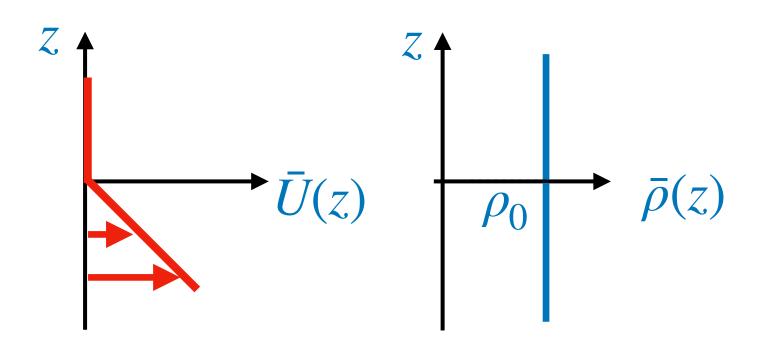


- Consider an unbounded, uniform-density fluid:
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- The vertical structure functions satisfy $\hat{\psi}'' k^2 \hat{\psi} = 0$
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Consider an unbounded, uniform-density fluid:

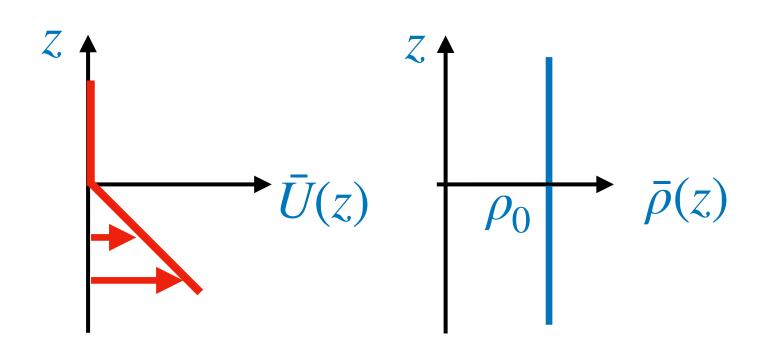
$$- \bar{\rho}(z) = \rho_0$$

in which U is a "kinked-shear" flow:

$$- \bar{U}(z) = \{0, z > 0; -s_0 z, z < 0\}$$

- The vertical structure functions satisfy $\hat{\psi}'' k^2 \hat{\psi} = 0$
- For bounded solutions, must have (assuming k > 0): $\hat{\psi}_1 = \mathcal{A}_1 e^{-kz}$ $\hat{\psi}_2 = \mathcal{A}_2 e^{kz}$

• Interface Condition 1):
$$\left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0^{+}} = \left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0^{-}}$$



$$\hat{\psi}_1 = \mathcal{A}_1 e^{-kz} \qquad \hat{\psi}_2 = \mathcal{A}_2 e^{kz}$$

- Consider an unbounded, uniform-density fluid:
 - $\bar{\rho}(z) = \rho_0$

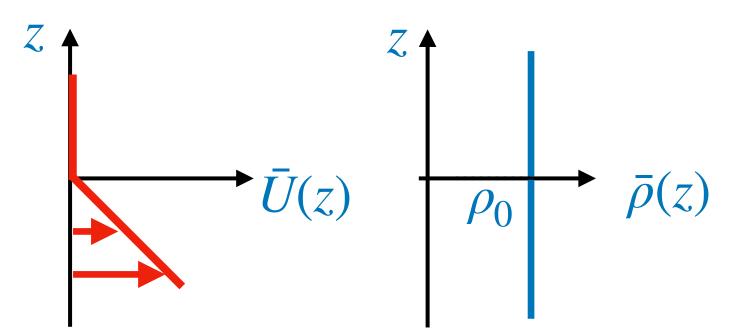
in which \overline{U} is a "kinked-shear" flow:

$$- \bar{U}(z) = \{0, z > 0; -s_0 z, z < 0\}$$





• Interface Condition 1):
$$\left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0^{+}} = \left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0^{-}} \Rightarrow \frac{\mathcal{A}_{1}}{-c} = \frac{\mathcal{A}_{2}}{-c}$$



- Consider an unbounded, uniform-density fluid:
 - $\bar{\rho}(z) = \rho_0$

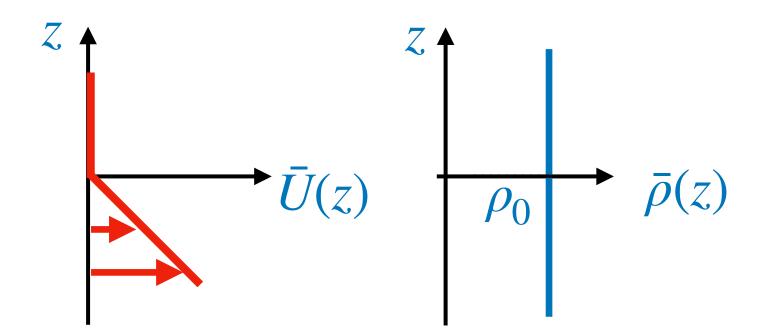
in which U is a "kinked-shear" flow:

$$- \bar{U}(z) = \{0, z > 0; -s_0 z, z < 0\}$$





• For bounded solutions, must have (assuming k > 0): $\hat{\psi}_1 = \mathcal{A}_1 e^{-kz}$ $\hat{\psi}_2 = \mathcal{A}_2 e^{kz}$ • Interface Condition 1): $\left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0+} = \left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0-} \Rightarrow \frac{\mathcal{A}_1}{-c} = \frac{\mathcal{A}_2}{-c} \Rightarrow \mathcal{A}_1 = \mathcal{A}_2$



- Consider an unbounded, uniform-density fluid:
 - $\bar{\rho}(z) = \rho_0$

in which \overline{U} is a "kinked-shear" flow:

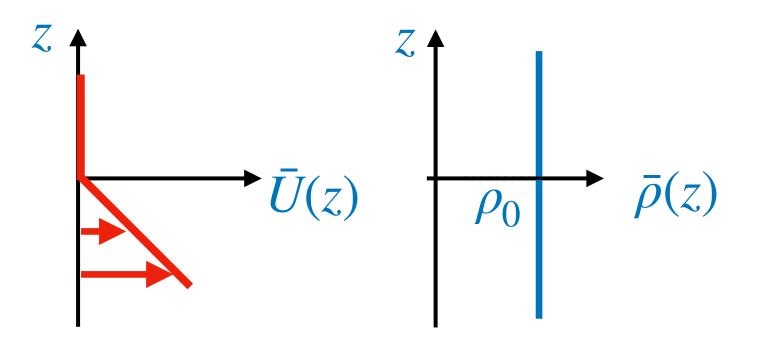
$$- \bar{U}(z) = \{0, z > 0; -s_0 z, z < 0\}$$





• For bounded solutions, must have (assuming
$$k > 0$$
): $\hat{\psi}_1 = \mathcal{A}_1 e^{-kz}$ $\hat{\psi}_2 = \mathcal{A}_2 e^{kz}$
• Interface Condition 1): $\left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0^+} = \left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0^-} \Rightarrow \frac{\mathcal{A}_1}{-c} = \frac{\mathcal{A}_2}{-c} \Rightarrow \mathcal{A}_1 = \mathcal{A}_2$

• Interface Condition 2): $\bar{\rho}\left[(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}-\frac{g}{\bar{U}-c}\hat{\psi}\right]\Big|_{z=0+}=\bar{\rho}\left[(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}-\frac{g}{\bar{U}-c}\hat{\psi}\right]\Big|_{z=0+}$



• Consider an unbounded, uniform-density fluid:

$$- \bar{\rho}(z) = \rho_0$$

in which \overline{U} is a "kinked-shear" flow:

$$- \bar{U}(z) = \{0, z > 0; -s_0 z, z < 0\}$$

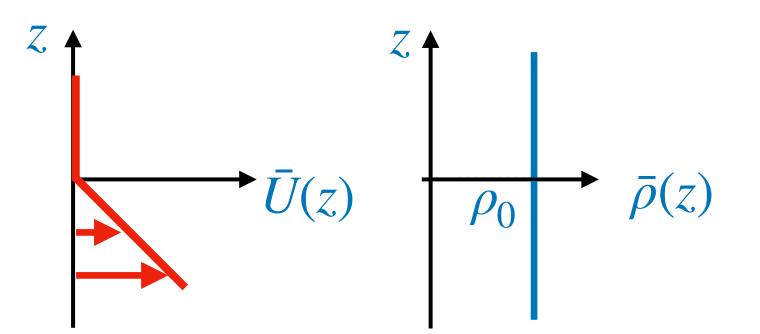




• Interface Condition 1):
$$\left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0^+} = \left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0^-} \Rightarrow \frac{\mathcal{A}_1}{-c} = \frac{\mathcal{A}_2}{-c} \Rightarrow \mathcal{A}_1 = \mathcal{A}_2$$

• Interface Condition 2):
$$\bar{\rho} \left[(\bar{U} - c)\hat{\psi}' - \bar{U}'\hat{\psi} - \frac{g}{\bar{U} - c}\hat{\psi} \right] \bigg|_{z=0^+} = \bar{\rho} \left[(\bar{U} - c)\hat{\psi}' - \bar{U}'\hat{\psi} - \frac{g}{\bar{U} - c}\hat{\psi} \right] \bigg|_{z=0^-}$$

$$\Rightarrow \quad \rho_0 \left[(-c)(-k) - 0 - \frac{g}{-c} \right] \mathcal{A}_1 = \rho_0 \left[(-c)(k) - s_0 - \frac{g}{-c} \right] \mathcal{A}_2$$



• Consider an unbounded, uniform-density fluid:

$$- \bar{\rho}(z) = \rho_0$$

in which \overline{U} is a "kinked-shear" flow:

$$- \bar{U}(z) = \{0, z > 0; -s_0 z, z < 0\}$$





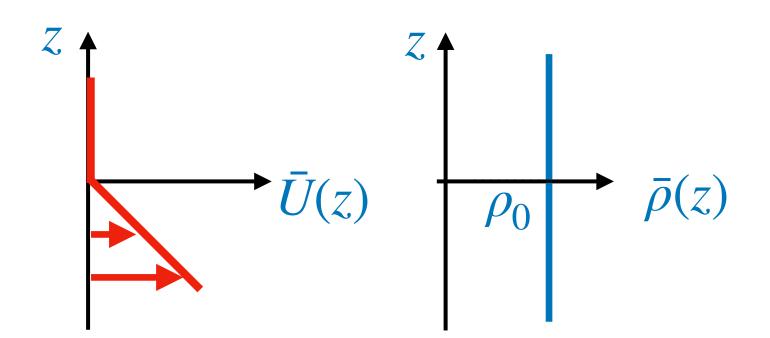
• Interface Condition 1):
$$\left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0^+} = \left[\frac{\hat{\psi}}{\bar{U} - c} \right]_{z=0^-} \Rightarrow \frac{\mathcal{A}_1}{-c} = \frac{\mathcal{A}_2}{-c} \Rightarrow \mathcal{A}_1 = \mathcal{A}_2$$

• Interface Condition 2):
$$\bar{\rho}\left[(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}-\frac{g}{\bar{U}-c}\hat{\psi}\right]\Big|_{z=0^+}=\bar{\rho}\left[(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}-\frac{g}{\bar{U}-c}\hat{\psi}\right]\Big|_{z=0^-}$$

$$\Rightarrow \quad \rho_0 \left[(-c)(-k) - 0 - \frac{g}{-c} \right] \mathcal{A}_1 = \rho_0 \left[(-c)(k) - s_0 - \frac{g}{-c} \right] \mathcal{A}_2 \qquad \Rightarrow \qquad ck = -ck + s_0$$

$$\text{using}$$

$$\mathcal{A}_1 = \mathcal{A}_2$$



• Consider an unbounded, uniform-density fluid:

$$- \bar{\rho}(z) = \rho_0$$

in which \bar{U} is a "kinked-shear" flow:

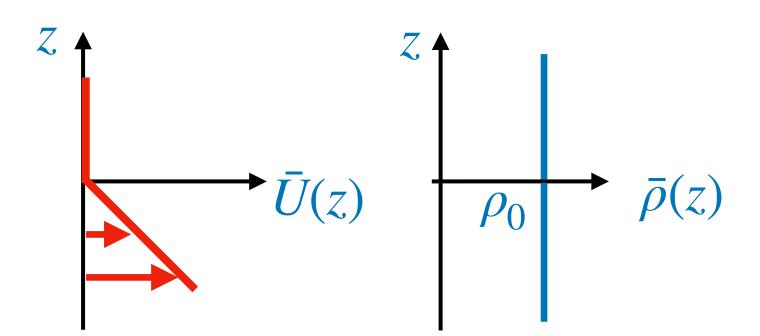
$$- \bar{U}(z) = \{0, z > 0; -s_0 z, z < 0\}$$

- The vertical structure functions satisfy $\hat{\psi}'' k^2 \hat{\psi} = 0$
- For bounded solutions, must have (assuming k>0): $\hat{\psi}_1=\mathcal{A}_1e^{-kz}$ $\hat{\psi}_2=\mathcal{A}_2e^{kz}$
- Interface Condition 1): $\left[\frac{\hat{\psi}}{\bar{U} c} \right] \bigg|_{z=0^{+}} = \left[\frac{\hat{\psi}}{\bar{U} c} \right] \bigg|_{z=0^{-}} \Rightarrow \frac{\mathcal{A}_{1}}{-c} = \frac{\mathcal{A}_{2}}{-c} \Rightarrow \mathcal{A}_{1} = \mathcal{A}_{2}$
- Interface Condition 2): $\bar{\rho}\left[(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}-\frac{g}{\bar{U}-c}\hat{\psi}\right]\Big|_{z=0^+}=\bar{\rho}\left[(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}-\frac{g}{\bar{U}-c}\hat{\psi}\right]\Big|_{z=0^-}$

$$\Rightarrow \quad \rho_0 \left[(-c)(-k) - 0 - \frac{g}{-c} \right] \mathcal{A}_1 = \rho_0 \left[(-c)(k) - s_0 - \frac{g}{-c} \right] \mathcal{A}_2 \qquad \Rightarrow \qquad ck = -ck + s_0$$

$$\Rightarrow \quad c = s_0/(2k)$$

$$\Rightarrow \quad c = s_0/(2k)$$



• Consider an unbounded, uniform-density fluid:

$$- \bar{\rho}(z) = \rho_0$$

in which \overline{U} is a "kinked-shear" flow:

$$- \bar{U}(z) = \{0, z > 0; -s_0 z, z < 0\}$$

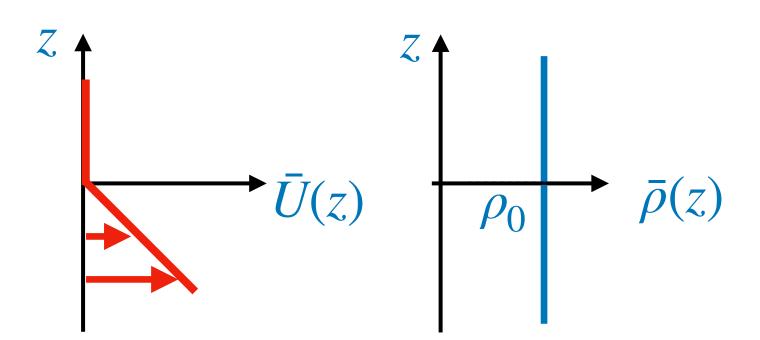
- The vertical structure functions satisfy $\hat{\psi}'' k^2 \hat{\psi} = 0$
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- Interface Condition 1): $\left[\frac{\hat{\psi}}{\bar{U} c} \right] \bigg|_{z=0^+} = \left[\frac{\hat{\psi}}{\bar{U} c} \right] \bigg|_{z=0^-} \Rightarrow \frac{\mathcal{A}_1}{-c} = \frac{\mathcal{A}_2}{-c} \Rightarrow \mathcal{A}_1 = \mathcal{A}_2$
- Interface Condition 2): $\bar{\rho}\left[(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}-\frac{g}{\bar{U}-c}\hat{\psi}\right]\Big|_{z=0^+}=\bar{\rho}\left[(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}-\frac{g}{\bar{U}-c}\hat{\psi}\right]\Big|_{z=0^-}$

$$\Rightarrow \quad \rho_0 \left[(-c)(-k) - 0 - \frac{g}{-c} \right] \mathcal{A}_1 = \rho_0 \left[(-c)(k) - s_0 - \frac{g}{-c} \right] \mathcal{A}_2 \qquad \Rightarrow \quad ck = -ck + s_0$$

$$\Rightarrow \quad c = s_0/(2k) \qquad \Rightarrow \quad \omega = s_0/2$$

$$\mathcal{A}_1 = \mathcal{A}_2$$

using $c \equiv \omega/k$



• Consider an unbounded, uniform-density fluid:

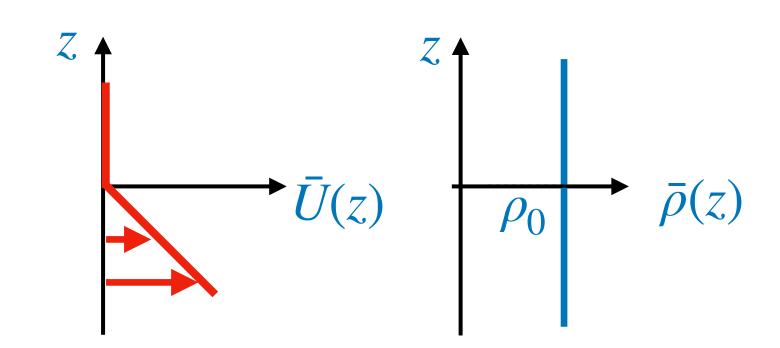
$$- \bar{\rho}(z) = \rho_0$$

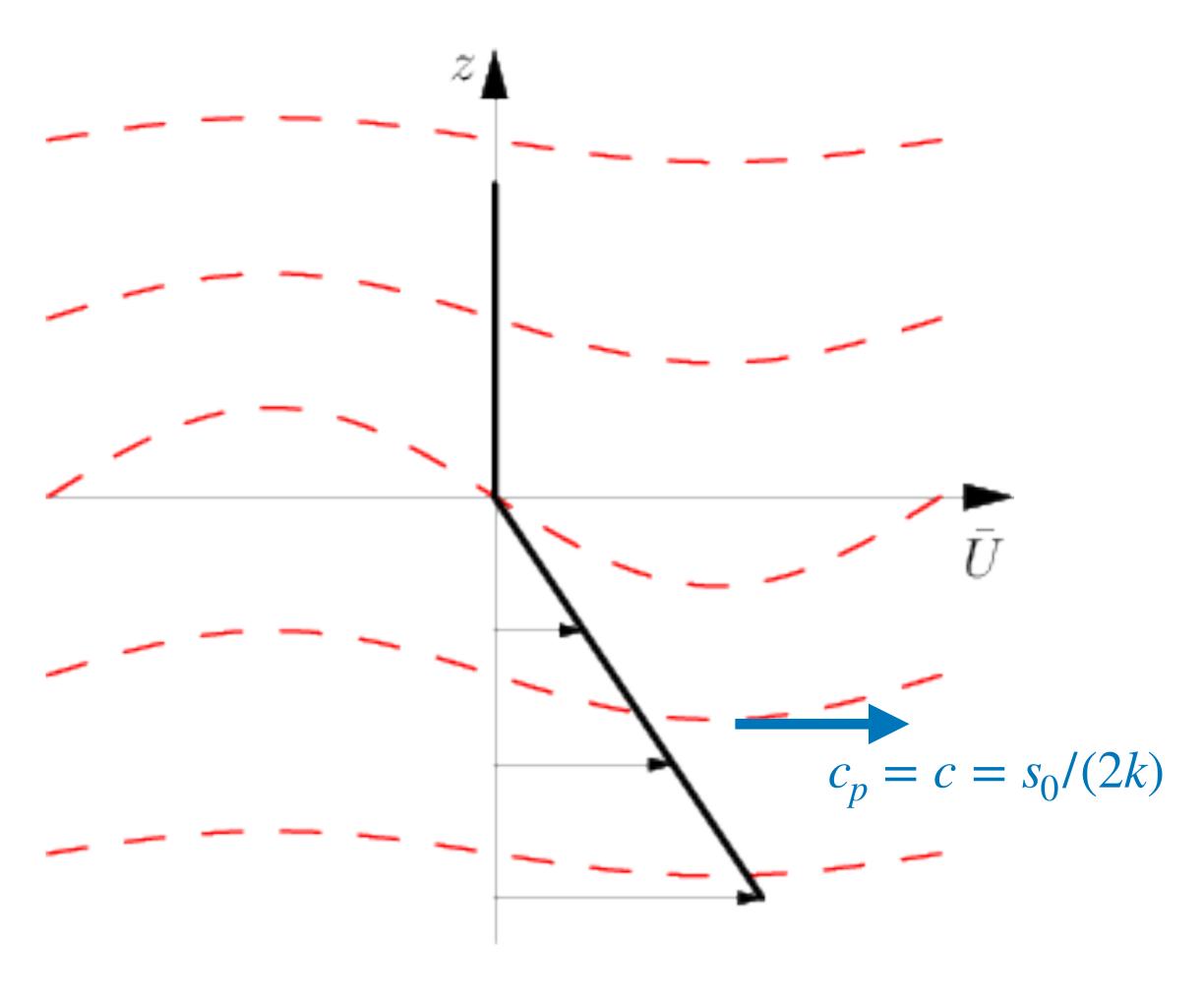
in which \overline{U} is a "kinked-shear" flow:

$$- \bar{U}(z) = \{0, z > 0; -s_0 z, z < 0\}$$

$$\psi(x, z, t) = \mathcal{A}e^{-k|z|} e^{\iota(kx - \omega t)}$$

$$\omega(k) = s_0/2$$





6.4] Kelvin-Helmholtz Instability

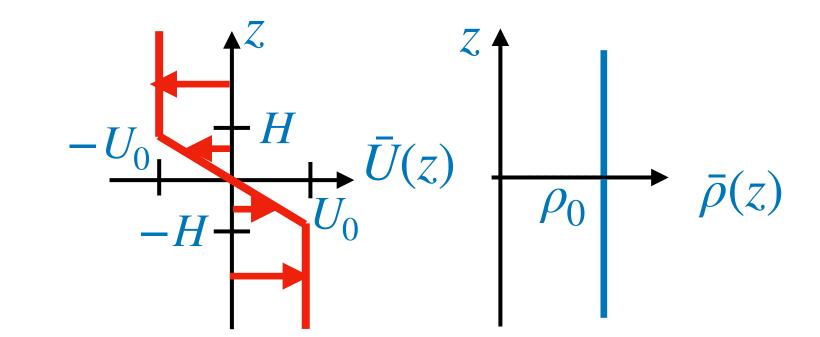
- We proceed as in the previous examples but not considering piecewise-linear flows and piecewise-constant density profiles that can admit two wave solutions.
- In some circumstances, the waves can resonate leading to their amplitude growth in time, thus rendering the flow unstable

Kelvin-Helmholtz Instability: structure and interface conditions

This results from resonantly coupled Rayleigh Waves

in a shear layer:
$$-\bar{U}(z) = \{-U_0, \, z > H; \, -s_0 \, z, \, |z| < H; \, U_0, \, z < -H\}$$
 where $s_0 \equiv U_0/H$

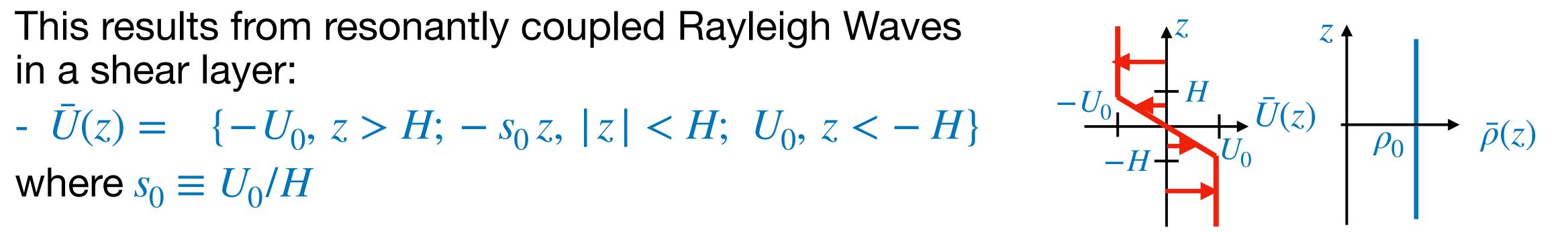
For now assume that $\bar{\rho} = \rho_0$, constant.



Kelvin-Helmholtz Instability: structure and interface conditions

This results from resonantly coupled Rayleigh Waves

-
$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$
 where $s_0 \equiv U_0/H$



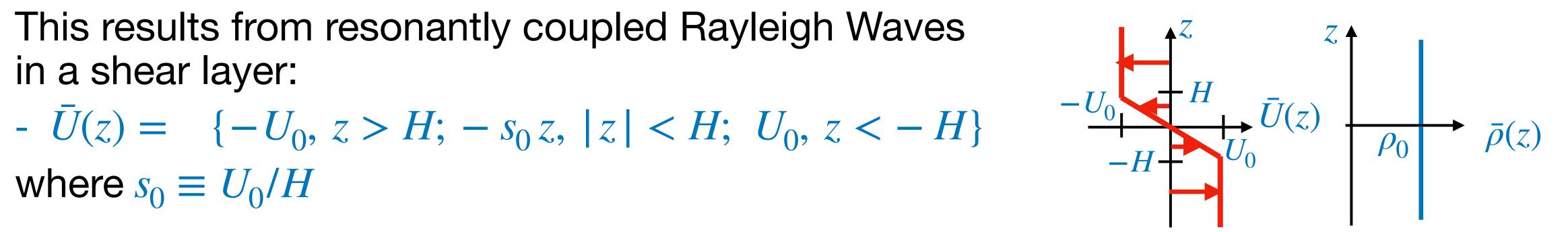
For now assume that $\bar{\rho} = \rho_0$, constant.

• Solve $\hat{\psi}'' - k^2 \hat{\psi} = 0$, require bounded solutions for $z \to \pm \infty$, and take k > 0:

Kelvin-Helmholtz Instability: structure and interface conditions

This results from resonantly coupled Rayleigh Waves

-
$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$
 where $s_0 \equiv U_0/H$



For now assume that $\bar{\rho} = \rho_0$, constant.

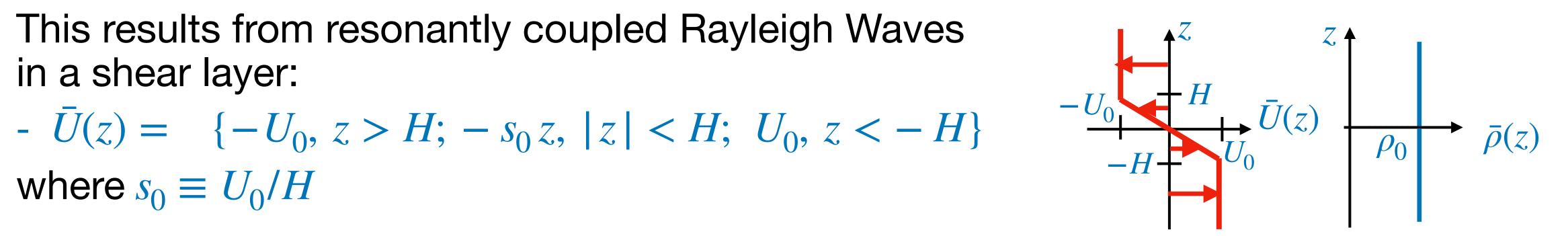
• Solve $\hat{\psi}'' - k^2 \hat{\psi} = 0$, require bounded solutions for $z \to \pm \infty$, and take k > 0:

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

Kelvin-Helmholtz Instability: structure and interface conditions

This results from resonantly coupled Rayleigh Waves

-
$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$
 where $s_0 \equiv U_0/H$



continuous

For now assume that $\bar{\rho} = \rho_0$, constant.

• Solve $\hat{\psi}'' - k^2 \hat{\psi} = 0$, require bounded solutions for $z \to \pm \infty$, and take k > 0:

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

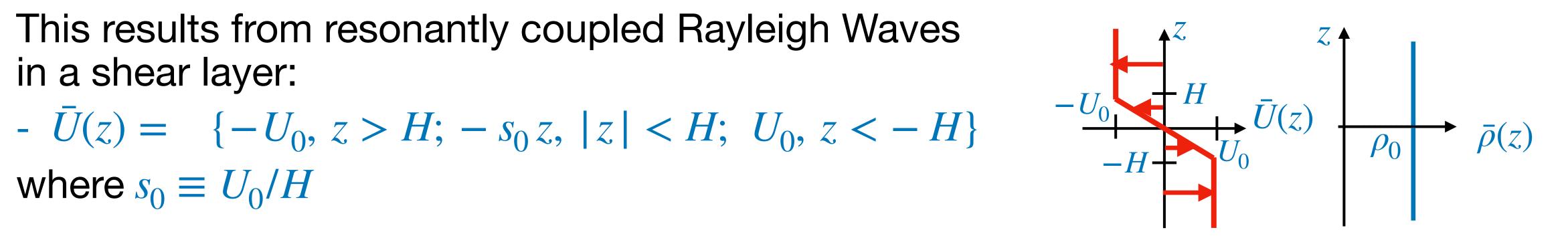
• Because U(z) is continuous and $\bar{\rho}(z) = \rho_0$,

1):
$$\frac{\hat{\psi}}{\bar{U} - c}$$
 continuous \Rightarrow

Kelvin-Helmholtz Instability: structure and interface conditions

This results from resonantly coupled Rayleigh Waves

-
$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$
 where $s_0 \equiv U_0/H$



For now assume that $\bar{\rho} = \rho_0$, constant.

• Solve $\hat{\psi}'' - k^2 \hat{\psi} = 0$, require bounded solutions for $z \to \pm \infty$, and take k > 0:

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

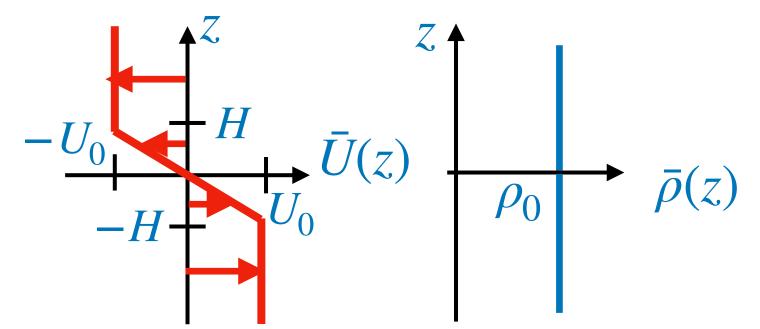
• Because $\bar{U}(z)$ is continuous and $\bar{\rho}(z) = \rho_0$,

1):
$$\frac{\hat{\psi}}{\bar{U}-c}$$
 continuous $\Rightarrow \hat{\psi}$ continuous

2):
$$\bar{\rho}\left[(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}-\frac{g}{\bar{U}-c}\hat{\psi}\right]$$
 continuous \Rightarrow $(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}$ continuous

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{C}e^{kz} & z < -H \end{cases}$$

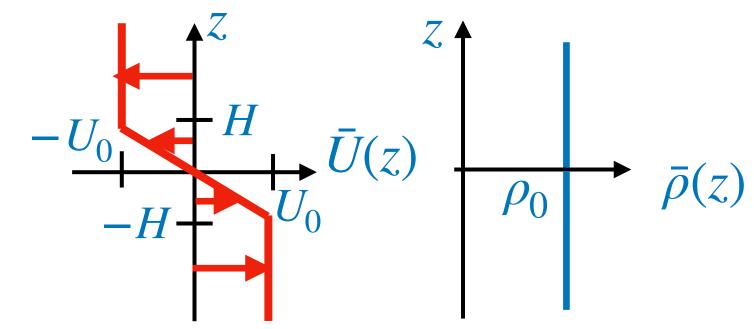
at
$$z = H$$
:



$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

at
$$z = H$$
: $\mathscr{A}e^{-kH} = \mathscr{B}_1 e^{kH} + \mathscr{B}_2 e^{-kH}$

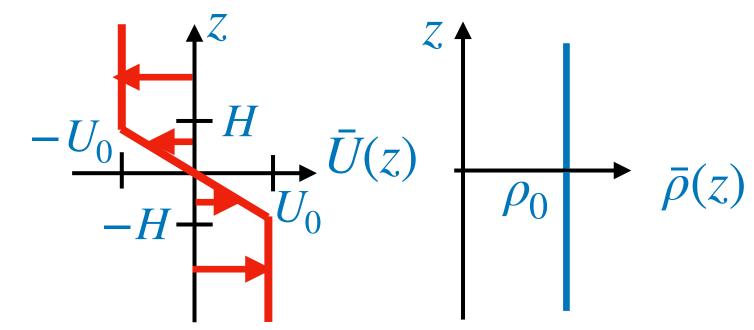


$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

at
$$z = H$$
: $\mathscr{A}e^{-kH} = \mathscr{B}_1 e^{kH} + \mathscr{B}_2 e^{-kH}$

at
$$z = -H$$
: $\mathscr{C}e^{-kH} = \mathscr{B}_1 e^{-kH} + \mathscr{B}_2 e^{kH}$



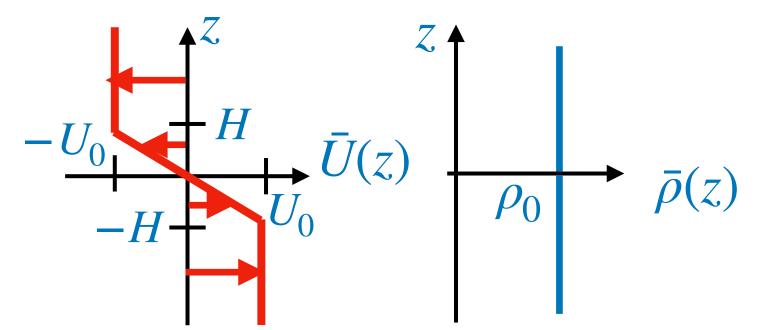
$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

at
$$z = H$$
: $\mathscr{A}e^{-kH} = \mathscr{B}_1 e^{kH} + \mathscr{B}_2 e^{-kH}$

at
$$z = -H$$
: $\mathscr{C}e^{-kH} = \mathscr{B}_1 e^{-kH} + \mathscr{B}_2 e^{kH}$

2)
$$(\bar{U} - c)\hat{\psi}' - \bar{U}'\hat{\psi}$$
 continuous at $z = H$:



$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$



at
$$z = H$$
: $\mathscr{A}e^{-kH} = \mathscr{B}_1 e^{kH} + \mathscr{B}_2 e^{-kH}$

at
$$z = -H$$
: $\mathscr{C}e^{-kH} = \mathscr{B}_1 e^{-kH} + \mathscr{B}_2 e^{kH}$

$$-U_0$$

$$-H$$

$$\bar{U}(z)$$

$$\bar{\rho}(z)$$

$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$

2)
$$(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}$$
 continuous

at
$$z = H$$
: $(-U_0 - c)[-k\mathscr{A}e^{-kH}] = (-U_0 - c)[k\mathscr{B}_1e^{kH} - k\mathscr{B}_2e^{-kH}] - (-s_0)[\mathscr{B}_1e^{kH} + \mathscr{B}_2e^{-kH}]$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

1) $\hat{\psi}$ continuous

at
$$z = H$$
: $\mathscr{A}e^{-kH} = \mathscr{B}_1 e^{kH} + \mathscr{B}_2 e^{-kH}$

at
$$z = -H$$
: $\mathscr{C}e^{-kH} = \mathscr{B}_1 e^{-kH} + \mathscr{B}_2 e^{kH}$

$$-U_0$$

$$-H$$

$$U(z)$$

$$\rho_0$$

$$\bar{\rho}(z)$$

$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$

2) $(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}$ continuous

at
$$z = H$$
: $(-U_0 - c)[-k\mathscr{A}e^{-kH}] = (-U_0 - c)[k\mathscr{B}_1e^{kH} - k\mathscr{B}_2e^{-kH}] - (-s_0)[\mathscr{B}_1e^{kH} + \mathscr{B}_2e^{-kH}]$

at
$$z = -H$$
: $(U_0 - c)[k\mathscr{C}e^{-kH}] = (U_0 - c)[k\mathscr{B}_1e^{-kH} - k\mathscr{B}_2e^{kH}] - (-s_0)[\mathscr{B}_1e^{-kH} + \mathscr{B}_2e^{kH}]$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

1) $\hat{\psi}$ continuous

at
$$z = H$$
: $\mathscr{A}e^{-kH} = \mathscr{B}_1 e^{kH} + \mathscr{B}_2 e^{-kH}$

at
$$z = -H$$
: $\mathscr{C}e^{-kH} = \mathscr{B}_1 e^{-kH} + \mathscr{B}_2 e^{kH}$

$$-U_0 \xrightarrow{H} \bar{U}(z)$$

$$-H \xrightarrow{U_0} \bar{U}(z)$$

$$\rho_0 \qquad \bar{\rho}(z)$$

$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$

2) $(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}$ continuous

at
$$z = H$$
: $(-U_0 - c)[-k\mathscr{A}e^{-kH}] = (-U_0 - c)[k\mathscr{B}_1e^{kH} - k\mathscr{B}_2e^{-kH}] - (-s_0)[\mathscr{B}_1e^{kH} + \mathscr{B}_2e^{-kH}]$

at
$$z = -H$$
: $(U_0 - c)[k\mathscr{C}e^{-kH}] = (U_0 - c)[k\mathscr{B}_1e^{-kH} - k\mathscr{B}_2e^{kH}] - (-s_0)[\mathscr{B}_1e^{-kH} + \mathscr{B}_2e^{kH}]$

$$= (U_0 k + \omega) \left[\mathcal{B}_1 e^{kH} + \mathcal{B}_2 e^{-kH} \right] = -(U_0 k + \omega) \left[\mathcal{B}_1 e^{kH} - \mathcal{B}_2 e^{-kH} \right] + s_0 \left[\mathcal{B}_1 e^{kH} + \mathcal{B}_2 e^{-kH} \right]$$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

1) $\hat{\psi}$ continuous

at
$$z = H$$
: $\mathscr{A}e^{-kH} = \mathscr{B}_1 e^{kH} + \mathscr{B}_2 e^{-kH}$

at
$$z = -H$$
: $\mathscr{C}e^{-kH} = \mathscr{B}_1 e^{-kH} + \mathscr{B}_2 e^{kH}$

$$-U_0$$

$$-H$$

$$\bar{U}(z)$$

$$\bar{\rho}(z)$$

 $U(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$

2)
$$(\bar{U}-c)\hat{\psi}'-\bar{U}'\hat{\psi}$$
 continuous

at
$$z = H$$
: $(-U_0 - c)[-k\mathscr{A}e^{-kH}] = (-U_0 - c)[k\mathscr{B}_1e^{kH} - k\mathscr{B}_2e^{-kH}] - (-s_0)[\mathscr{B}_1e^{kH} + \mathscr{B}_2e^{-kH}]$

at
$$z = -H$$
: $(U_0 - c)[k\mathscr{C}e^{-kH}] = (U_0 - c)[k\mathscr{B}_1e^{-kH} - k\mathscr{B}_2e^{kH}] - (-s_0)[\mathscr{B}_1e^{-kH} + \mathscr{B}_2e^{kH}]$

$$\Rightarrow (U_0k + \omega) \left[\mathcal{B}_1 e^{kH} + \mathcal{B}_2 e^{-kH} \right] = -(U_0k + \omega) \left[\mathcal{B}_1 e^{kH} - \mathcal{B}_2 e^{-kH} \right] + s_0 \left[\mathcal{B}_1 e^{kH} + \mathcal{B}_2 e^{-kH} \right]$$

$$c \equiv \omega/k$$

$$\Rightarrow (U_0k - \omega)[\mathcal{B}_1e^{-kH} + \mathcal{B}_2e^{kH}] = (U_0k - \omega)[\mathcal{B}_1e^{-kH} - \mathcal{B}_2e^{kH}] + s_0[\mathcal{B}_1e^{-kH} + \mathcal{B}_2e^{kH}]$$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

$$(U_0k + \omega)[\mathcal{B}_1e^{kH} + \mathcal{B}_2e^{-kH}] = -(U_0k + \omega)[\mathcal{B}_1e^{kH} - \mathcal{B}_2e^{-kH}] + s_0[\mathcal{B}_1e^{kH} + \mathcal{B}_2e^{-kH}]$$

$$(U_0k - \omega)[\mathcal{B}_1e^{-kH} + \mathcal{B}_2e^{kH}] = (U_0k - \omega)[\mathcal{B}_1e^{-kH} - \mathcal{B}_2e^{kH}] + s_0[\mathcal{B}_1e^{-kH} + \mathcal{B}_2e^{kH}]$$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

$$(U_0k + \omega)[\mathcal{B}_1e^{kH} + \mathcal{B}_2e^{-kH}] = -(U_0k + \omega)[\mathcal{B}_1e^{kH} - \mathcal{B}_2e^{-kH}] + s_0[\mathcal{B}_1e^{kH} + \mathcal{B}_2e^{-kH}]$$

$$(U_0k - \omega)[\mathcal{B}_1e^{-kH} + \mathcal{B}_2e^{kH}] = (U_0k - \omega)[\mathcal{B}_1e^{-kH} - \mathcal{B}_2e^{kH}] + s_0[\mathcal{B}_1e^{-kH} + \mathcal{B}_2e^{kH}]$$

$$\Rightarrow (2U_0k + 2\omega - s_0)\mathcal{B}_1e^{kH} + (-s_0)\mathcal{B}_2e^{-kH} = 0$$

$$\Rightarrow (-s_0) \mathcal{B}_1 e^{-kH} + (2U_0 k - 2\omega - s_0) \mathcal{B}_2 e^{kH} = 0$$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

$$(U_0k + \omega)[\mathcal{B}_1e^{kH} + \mathcal{B}_2e^{-kH}] = -(U_0k + \omega)[\mathcal{B}_1e^{kH} - \mathcal{B}_2e^{-kH}] + s_0[\mathcal{B}_1e^{kH} + \mathcal{B}_2e^{-kH}]$$
$$(U_0k - \omega)[\mathcal{B}_1e^{-kH} + \mathcal{B}_2e^{kH}] = (U_0k - \omega)[\mathcal{B}_1e^{-kH} - \mathcal{B}_2e^{kH}] + s_0[\mathcal{B}_1e^{-kH} + \mathcal{B}_2e^{kH}]$$

$$\Rightarrow (2U_0k + 2\omega - s_0)\mathcal{B}_1e^{kH} + (-s_0)\mathcal{B}_2e^{-kH} = 0$$

$$\Rightarrow (-s_0) \mathcal{B}_1 e^{-kH} + (2U_0 k - 2\omega - s_0) \mathcal{B}_2 e^{kH} = 0$$

$$\begin{array}{ccc}
U_0 = s_0 H & \Rightarrow & \begin{pmatrix} [2\omega + s_0(2kH - 1)] e^{kH} & -s_0 e^{-kH} \\
& -s_0 e^{-kH} & -[2\omega - s_0(2kH - 1)] e^{kH} \end{pmatrix} \begin{pmatrix} \mathcal{B}_1 \\ \mathcal{B}_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

$$(U_0k + \omega)[\mathcal{B}_1e^{kH} + \mathcal{B}_2e^{-kH}] = -(U_0k + \omega)[\mathcal{B}_1e^{kH} - \mathcal{B}_2e^{-kH}] + s_0[\mathcal{B}_1e^{kH} + \mathcal{B}_2e^{-kH}]$$

$$(U_0k - \omega)[\mathcal{B}_1e^{-kH} + \mathcal{B}_2e^{kH}] = (U_0k - \omega)[\mathcal{B}_1e^{-kH} - \mathcal{B}_2e^{kH}] + s_0[\mathcal{B}_1e^{-kH} + \mathcal{B}_2e^{kH}]$$

$$\Rightarrow (2U_0k + 2\omega - s_0)\mathcal{B}_1e^{kH} + (-s_0)\mathcal{B}_2e^{-kH} = 0$$

$$\Rightarrow (-s_0) \mathcal{B}_1 e^{-kH} + (2U_0 k - 2\omega - s_0) \mathcal{B}_2 e^{kH} = 0$$

$$\begin{array}{ccc}
U_0 = s_0 H & \Rightarrow & \begin{pmatrix} [2\omega + s_0(2kH - 1)] \, e^{kH} & -s_0 \, e^{-kH} \\
-s_0 \, e^{-kH} & -[2\omega - s_0(2kH - 1)] \, e^{kH} \end{pmatrix} \begin{pmatrix} \mathcal{B}_1 \\ \mathcal{B}_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \\
\Rightarrow & \omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right]
\end{array}$$

6.4] Unstable Coupled Wave Solutions (cont'd)

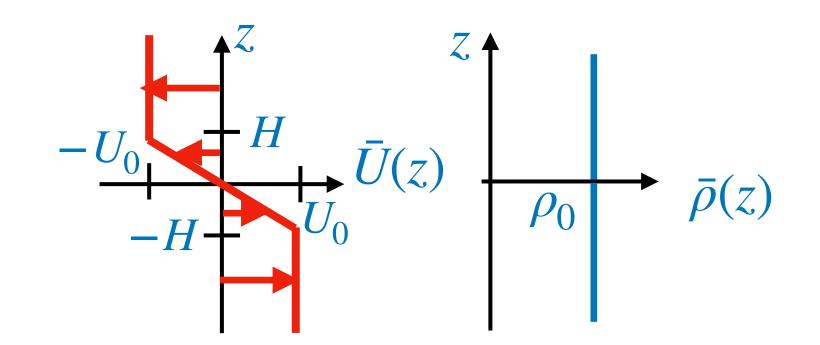
- We proceed as in the previous examples but not considering piecewise-linear flows and piecewise-constant density profiles that can admit two wave solutions.
- In some circumstances, the waves can resonate leading to their amplitude growth in time, thus rendering the flow unstable
- We are considering instability occurring in a "shear layer" in uniform density fluid.

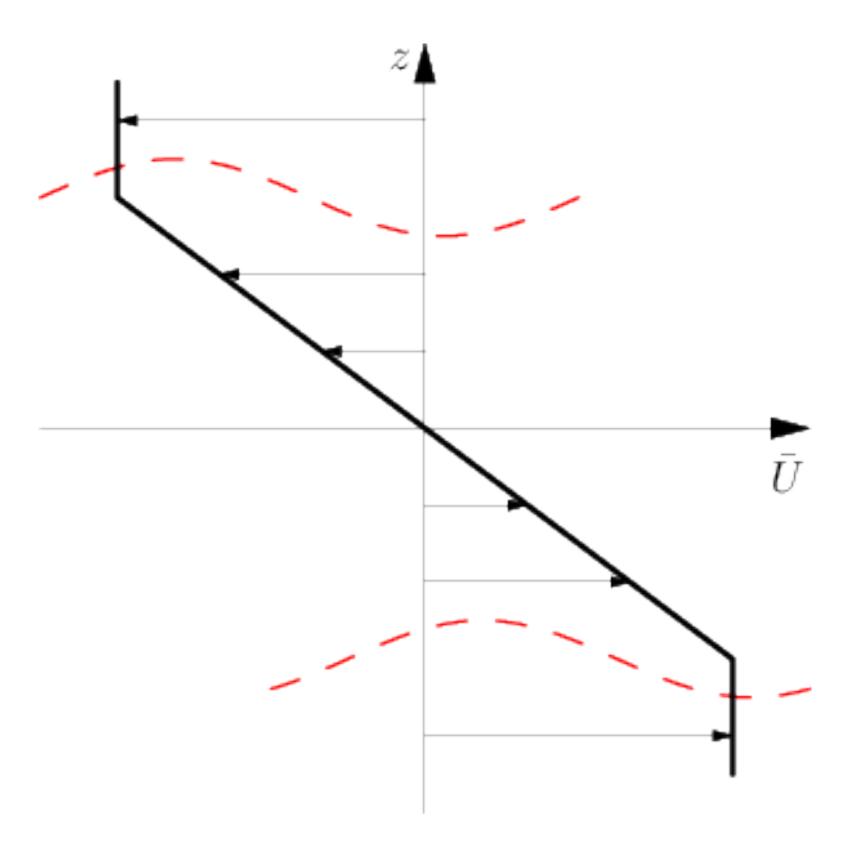
This is "Kelvin-Helmholtz instability".

 We found that waves in a shear layer satisfy the dispersion relation

$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

• To help interpret this, first consider the limit $kH \gg 1$

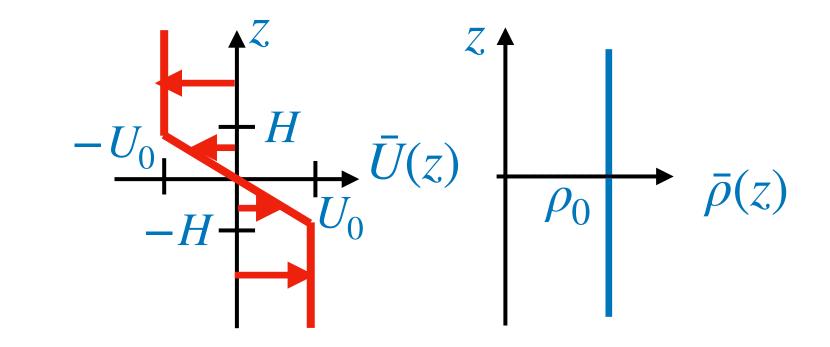


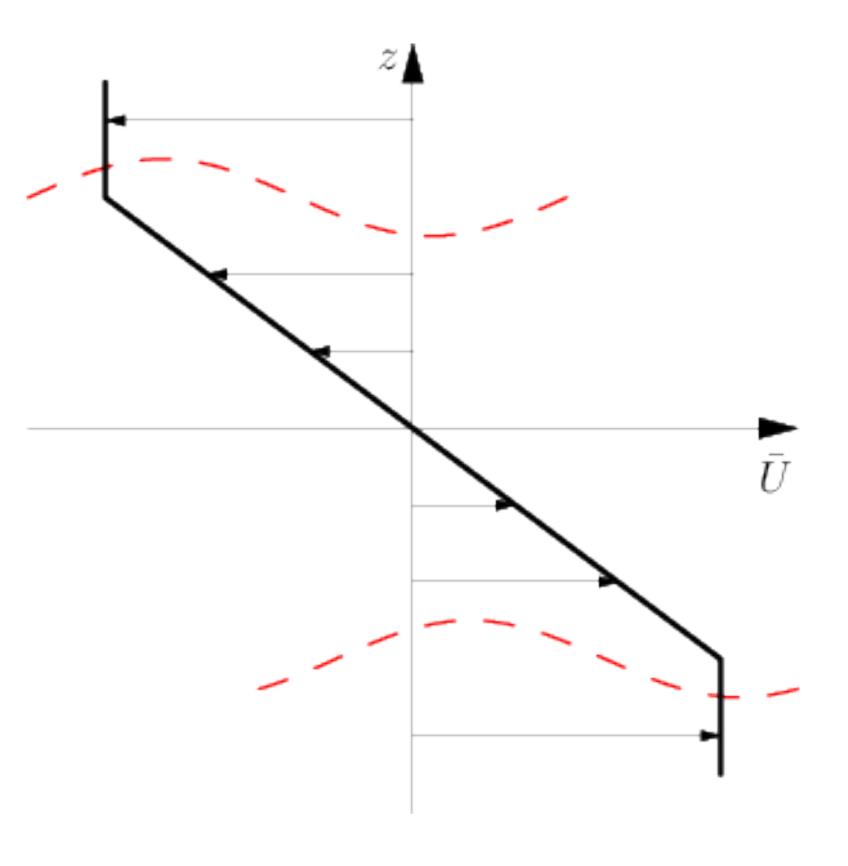


 We found that waves in a shear layer satisfy the dispersion relation

$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

- To help interpret this, first consider the limit $kH \gg 1$
- Then $\omega \simeq \pm \frac{1}{2} s_0 (1 2kH)$





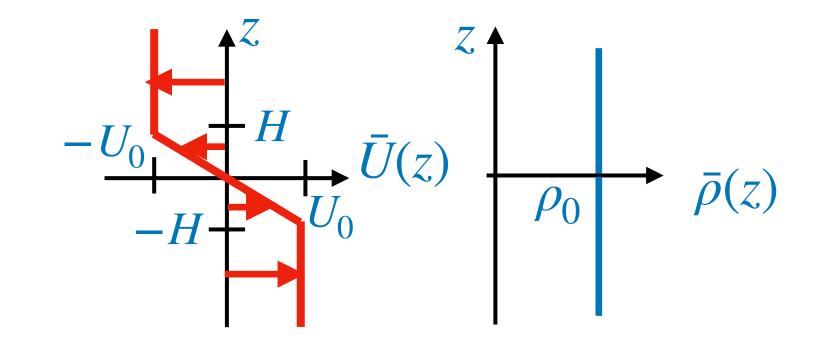
 We found that waves in a shear layer satisfy the dispersion relation

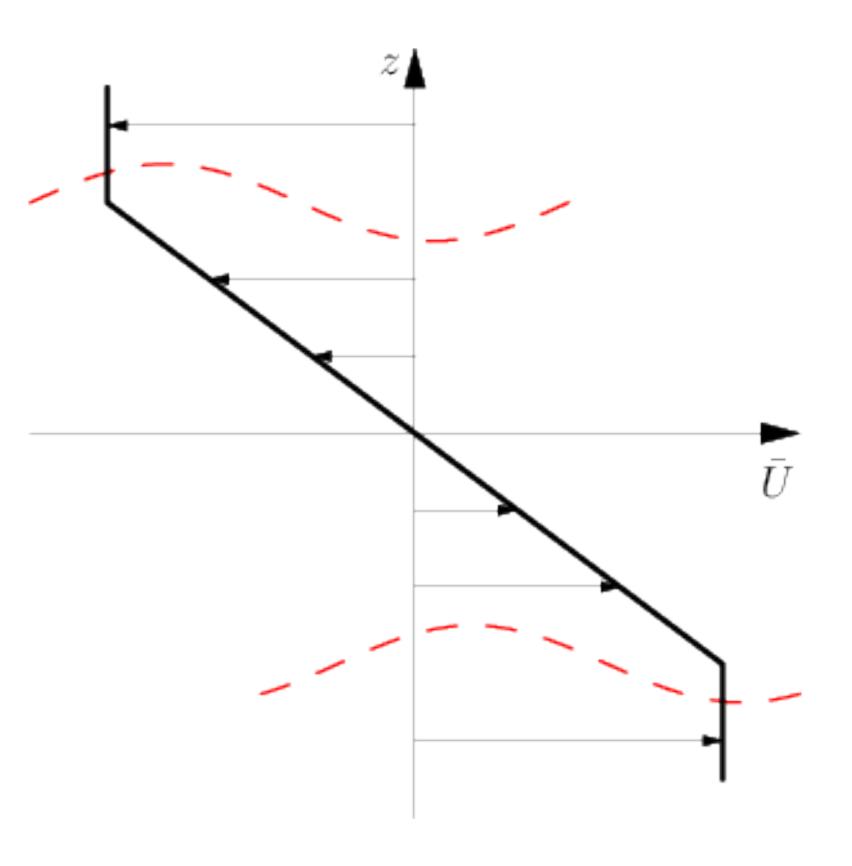
$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

• To help interpret this, first consider the limit $kH \gg 1$

• Then
$$\omega \simeq \pm \frac{1}{2} s_0 (1 - 2kH) = \pm (\frac{s_0}{2} - U_0 k)$$

This describes 2 Rayleigh waves:





 We found that waves in a shear layer satisfy the dispersion relation

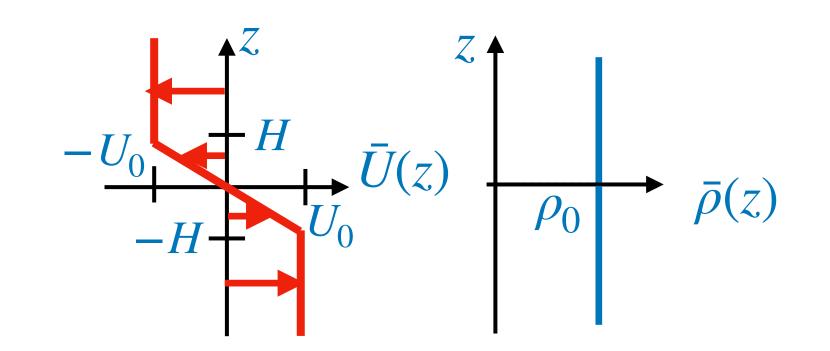
$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

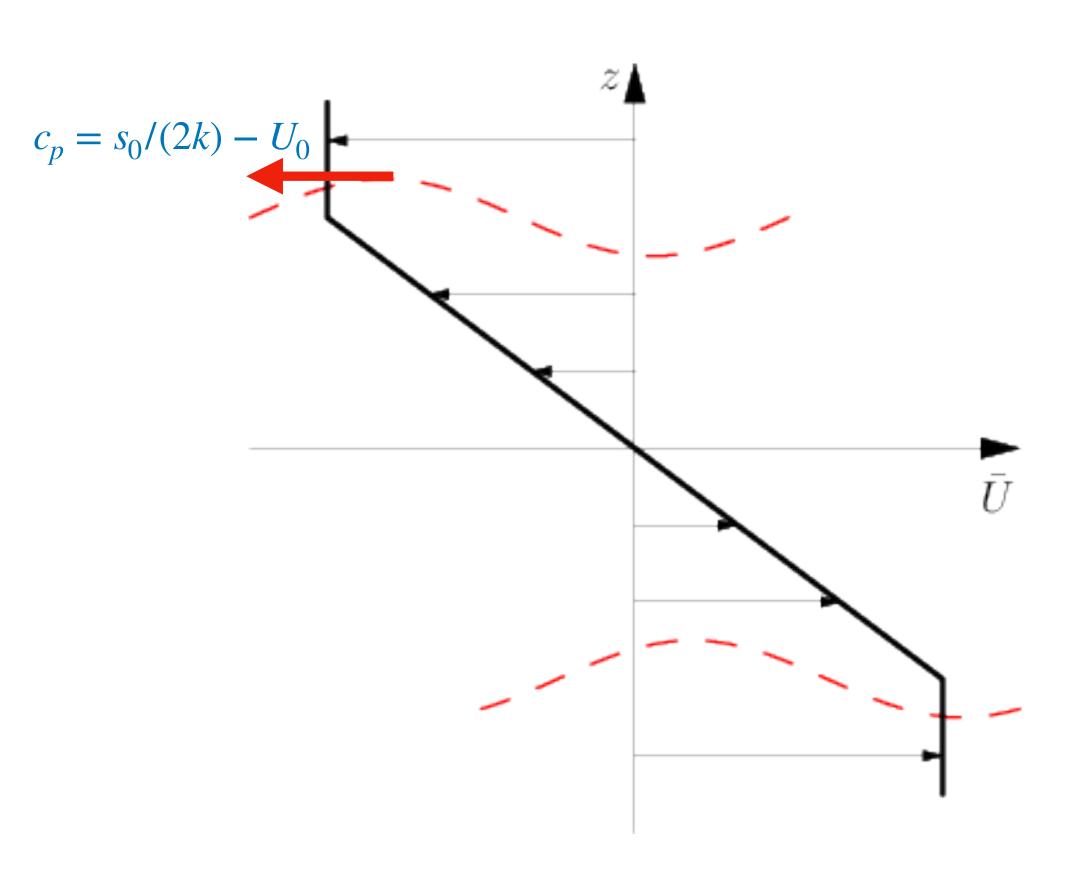
- To help interpret this, first consider the limit $kH \gg 1$
- Then $\omega \simeq \pm \frac{1}{2} s_0 (1 2kH) = \pm (\frac{s_0}{2} U_0 k)$

This describes 2 Rayleigh waves:

- one centered at z = H with frequency

$$\omega = \frac{1}{2}s_0 - U_0k$$
 Doppler-shift by $-U_0$





 We found that waves in a shear layer satisfy the dispersion relation

$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

- To help interpret this, first consider the limit $kH \gg 1$
- Then $\omega \simeq \pm \frac{1}{2} s_0 (1 2kH) = \pm (\frac{s_0}{2} U_0 k)$

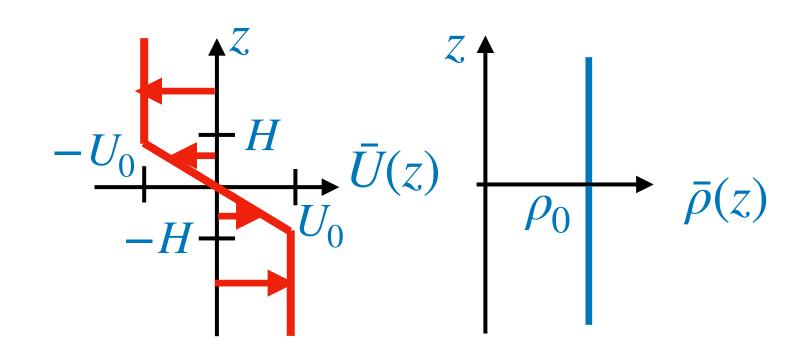
This describes 2 Rayleigh waves:

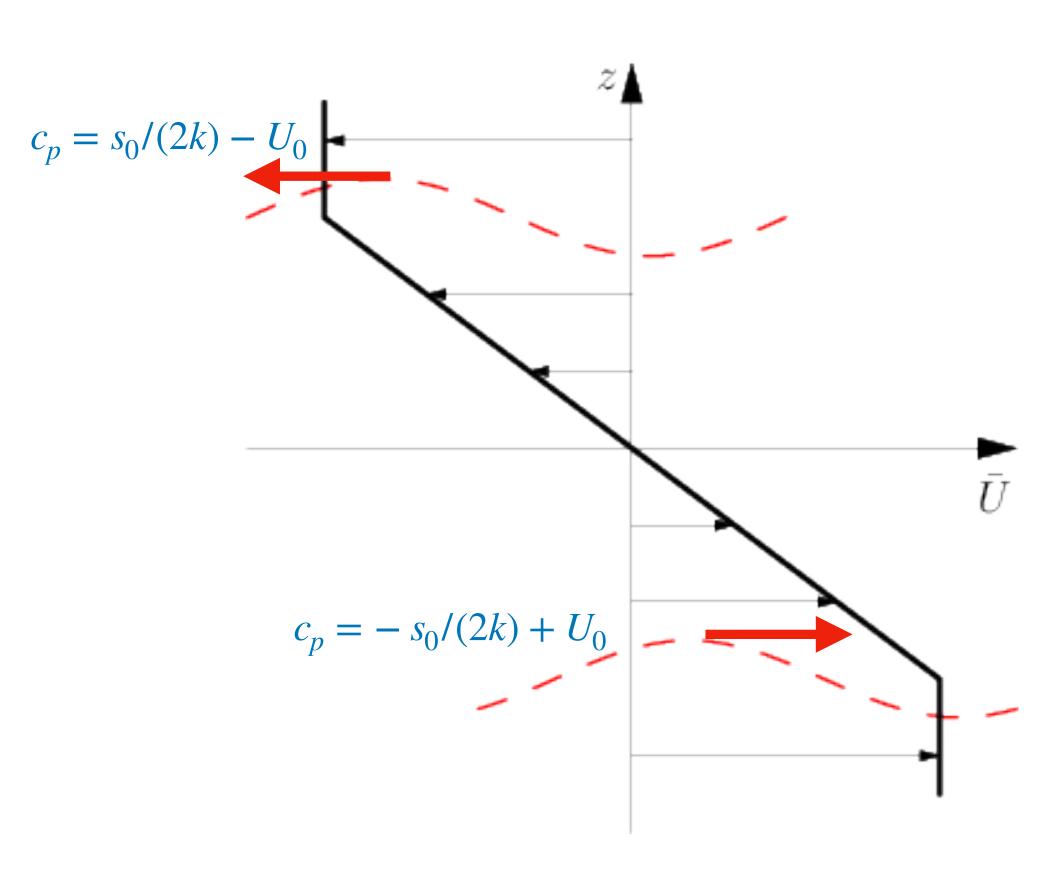
- one centered at z = H with frequency

$$\omega = \frac{1}{2}s_0 - U_0k$$
 Doppler-shift by $-U_0$

- the other centred at z = -H with frequency

$$\omega = -\frac{1}{2}s_0 + U_0k$$
 Doppler-shift by $+U_0$

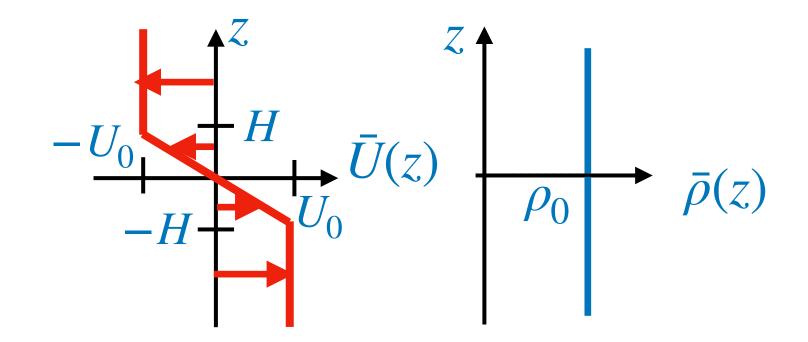


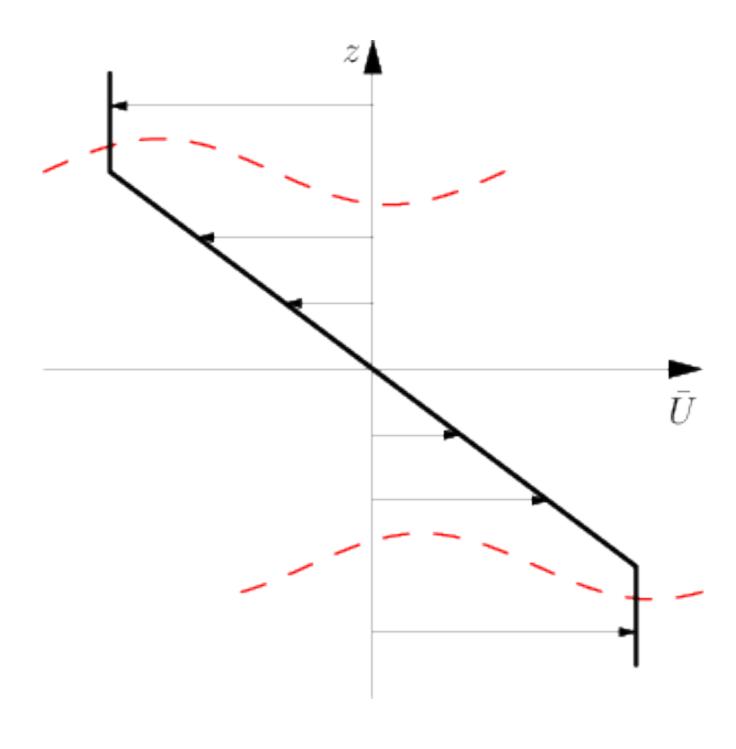


 We found that waves in a shear layer satisfy the dispersion relation

$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

• Next consider the limit $kH \ll 1$

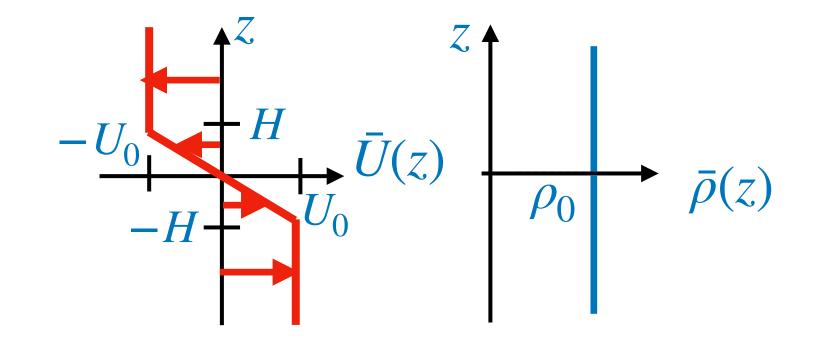


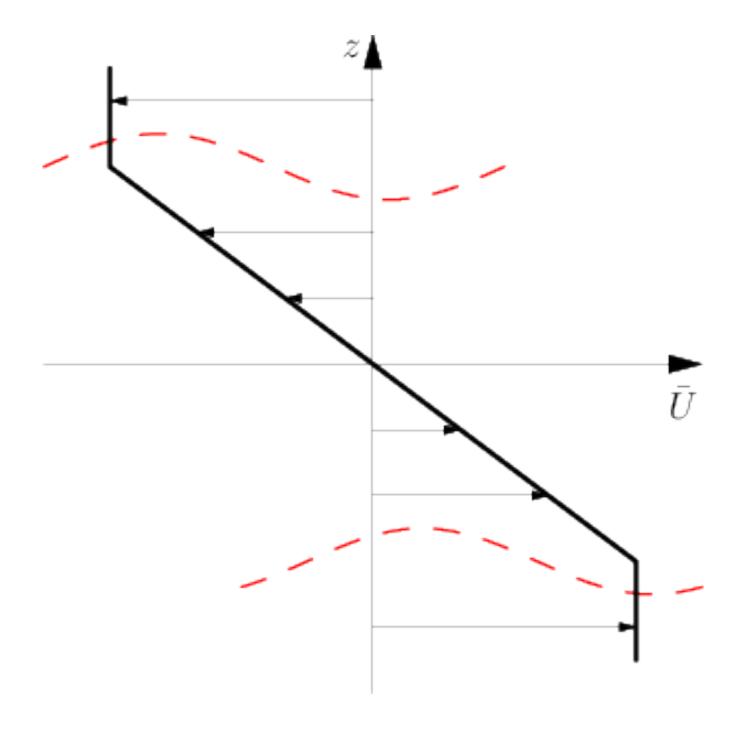


 We found that waves in a shear layer satisfy the dispersion relation

$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

- Next consider the limit $kH \ll 1$
- Then $\omega^2 \simeq \frac{1}{4} s_0^2 \left[(1 2kH)^2 (1 4kH + \frac{1}{2} (4kH)^2 \dots) \right]$

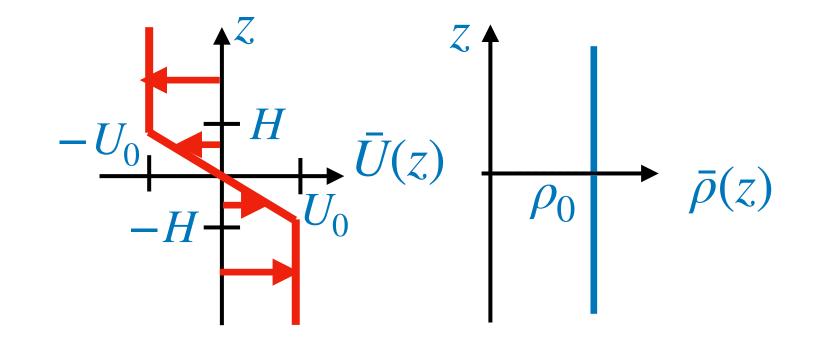


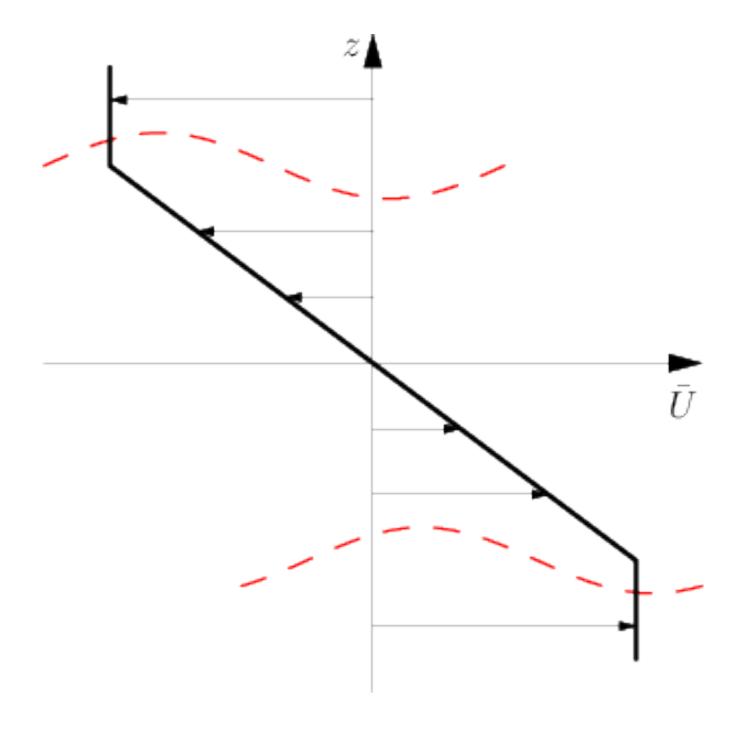


 We found that waves in a shear layer satisfy the dispersion relation

$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

- Next consider the limit $kH \ll 1$
- Then $\omega^2 \simeq \frac{1}{4} s_0^2 \left[(1 2kH)^2 (1 4kH + \frac{1}{2}(4kH)^2 \ldots) \right]$ $\simeq \frac{1}{4} s_0^2 \left[-4(kH)^2 \right]$



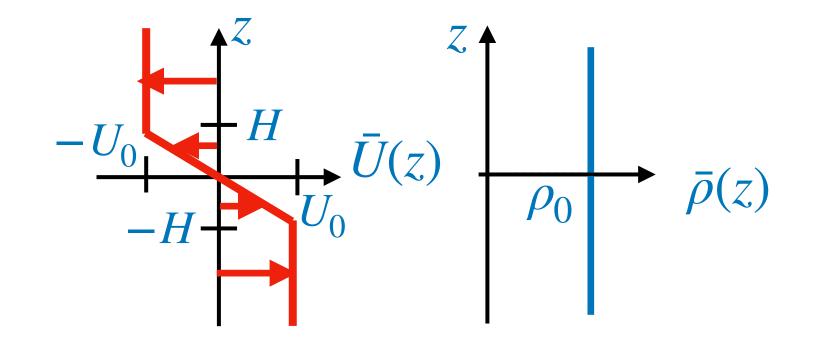


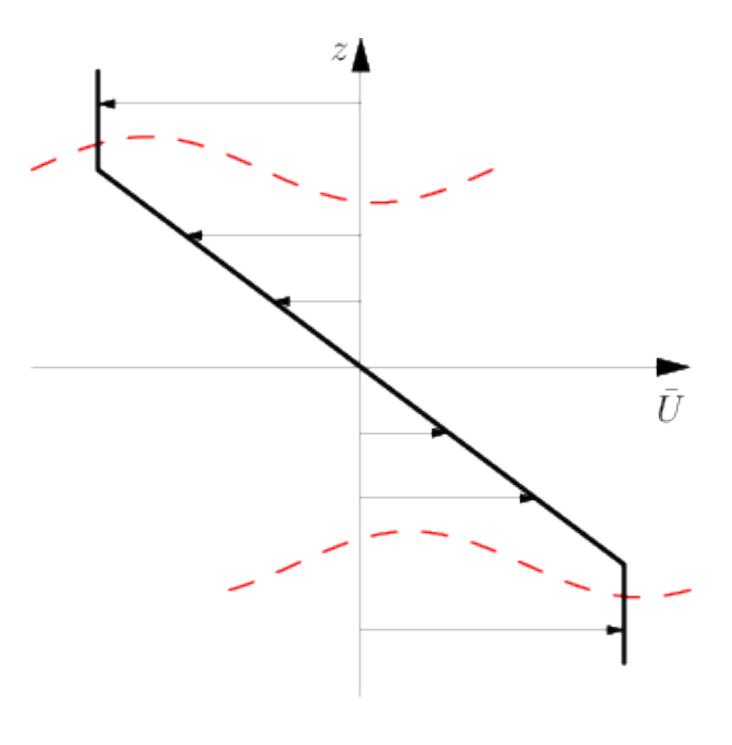
 We found that waves in a shear layer satisfy the dispersion relation

$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

- Next consider the limit $kH \ll 1$
- Then $\omega^2 \simeq \frac{1}{4} s_0^2 \left[(1 2kH)^2 (1 4kH + \frac{1}{2} (4kH)^2 \dots) \right]$ $\simeq \frac{1}{4} s_0^2 \left[-4(kH)^2 \right] = -(U_0 k)^2$

So ω^2 is negative!!!!





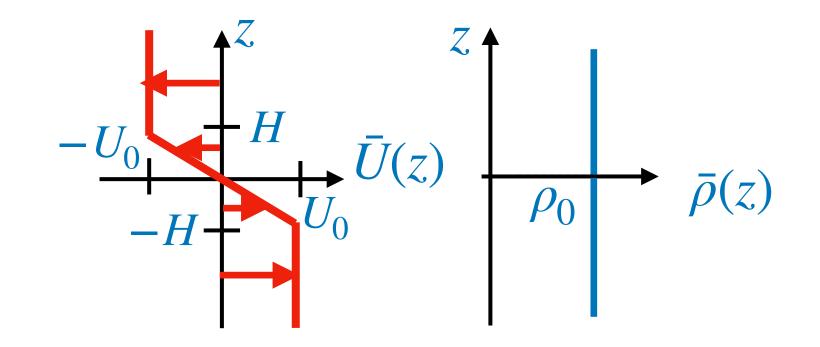
 We found that waves in a shear layer satisfy the dispersion relation

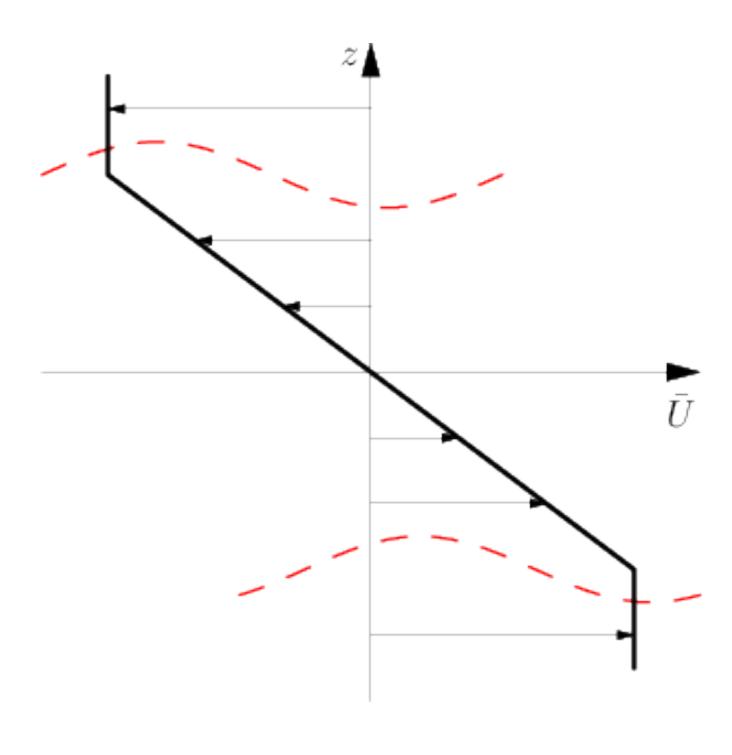
$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

- Next consider the limit $kH \ll 1$
- Then $\omega^2 \simeq \frac{1}{4} s_0^2 \left[(1 2kH)^2 (1 4kH + \frac{1}{2} (4kH)^2 \dots) \right]$ $\simeq \frac{1}{4} s_0^2 \left[-4(kH)^2 \right] = -(U_0 k)^2$

So ω^2 is negative!!!!

I.e. $\omega = \pm \iota \sigma$ with $\sigma = U_0 k$ (real-valued) for $kH \ll 1$





 We found that waves in a shear layer satisfy the dispersion relation

$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

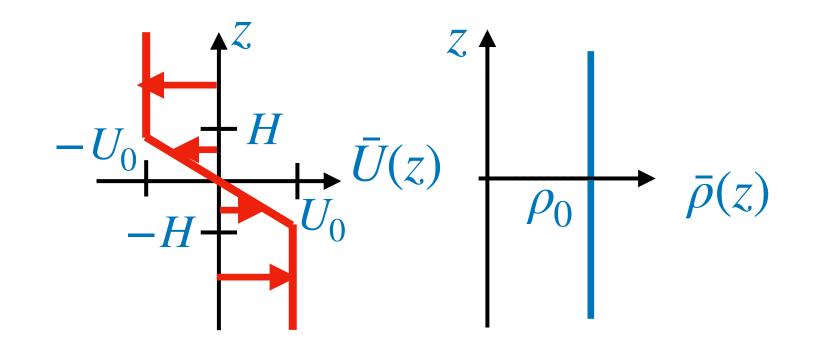
- Next consider the limit $kH \ll 1$
- Then $\omega^2 \simeq \frac{1}{4} s_0^2 \left[(1 2kH)^2 (1 4kH + \frac{1}{2} (4kH)^2 \dots) \right]$ $\simeq \frac{1}{4} s_0^2 \left[-4(kH)^2 \right] = -(U_0 k)^2$

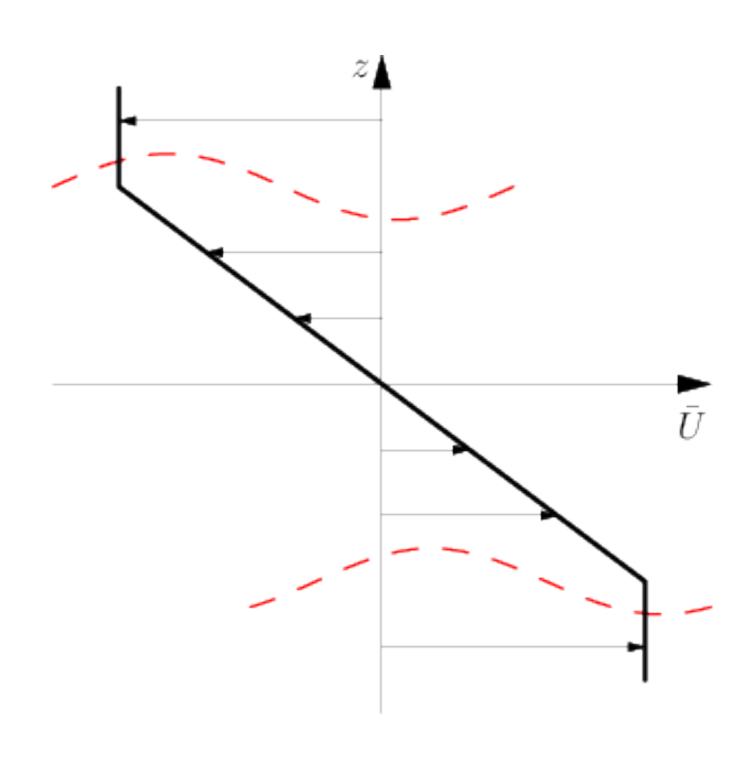
So ω^2 is negative!!!!

I.e. $\omega = \pm \iota \sigma$ with $\sigma = U_0 k$ (real-valued) for $kH \ll 1$

• To interpret this, consider $\psi(x, z, t) = \hat{\psi}(z) e^{i(kx - \omega t)}$

$$\Rightarrow \quad \psi = \hat{\psi}e^{i(kx\mp\sigma t)} = \hat{\psi}e^{ikx}e^{\pm\sigma t}$$





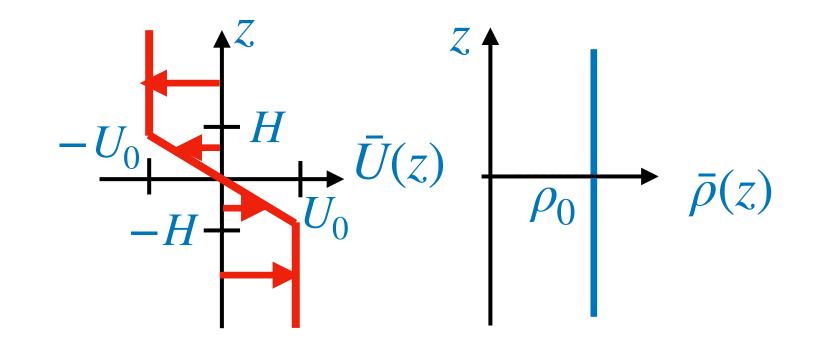
 We found that waves in a shear layer satisfy the dispersion relation

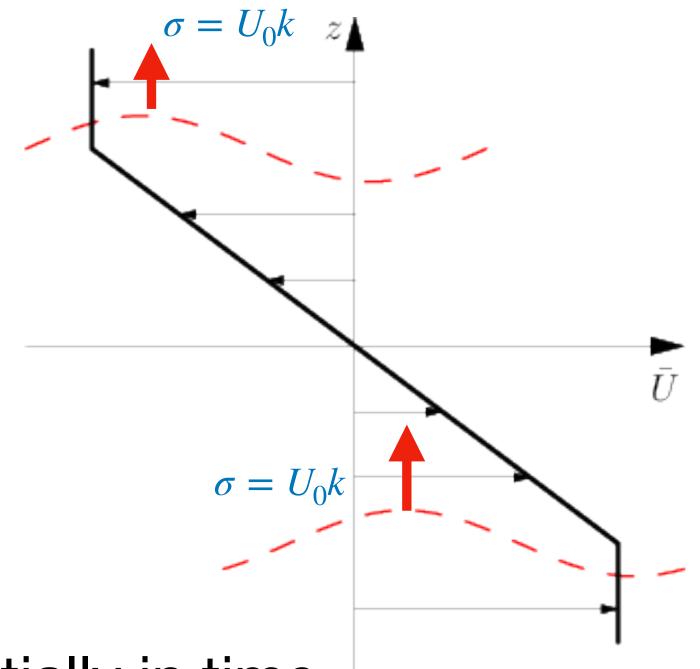
$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

- Next consider the limit $kH \ll 1$
- Then $\omega^2 \simeq \frac{1}{4} s_0^2 \left[(1 2kH)^2 (1 4kH + \frac{1}{2} (4kH)^2 \dots) \right]$ $\simeq \frac{1}{4} s_0^2 \left[-4(kH)^2 \right] = -(U_0 k)^2$

So ω^2 is negative!!!!

- I.e. $\omega = \pm \iota \sigma$ with $\sigma = U_0 k$ (real-valued) for $kH \ll 1$
- To interpret this, consider $\psi(x, z, t) = \hat{\psi}(z) e^{i(kx \omega t)}$ $\Rightarrow \qquad \psi = \hat{\psi} e^{i(kx \mp \sigma t)} = \hat{\psi} e^{ikx} e^{\pm \sigma t}$



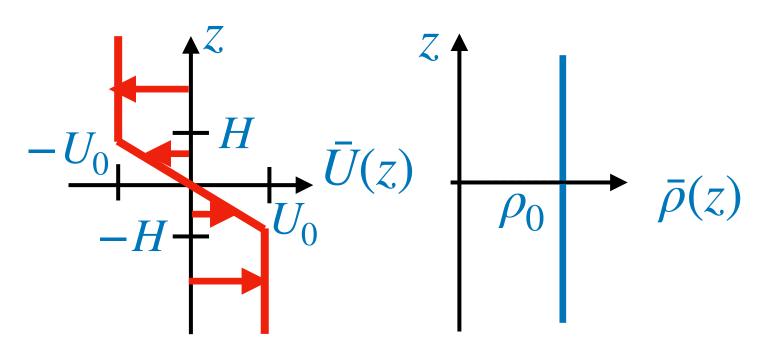


So this corresponds to waves that decay or grow exponentially in time.

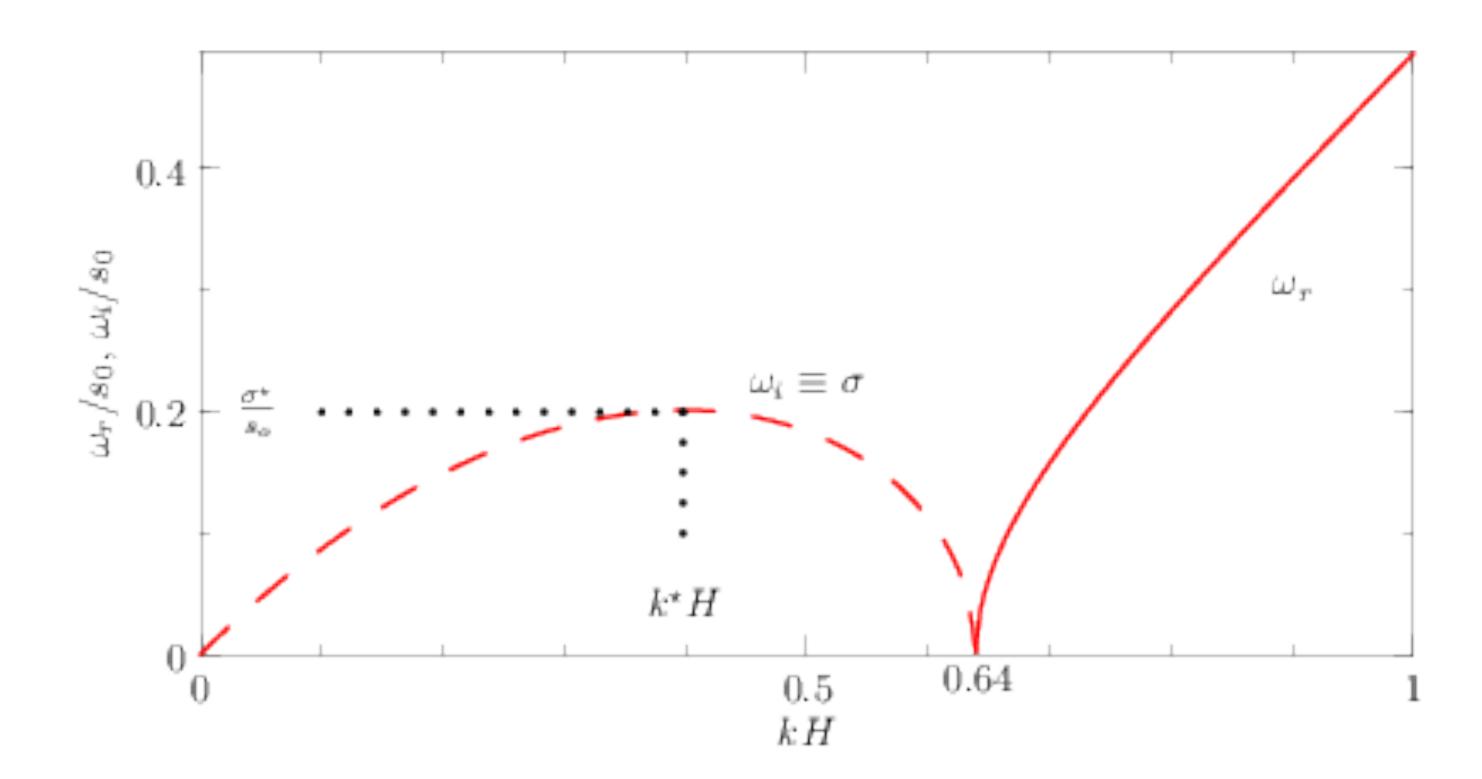
We found that waves in a shear layer satisfy the dispersion relation

$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

 Generally, pulling out the positive square root, the dispersion relation is shown in the following graph of $\omega = \omega_r + \iota \omega_i$:



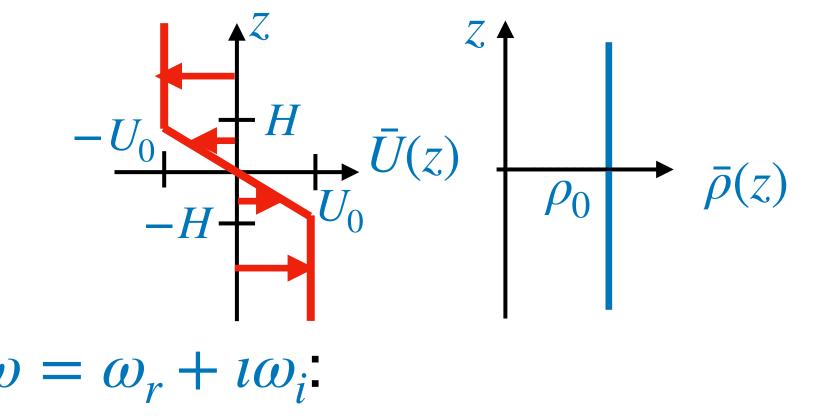
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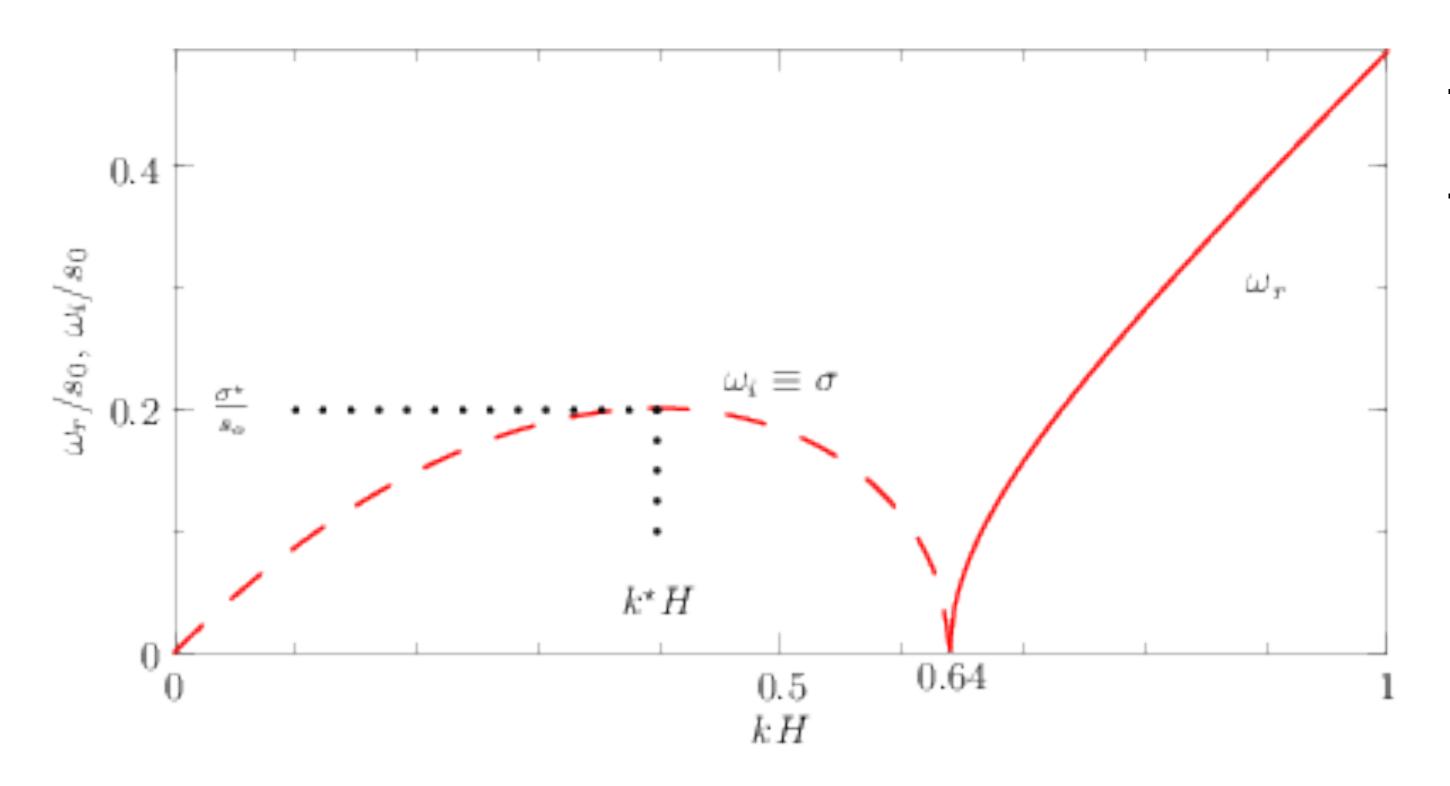


 We found that waves in a shear layer satisfy the dispersion relation

$$\omega^2 = \frac{1}{4} s_0^2 \left[(1 - 2kH)^2 - e^{-4kH} \right] \text{ with } s_0 \equiv U_0/H$$

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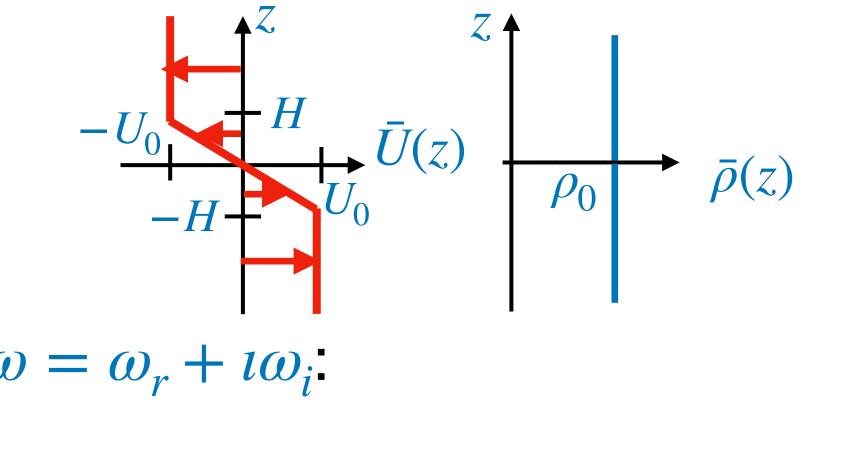


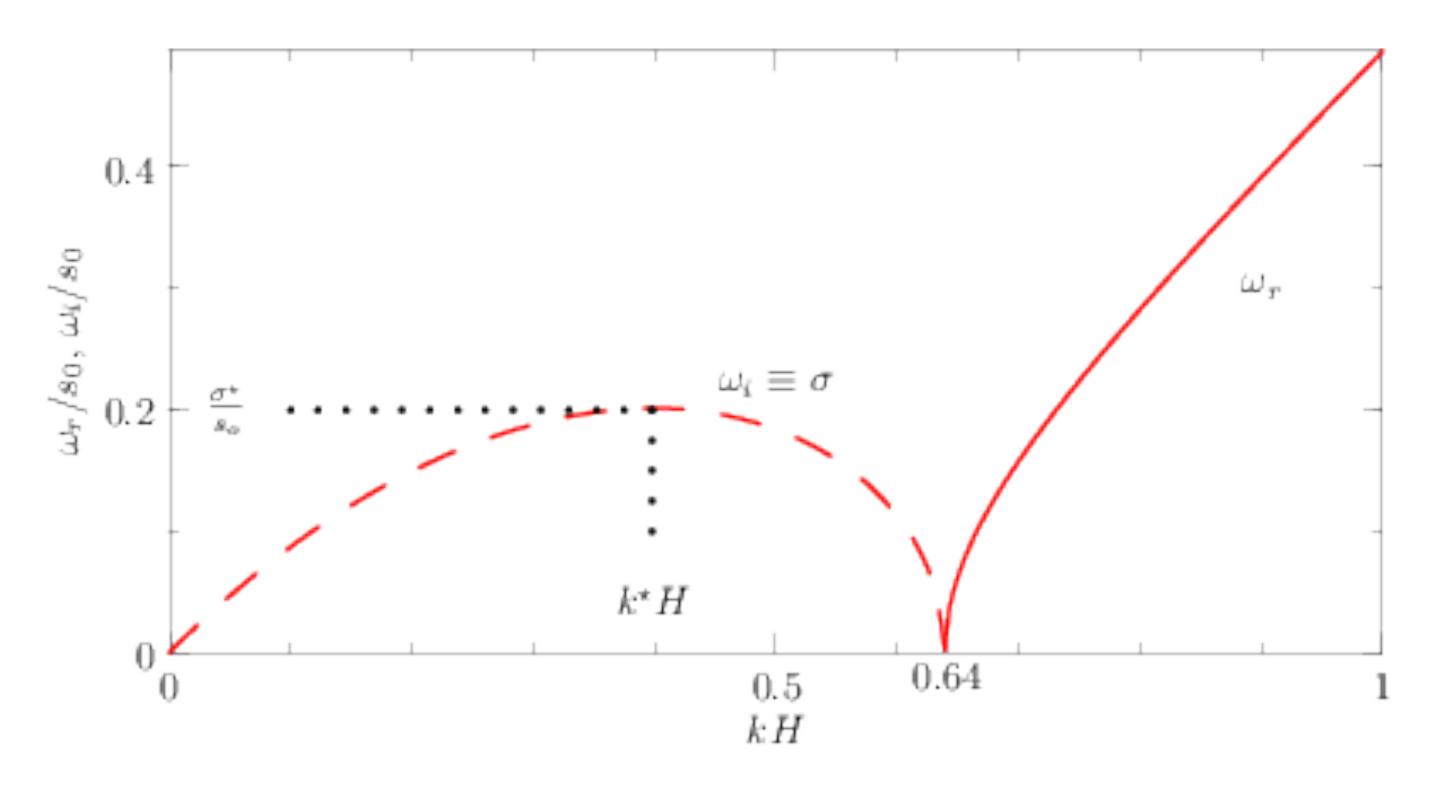
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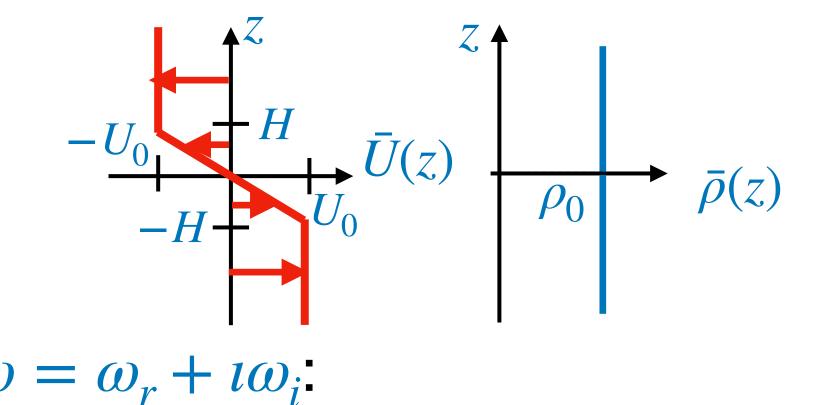


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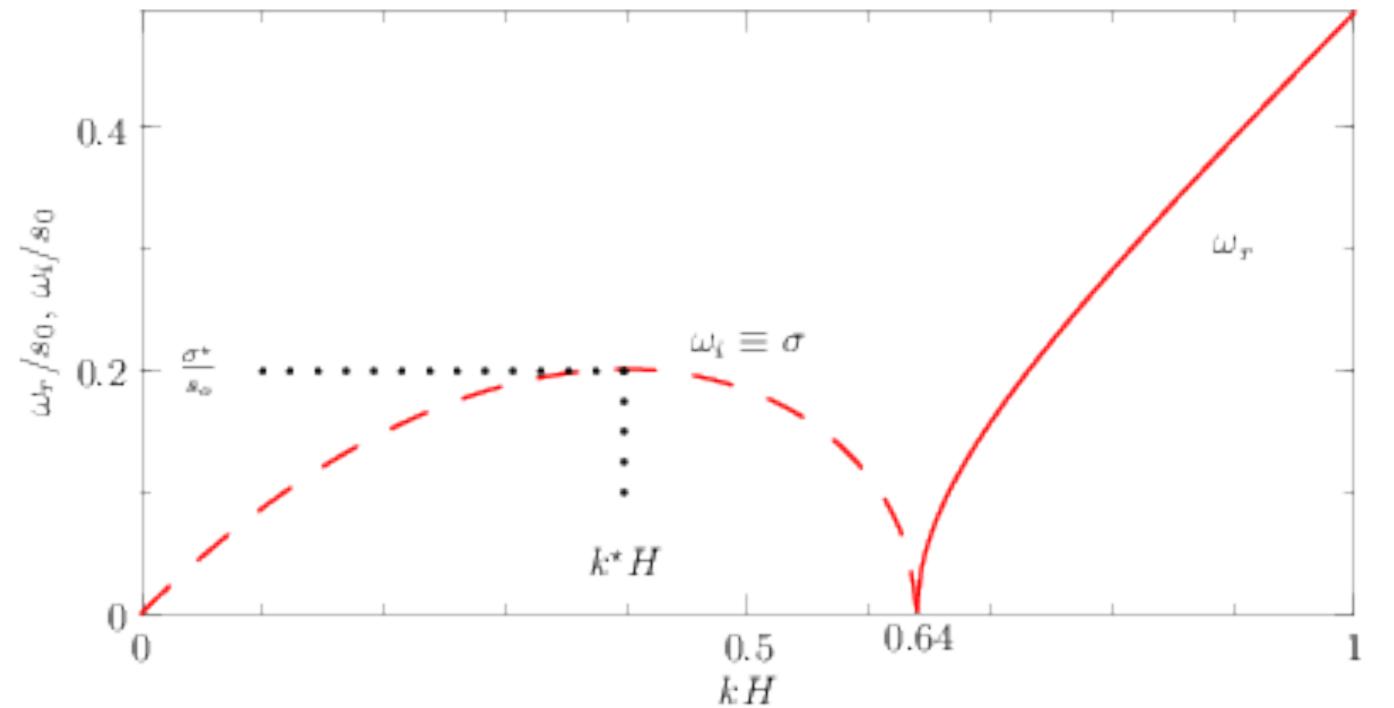


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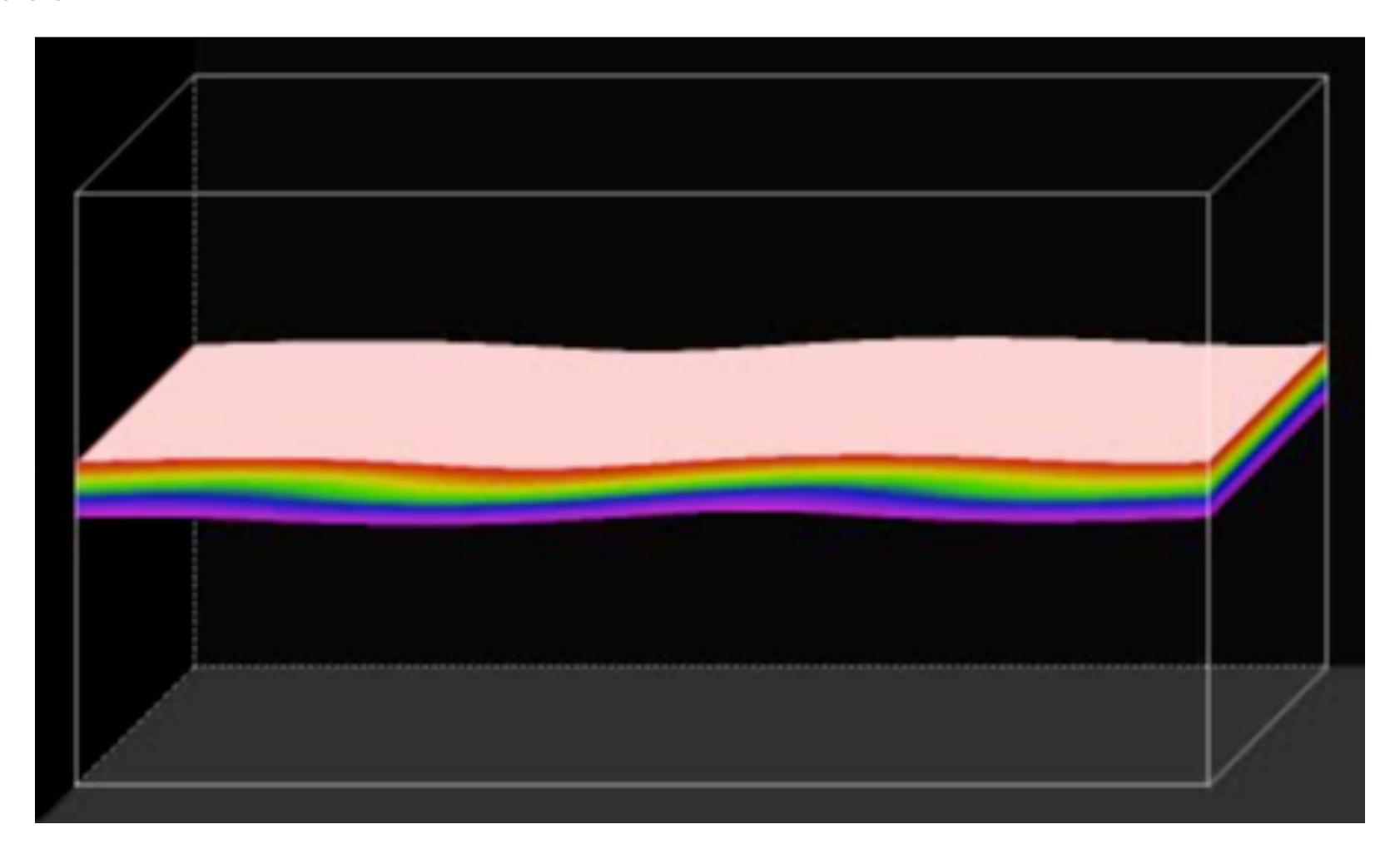
• And so we expect disturbances in background noise that grow fastest have wavenumber $k^* \simeq 0.398/H$

$$\Rightarrow \lambda^* = 2\pi/k^* \simeq 16H$$



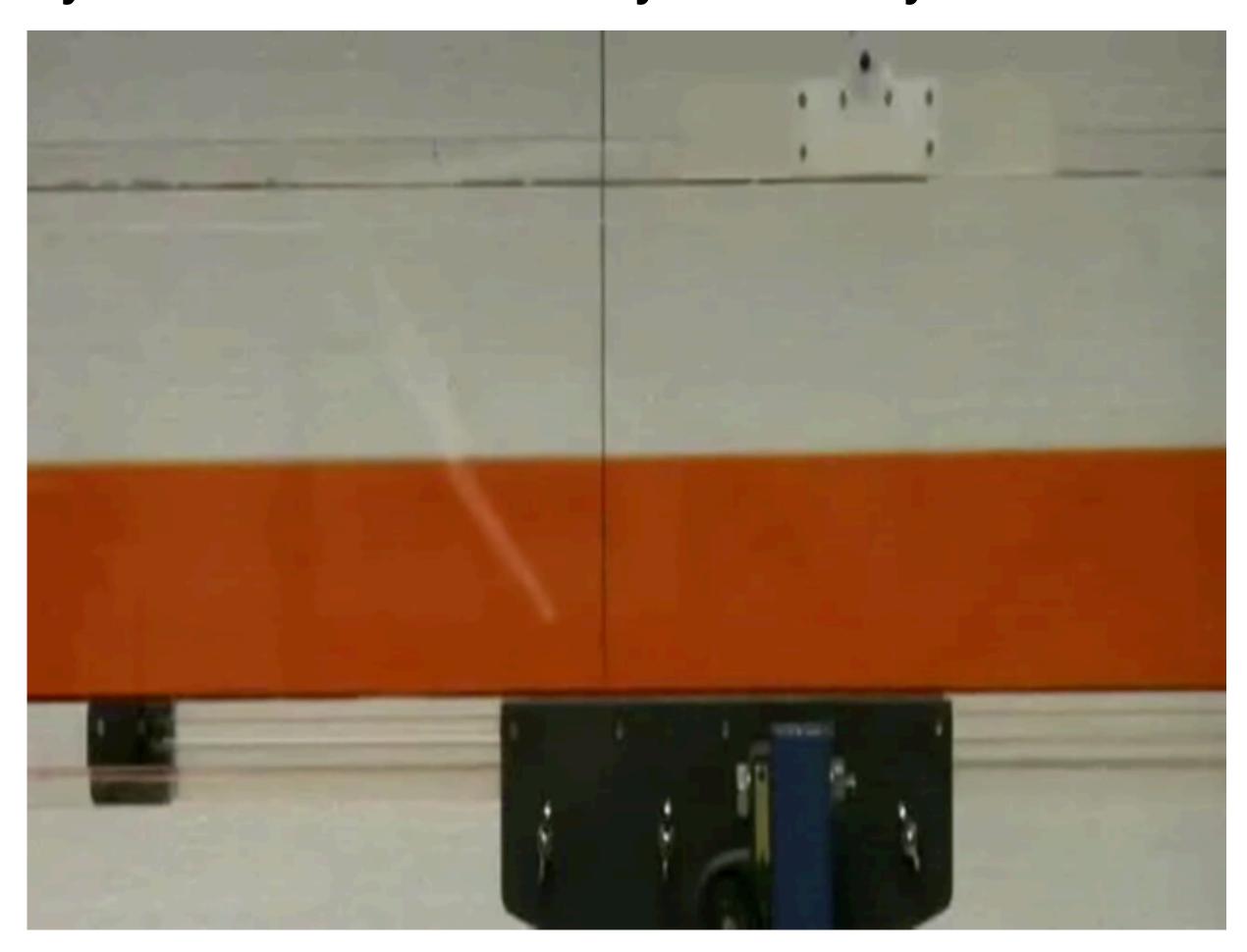
Kelvin-Helmholtz instability: simulation

- The "most unstable mode" with $\lambda^* \simeq 16H$ is what you see grow out of a shear flow.
- As the waves continue to grow, nonlinear effects kick in, and the waves wrap up into vortices.



6.5] Kelvin-Helmholtz Instability in Stratified Fluid

- Previously we examined instability of a uniform density shear layer.
- Often shear develops across a density interface:

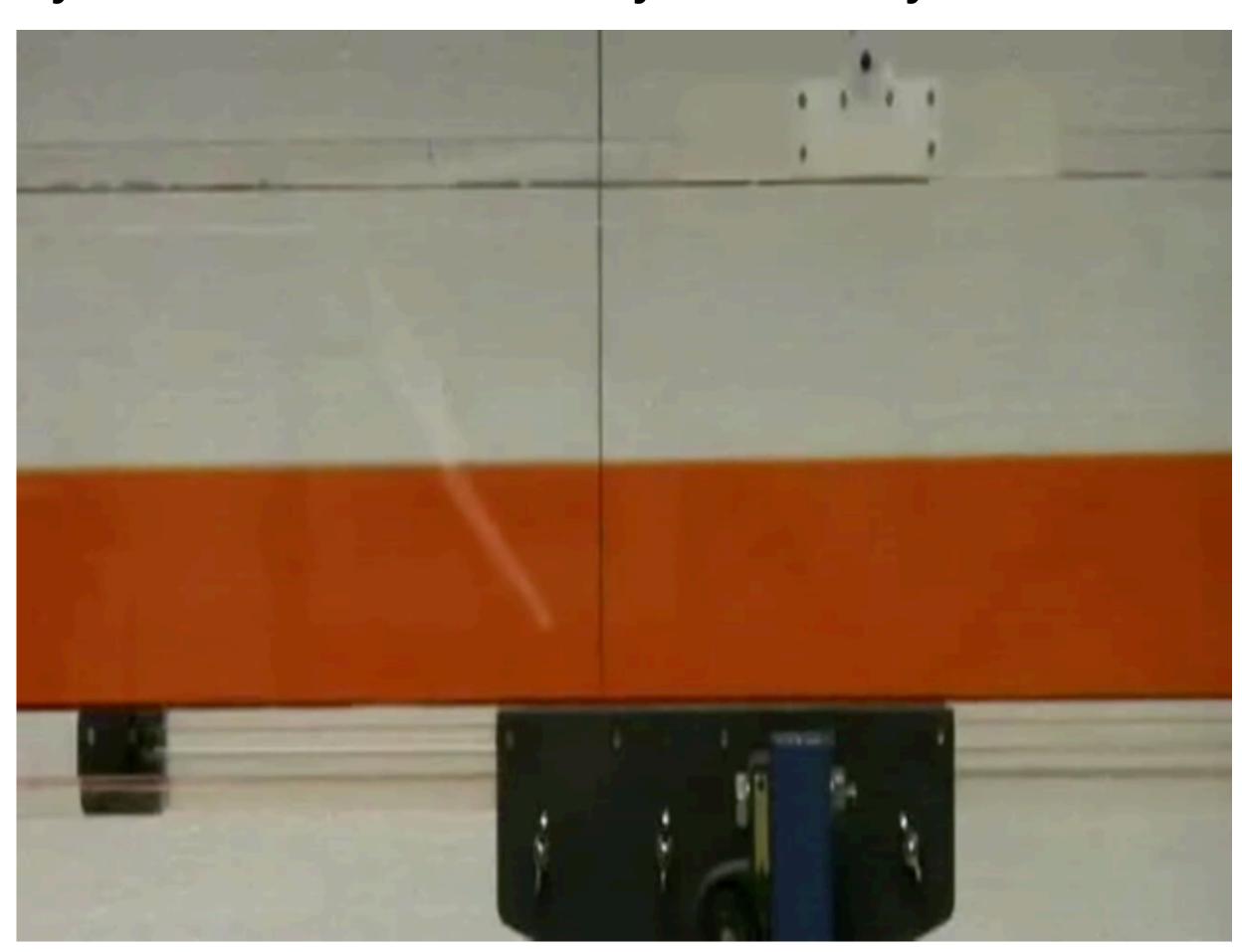


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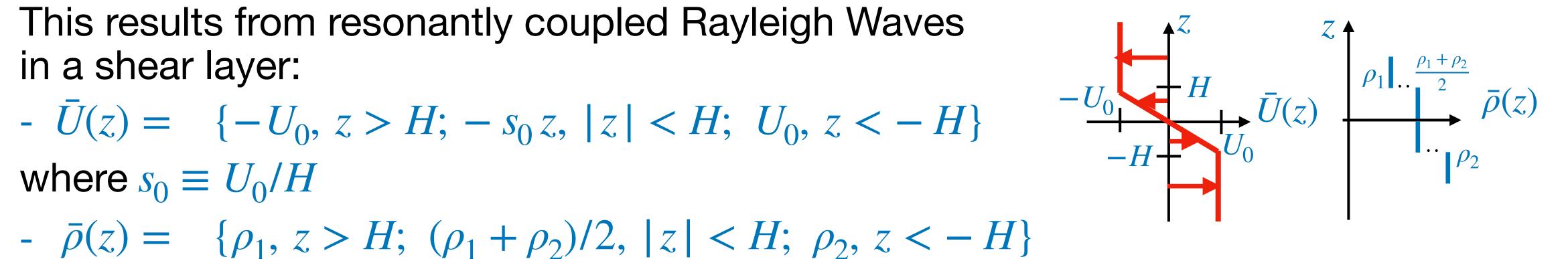
 Here, so we can find analytic solutions, we will assume the density is that of a 3-layer fluid.



This results from resonantly coupled Rayleigh Waves

-
$$\bar{U}(z) = \{-U_0, z > H; -s_0 z, |z| < H; U_0, z < -H\}$$
 where $s_0 \equiv U_0/H$

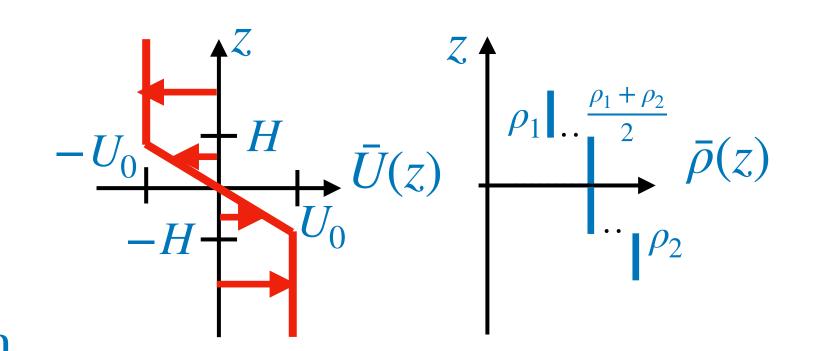
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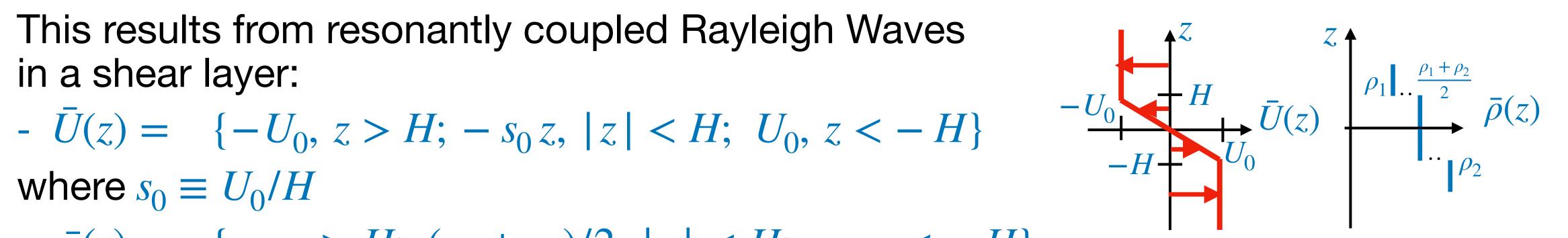
As before, the vertical structure of the streamfunction is given generally by

$$\hat{\psi} = \begin{cases} \mathcal{A}e^{-kz} & z > H \\ \mathcal{B}_1 e^{kz} + \mathcal{B}_2 e^{-kz} & |z| < H \\ \mathcal{E}e^{kz} & z < -H \end{cases}$$

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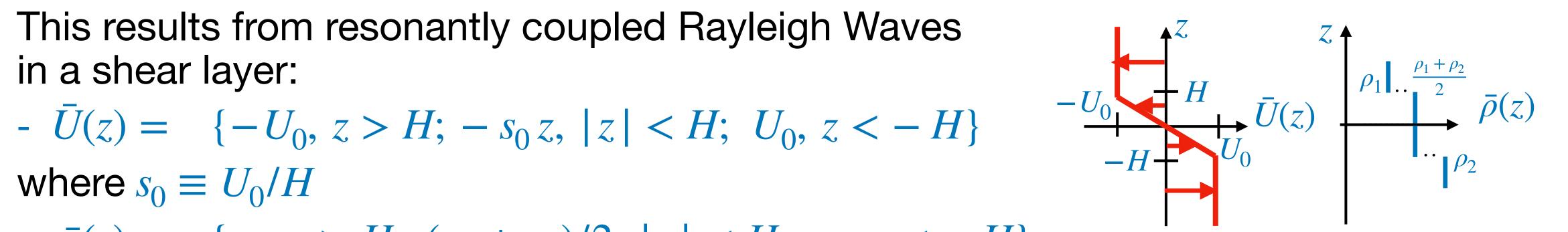
The interface conditions, accounting for the density jumps, lead to a more complicated dispersion relation:

$$\tilde{\omega}^4 - \frac{1}{4} \left[8\tilde{k}^2 - 4(1 - \mathsf{Ri}_b)\tilde{k} + 1 - e^{-4\tilde{k}} \right] \tilde{\omega}^2 + \frac{1}{4} \tilde{k}^2 \left[(2\tilde{k} - 1 - \mathsf{Ri}_b)^2 - (1 + \mathsf{Ri}_b)^2 e^{-4\tilde{k}} \right] = 0$$

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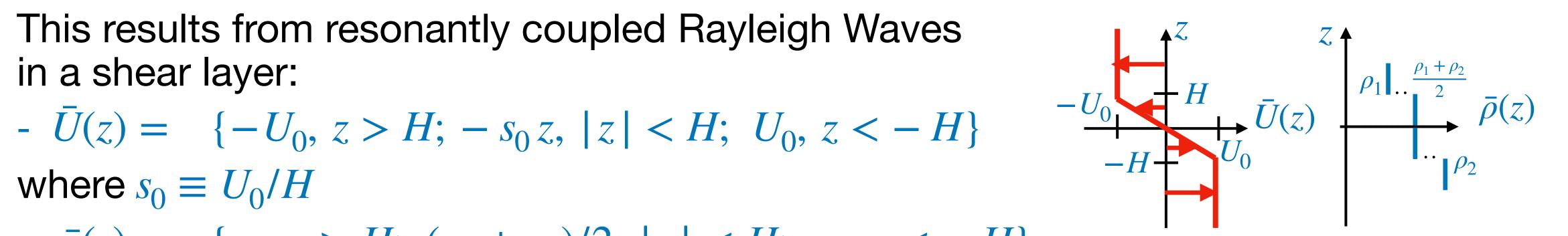
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The "Bulk Richardson Number"

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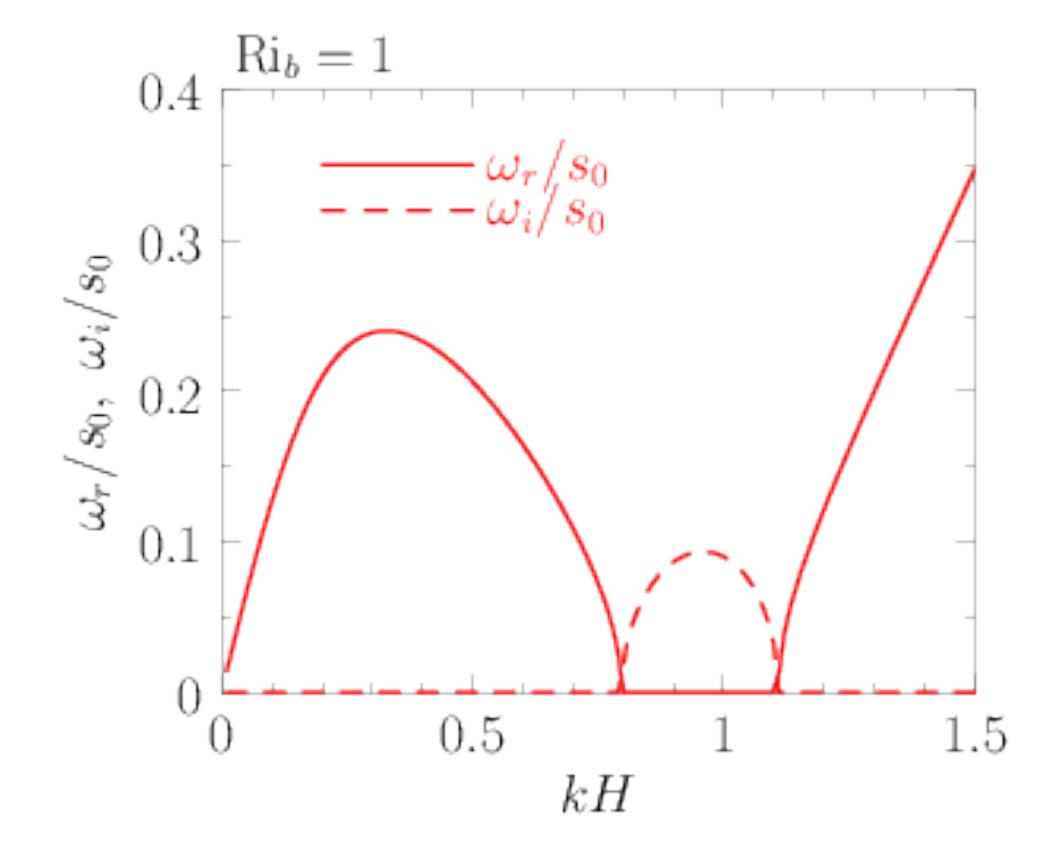
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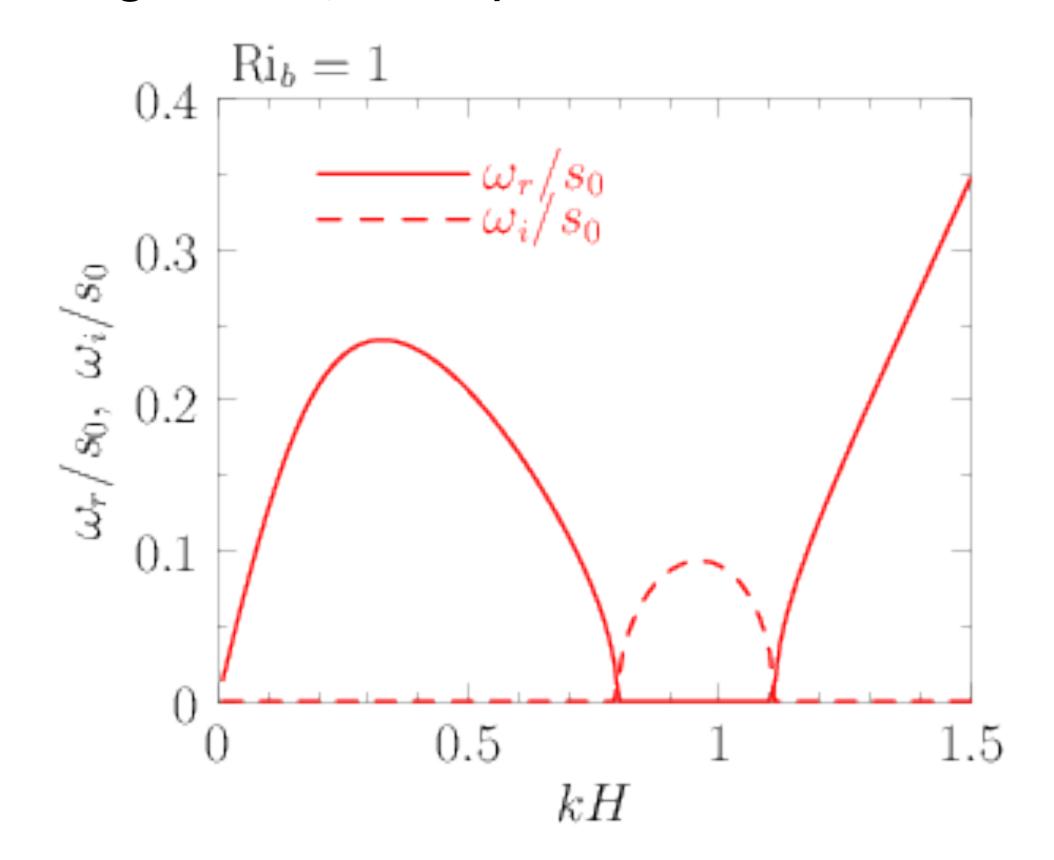
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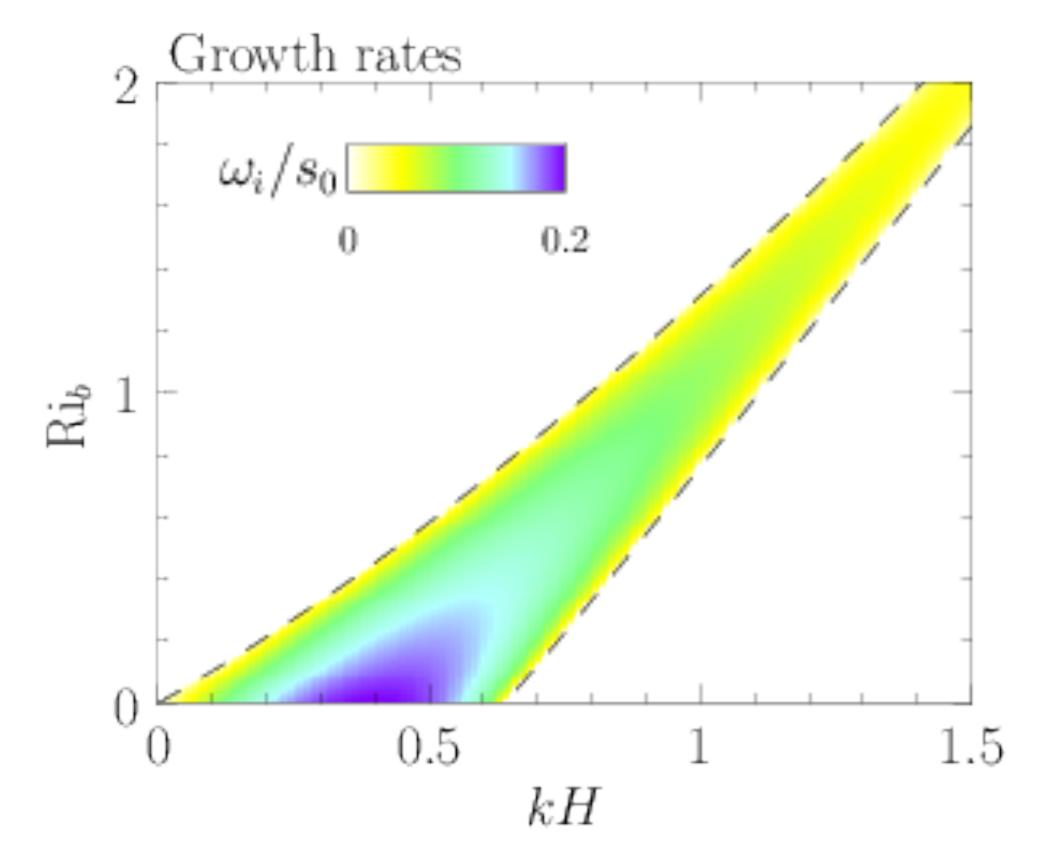


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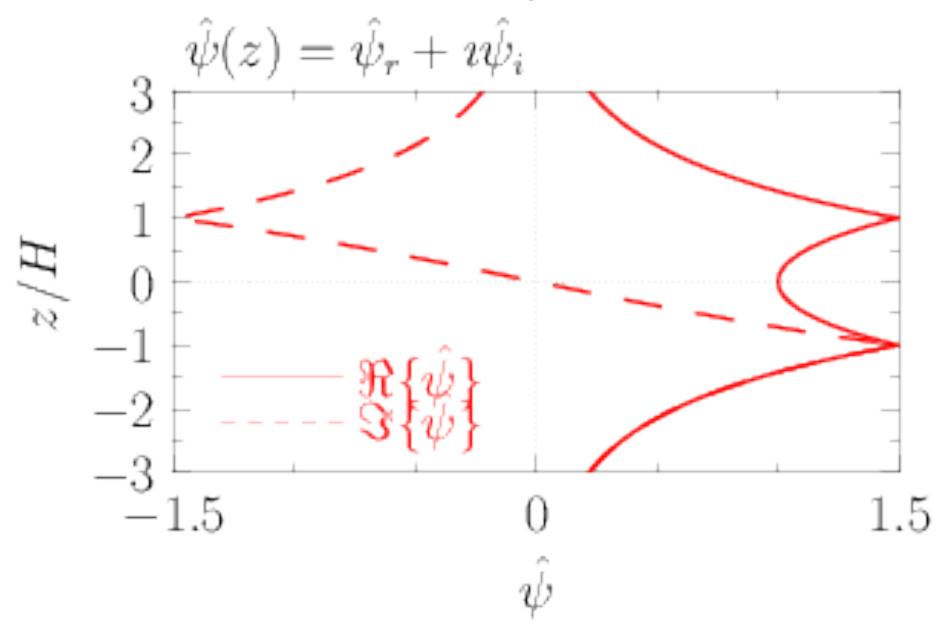
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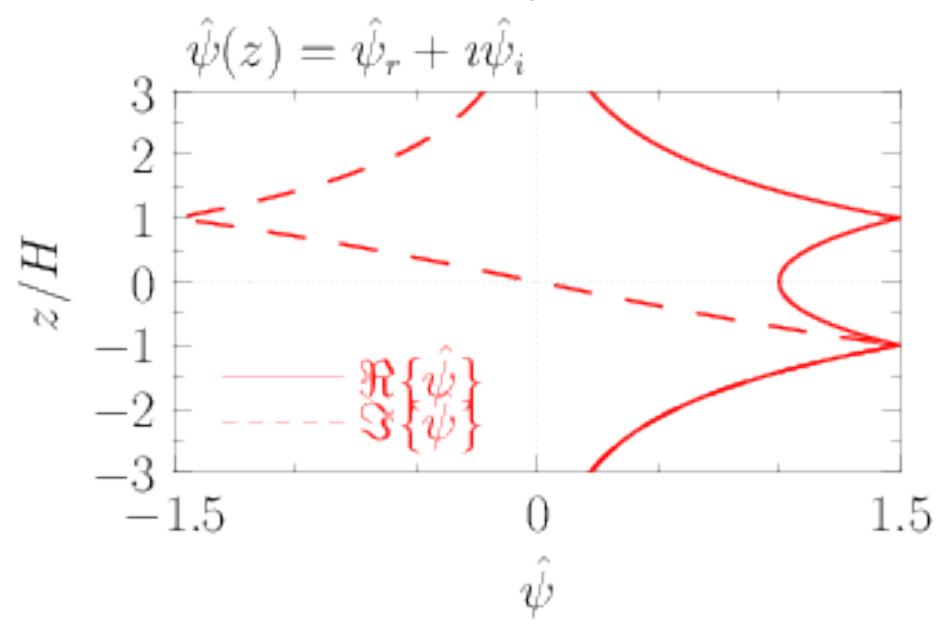


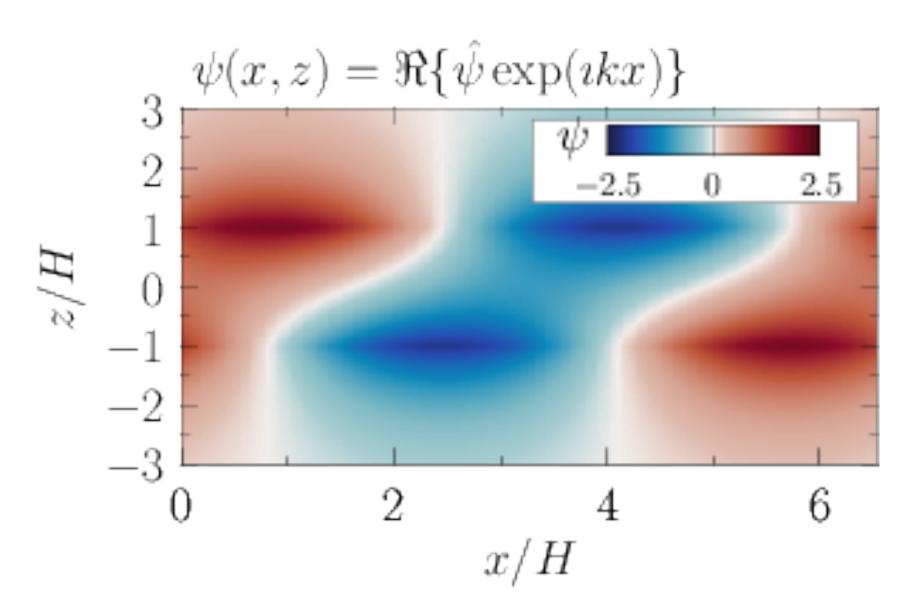


• Now examine the eigenfunction of the most unstable mode with $\mathrm{Ri}_b=1$

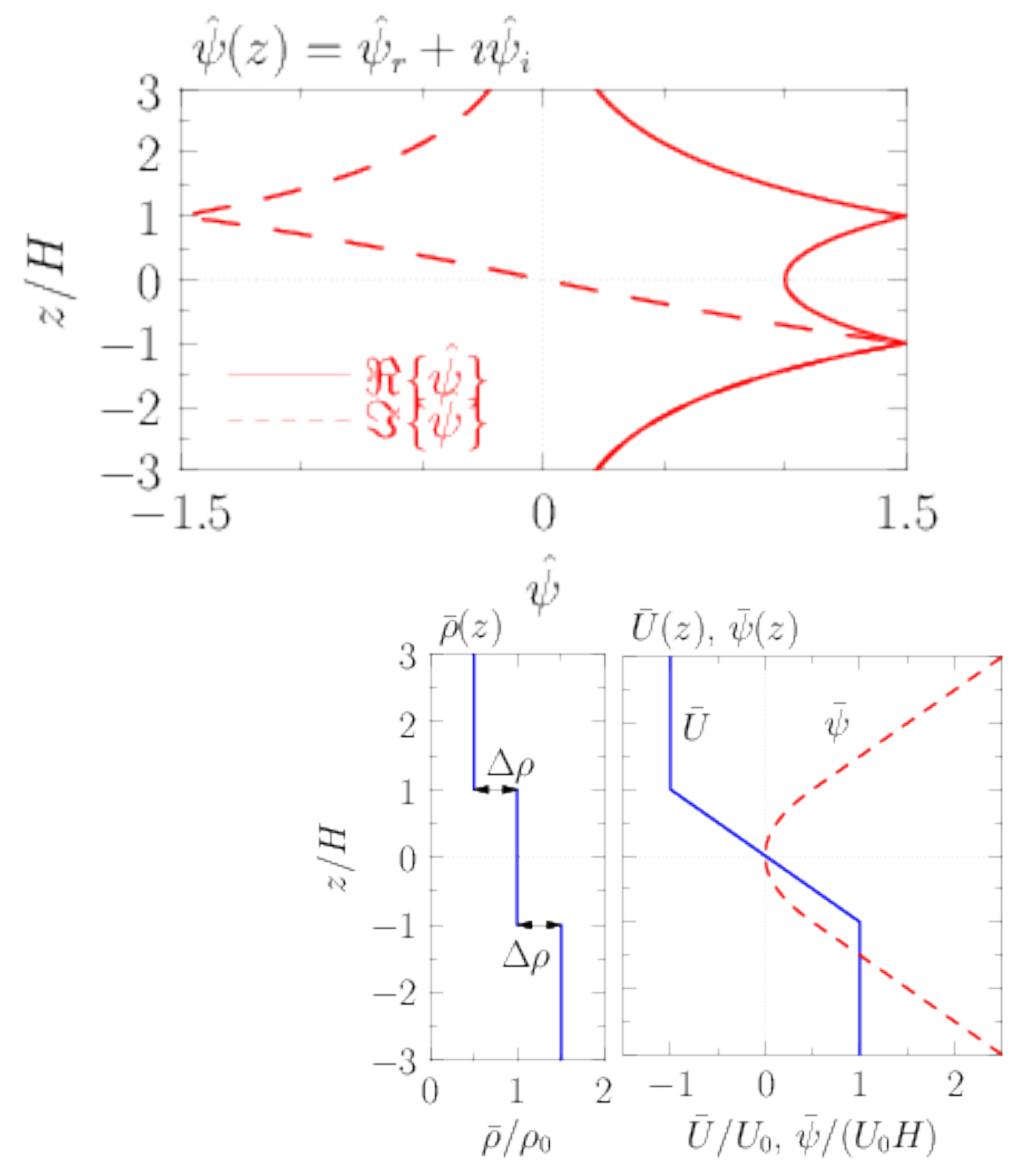


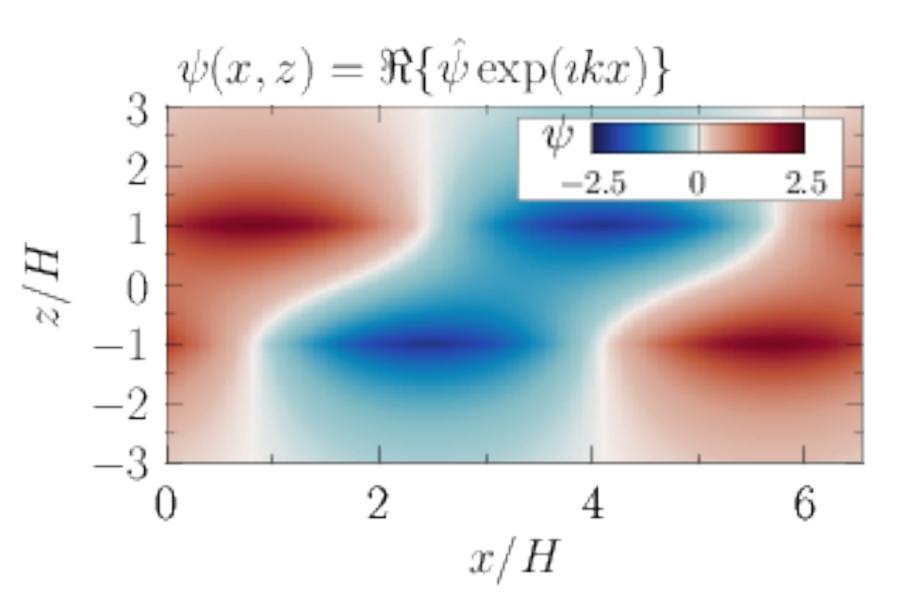
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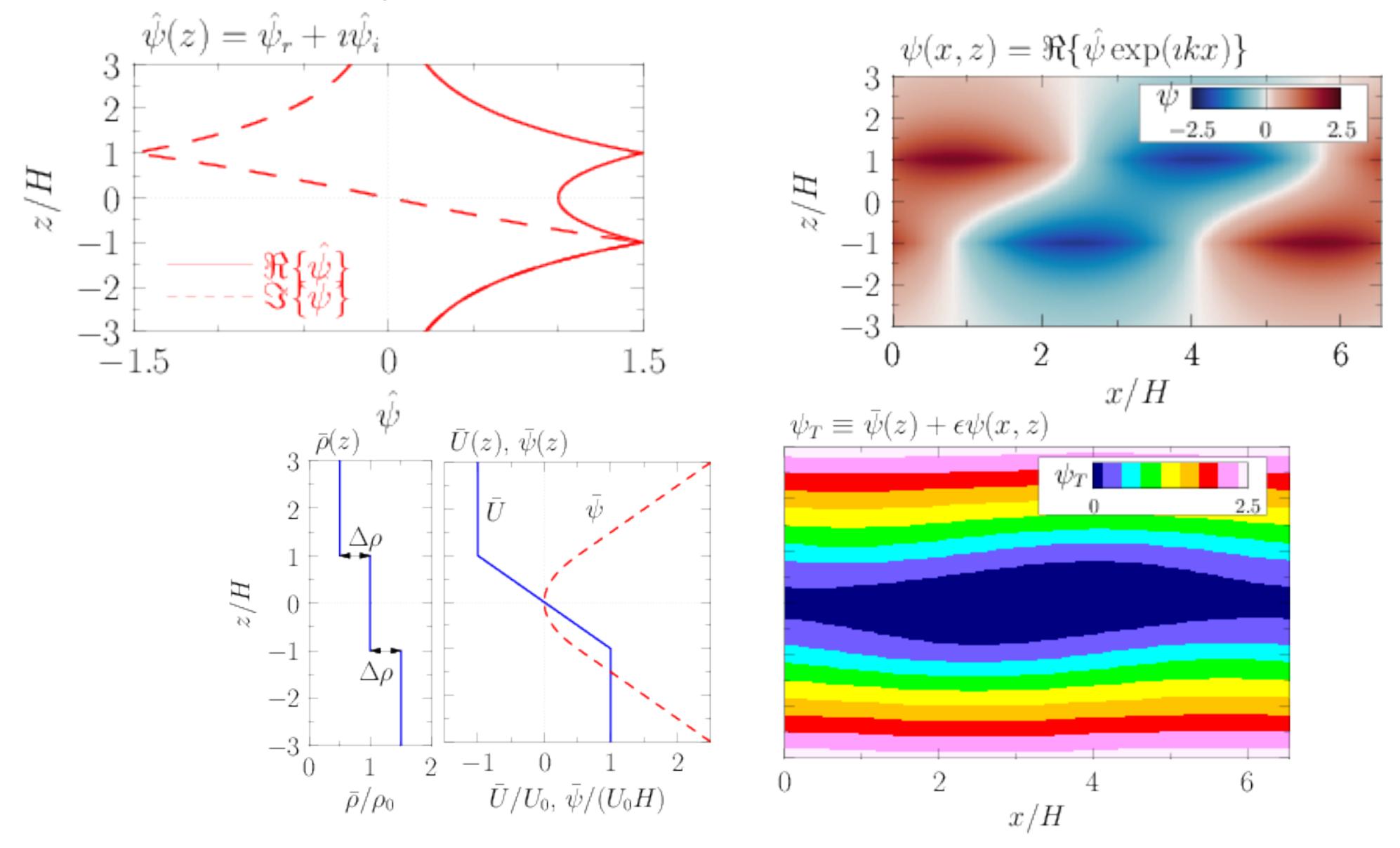


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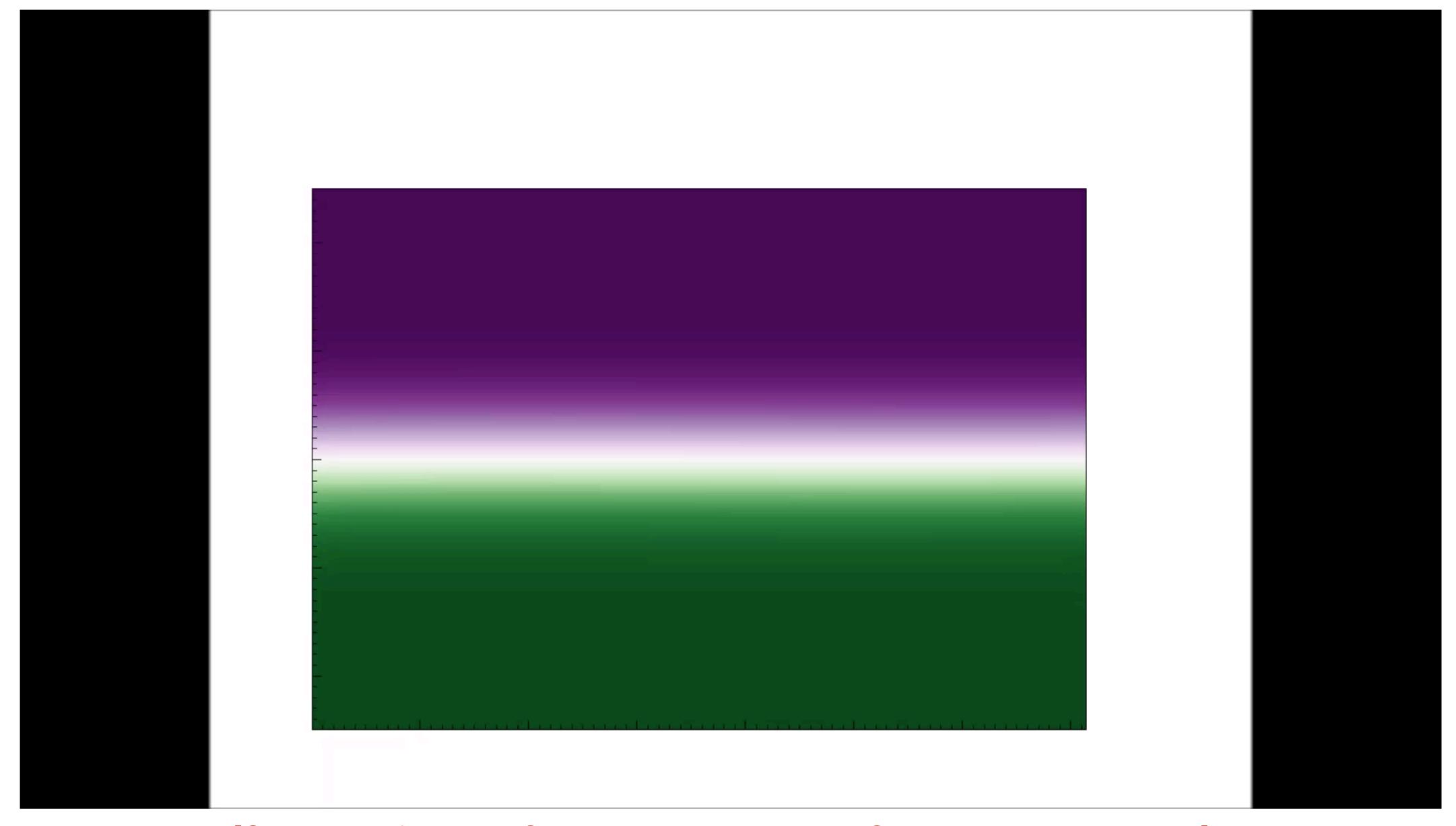




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KH Instability: High-Resolution Simulation



[Courtesy of Hesam Salehipour, Woods Hole Oceanographic Institute]